

# FORM G-37



**Name of Regulated Entity:** *BC Ziegler and Company*

**Report Period:** *Fourth Quarter of 2017*

## **I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

*None*

## **II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
CA	California Municipal Finance Authority Carlsbad/San Diego	Negotiated underwriting
WI	Public Finance Authority Madison/Dane	Negotiated underwriting
ID	Idaho Health Facilities Authority Boise/Ada	Negotiated underwriting
SC	South Carolina Jobs - Economic Development Authority Columbia/Richland	Negotiated underwriting
NC	North Carolina Medical Care Commission Raleigh/Wake	Negotiated underwriting
AZ	Arizona Industrial Development Authority Phoenix/Maricopa	Negotiated underwriting
NV	Carson City, Nevada Carson City/Carson City	Negotiated underwriting
IL	Upper Illinois River Valley Development Authority Ottawa/LaSalle	Negotiated underwriting
SC	South Carolina Jobs - Economic Development Authority Columbia /Richland	Negotiated underwriting
NC	North Carolina Medical Care Commission Raleigh/Wake	Negotiated underwriting
VA	Industrial Development Authority of Danville, VA Danville/Danville City	Negotiated underwriting
CA	McFarland Improvement Authority McFarland/Kern	Negotiated underwriting
FL	Sarasota County Health Facilities Authority Sarasota/Sarasota	Negotiated underwriting
SC	South Carolina Jobs - Economic Development Authority Columbia/Richland	Private placement
PA	Berks County Industrial Development Authority Reading/Berks	Negotiated underwriting

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>TX</i>	<i>New Hope Cultural Education Facilities Finance Corporation New Hope/Smith</i>	<i>Negotiated underwriting</i>
<i>AZ</i>	<i>The Industrial Development Authority of City of Glendale, Arizona Glendale/Maricopa</i>	<i>Negotiated underwriting</i>
<i>KY</i>	<i>Industrial Development Authority of the City of Lexington, Virginia Lexington/Lexington City</i>	<i>Negotiated underwriting</i>
<i>CO</i>	<i>Colorado Health Facilities Authority Denver/Denver</i>	<i>Negotiated underwriting</i>
<i>MI</i>	<i>Economic Development Corporation of the City of Grand Rapids Grand Rapids/Kent</i>	<i>Negotiated underwriting</i>
<i>VA</i>	<i>Virginia Small Business Financing Authority Richmond/Richmond City</i>	<i>Negotiated underwriting</i>
<i>WA</i>	<i>Washington State Housing Finance Commission Seattle/King</i>	<i>Negotiated underwriting</i>
<i>WI</i>	<i>Wisconsin Health &amp; Educational Facilities Authority Brookfield/Waukesha</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Ulster County Capital Resource Corporation Kingston /Ulster</i>	<i>Negotiated underwriting</i>
<i>IL</i>	<i>Illinois Finance Authority Chicago/Cook</i>	<i>Negotiated underwriting</i>

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### B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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*None*

### C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
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*None*

## FORM G-37



**Signature:** electronic

**Date:** Feb 8, 2018

**Name (must be officer of regulated entity):** *James Bushman*

**Address:** *200 South Wacker Drive, Chicago, IL 60606*

**Phone:** *414-978-6572*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)