

Name of Regulated Entity: US BANK NATIONAL ASSOCIATION

Report Period: Fourth Quarter of 2016

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title

(including any

city/county/state or other political subdivision) of municipal entity official Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including

any city/county/state or other political subdivision) of

political party

None

Payments by each contributor category



III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor category

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State Complete name of municipal

entity and city/county

Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)

None

B. Municipal Advisory Business

State Complete name of municipal Type of municipal advisory business (advice

entity and city/county

or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment

advisory services))

See Attachment

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity

Full Issue Description

Reportable Date of Selection

None



Signature: electronic **Date:** Sep 7, 2017 **Name (must be officer of regulated entity):** ADAM BLUMENREICH

Address: 800 Nicollet Mall, Minneapolis, MN 55402

Phone: 202-442-2729

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

State	County	Name of Entity	Type of Business
CA	Orange	City of Fullerton	Investment Management
		Fullerton Redevelopment Agency	
		City of Fullerton Public Financing Authority	
IA	Henry	Henry County Health Center	Investment Management
MN	Hennepin	City of Rogers	Investment Management
MN	Hennepin	Minnesota Sports Facilities Authority	Investment Management
MN	Olmsted	Rochester Public Utilities	Investment Management
NC	Forsyth	City of Winston-Salem	Investment Management
NJ	Middlesex	Rutgers, The State University of New Jersey	Investment Management
OH	Hamilton	Cincinnati Board of Park Commissioners	Investment Management
OH	Warren	City of Mason	Investment Management
OH	Warren	County of Warren	Investment Management
OR	Columbia	Clatskanie People's Utility District	Investment Management
PA	Allegheny	Hampton Shaler Water Authority	Investment Management
PA	Berks	Reading	Investment Management
PA	Clarion	Clarion Area School District	Investment Management
PA	Philadelphia	Pennsylvania Intergovernmental Cooperation	Investment Management
		Authority	
RI	Providence	Rhode Island Infrastructure Bank	Investment Management
WI	Calumet/Outagamie/	Appleton	Investment Management
	Winnebago		
WI	Dane	Wisconsin Investment Series Cooperative	Investment Management
		Commission	
WI	Manitowoc	Lakeshore Technical College	Investment Management
WI	Milwaukee	Housing Authority of the City of Milwaukee	Investment Management
WI	Milwaukee	Wisconsin Center District	Investment Management
WI	Ozaukee	City of Cedarburg	Investment Management

DRAFT MAG CLIENTS 4Q 2016 (8/31 rev)

Lost ASLC on 7/20/16; Added RIIB on 11/25/16