

# FORM G-37



**Name of Regulated Entity:** *US BANK NATIONAL ASSOCIATION*

**Report Period:** *Third Quarter of 2016*

## **I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

## **II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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*None*

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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*See*

*Attachment*

**C. Ballot-Approved Offerings**

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
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*None*

## FORM G-37



**Signature:** electronic

**Date:** *Sep 7, 2017*

**Name (must be officer of regulated entity):** *ADAM BLUMENREICH*

**Address:** *800 Nicollet Mall, Minneapolis, MN 55402*

**Phone:** *202-442-2729*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

State	County	Name of Entity	Type of Business
AK	Juneau	Alaska Student Loan Corporation	Investment Management
CA	Orange	City of Fullerton Fullerton Redevelopment Agency City of Fullerton Public Financing Authority	Investment Management
IA	Henry	Henry County Health Center	Investment Management
MN	Hennepin	City of Rogers	Investment Management
MN	Hennepin	Minnesota Sports Facilities Authority	Investment Management
MN	Olmsted	Rochester Public Utilities	Investment Management
NC	Forsyth	City of Winston-Salem	Investment Management
NJ	Middlesex	Rutgers, The State University of New Jersey	Investment Management
OH	Hamilton	Cincinnati Board of Park Commissioners	Investment Management
OH	Warren	City of Mason	Investment Management
OH	Warren	County of Warren	Investment Management
OR	Columbia	Clatskanie People's Utility District	Investment Management
PA	Allegheny	Hampton Shaler Water Authority	Investment Management
PA	Berks	Reading	Investment Management
PA	Clarion	Clarion Area School District	Investment Management
PA	Philadelphia	Pennsylvania Intergovernmental Cooperation Authority	Investment Management
WI	Calumet/Outagamie/ Winnebago	Appleton	Investment Management
WI	Dane	Wisconsin Investment Series Cooperative Commission	Investment Management
WI	Manitowoc	Lakeshore Technical College	Investment Management
WI	Milwaukee	Housing Authority of the City of Milwaukee	Investment Management
WI	Milwaukee	Wisconsin Center District	Investment Management
WI	Ozaukee	City of Cedarburg	Investment Management

DRAFT MAG CLIENTS 3Q 2016

(rev 8/31/17)