

#### Name of Regulated Entity: Compass Municipal Advisors, LLC

Report Period: Second Quarter of 2017

#### I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State

Complete name, title (including any city/county/state or other political subdivision) of municipal entity official Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

#### **II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including	Payments by each contributor category
	any city/county/state or other	
	political subdivision) of	
	political party	

None



### **III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

#### A. Contributions

State

Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities

Contributions, including the specific date the contributions were made, by each contributor category

None

#### **B.** Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



# IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

#### A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
None		
B. Municipal Ad	visory Business	
State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
See		

See Attachment

#### **C. Ballot-Approved Offerings**

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of	Full Issue Description
Municipal	-
Entity	

None

Reportable Date of Selection



Signature: electronicDate: Jul 21, 2017Name (must be officer of regulated entity): Kim McManusAddress: 1310 Pulaski Street, Columbia, SC 29201Phone: 973-618-9500

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

State	Client Name	City, State, Zip	County	Business Type
SC	Anderson CSD No. 2	Honea Path, SC 29654	Anderson	Advisory
KY	Hancock Co	Hawesville, KY 42348	Hancock	Advisory
SC	Newberry County	Newberry, SC 29108	Newberry	Advisory
SC	Darlington CSD	Darlington, SC 29532	Darlington	Advisory
KY	KACo FC	Frankfort, KY 40601	Franklin	Advisory
SC	Newberry CSD	Newberry, SC 29108	Newberry	Advisory
	South Carolina Association of			
SC	Governmental Organizations	Conway, SC 29526	n/a	Advisory
SC	Lexington CSD No. 1	Lexington, SC 29071	Lexington	Advisory
KY	Rowan County	Morehead, KY 40351	Rowan	Advisory
SC	York CSD No. 4	Fort Mill, SC 29715	York	Advisory
SC	Lancaster CSD	Lancaster, SC 29720	Lancaster	Advisory
SC	City of Hartsville	Hartsville, SC 29551	Darlington	Advisory
KY	Carlisle County	Bardwell, KY 42023	Carlisle	Advisory
SC	Richland CSD No. 2	Columbia, SC 29206	Richland	Advisory
SC	Spartanburg CSD No. 7	Spartanburg, SC 29304	Spartanburg	Advisory
SC	Georgetown CSD	Georgetown, SC 29440	Georgetown	Advisory
KY	Whitley County	Williamsburg, KY 40769	Whitley	Advisory
SC	Florence CSD No. 2	Pamplico, SC 29583	Florence	Advisory
SC	Florence CSD No. 1	Florence, SC 29506	Florence	Advisory
SC	Pickens CSD	Easley, SC 29640	Pickens	Advisory
KY	Shelby County	Shelbyville, KY 40065	Shelby	Advisory
SC	Calhoun CSD	St. Matthews, SC 29135	Calhoun	Advisory
КҮ	Rowan County	Morehead, KY 40351	Rowan	Advisory
SC	York CSD No. 4	Fort Mill, SC 29715	York	Advisory
КҮ	Breckinridge County	Hardinsburg, KY 40143	Breckinridge	Advisory
КҮ	City of Walton	Walton, KY 41094	Boone, Kenton	Advisory
SC	Orangeburg CSD No. 3	Holly Hill, SC 29059	Orangeburg	Advisory
SC	Anderson CSD No. 5	Anderson, SC 29625	Anderson	Advisory
SC	Georgetown CSD	Georgetown, SC 29440	Georgetown	Advisory
SC	Chester Sewer District	Richburg, SC 29729	Chester	Advisory
KY	Laurel County	London, KY 40741	Laurel	Advisory
КΥ	Laurel County	London, KY 40741	Laurel	Advisory
SC	York CSD No. 3	Rock Hill, SC 29731	York	Advisory
SC	York County	York, SC 29745	York	Advisory
SC	Sumter CSD	Sumter, SC 29150	Sumter	Advisory
SC	Dorchester CSD No. 4	St. George, SC 29477	Dorchester	Advisory
SC	City of Clinton	Clinton, SC 29325	Laurens	Advisory
SC	Saluda CSD	Saluda, SC 29138	Saluda	Advisory
SC	Laurens CSD No. 55	Laurens, SC 29360	Laurens	Advisory
SC	Chester CSD	Chester, SC 29706	Chester	Advisory
SC	City of Lake City	Lake City, SC 29560	Florence	Advisory
SC	Beaufort CSD	Beaufort, SC 29902	Beaufort	Advisory