

FORM G-37



Name of Regulated Entity: *SAMUEL A. RAMIREZ & COMPANY INC.*

Report Period: *Second Quarter of 2017*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>See Attachment</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>See Attachment</i>		

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Jul 20, 2017*

Name (must be officer of regulated entity): *Joseph Koffer*

Address: *61 BROADWAY 29th FLOOR, NEW YORK, NY 10006*

Phone: *212-378-7165*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)



Samuel A. Ramirez Co., Inc.

April 01, 2017 - June 30, 2017

Quarter 2, 2017

Sale Date	Settlement Date	Issue Size(m)	State	Issuer	Role	Purpose
03/07/2017	04/04/2017	342,240	NJ	NJ Ed Fac Auth Princeton Univ	Co-Manager	Higher Ed
03/28/2017	04/05/2017	122,940	NY	New York City HDC	Co-Manager	Mortgage Backed
03/28/2017	04/05/2017	24,500	NY	New York City HDC	Co-Senior Manager	Mortgage Backed
03/29/2017	04/05/2017	61,500	NY	New York City HDC	Co-Senior Manager	Mortgage Backed
03/21/2017	04/13/2017	588,745	NY	NY Envir Revolving Fund NYC Wtr	Co-Senior Manager	St Revolving General
03/28/2017	04/13/2017	28,130	RI	Rhode Island Infrstructure Bank	Senior Co-Manager	Water Revenue
03/30/2017	04/13/2017	630,855	CA	Golden State Tobacco Securitization	Co-Manager	Asset Backed
03/30/2017	04/13/2017	79,995	CA	Santa Monica CCD	Co-Senior Manager	School District
03/30/2017	04/13/2017	15,400	CA	Santa Monica CCD	Co-Senior Manager	School District
04/05/2017	04/13/2017	336,335	NY	NY Envir Fac Corp NYC Muni Wtr	Co-Senior Manager	St Revolving General
03/22/2017	04/19/2017	85,990	NE	Nebraska Pub Pwr Dist	Financial Advisor	Power Revenue
04/11/2017	04/19/2017	839,035	NY	New York City TFA Future Tax	Senior Co-Manager	GO
04/04/2017	04/25/2017	163,585	NY	Suffolk Co	Co-Senior Manager	GO
04/11/2017	04/25/2017	83,220	PA	Philadelphia Muni Auth	Co-Senior Manager	Lease Revenue
04/04/2017	04/27/2017	308,005	TX	San Antonio Elec & Gas CPS	Co-Manager	Utility Rev
04/05/2017	04/27/2017	54,635	PA	Pittsburgh	Co-Manager	GO
04/18/2017	04/27/2017	344,665	NY	NY DA St Univ	Co-Manager	Higher Ed
04/20/2017	04/27/2017	1,248,070	CA	California	Co-Manager	GO
04/04/2017	05/03/2017	63,480	RI	Rhode Island Hlth & Edu	Co-Manager	Revenue
04/25/2017	05/04/2017	226,175	GA	Atlanta Wtr & Wste	Co-Senior Manager	Water & Sewer
04/26/2017	05/09/2017	554,730	NY	Port Auth of NY & NJ	Co-Senior Manager	Transportation
04/26/2017	05/09/2017	300,000	NY	Port Auth of NY & NJ	Co-Senior Manager	Transportation
04/25/2017	05/10/2017	11,350	RI	Rhode Island Infrstructure Bank	Co-Senior Manager	Water & Sewer
04/25/2017	05/11/2017	125,000	CT	Connecticut HFA	Co-Manager	Mortgage Backed
04/26/2017	05/11/2017	530,270	CA	Los Angeles Dwap	Co-Manager	Water Revenue
05/02/2017	05/16/2017	402,140	WI	Wisconsin Genl Fund Annual Approp	Co-Manager	Annual Appropriation
05/10/2017	05/17/2017	680,265	NY	Metro Transp Auth Dedicated Tax	Senior Manager	Transportation
05/04/2017	05/18/2017	322,985	MA	Mass Wtr Resource Auth	Co-Manager	Water Revenue
05/15/2017	05/23/2017	65,680	NY	New York HFA Affordable Hsg	Senior Manager	Mortgage Backed
05/16/2017	05/25/2017	43,280	MA	Massachusetts HFA	Co-Manager	Mortgage Backed
05/23/2017	05/30/2017	2,108,465	NY	Hudson Yards Infra Corp	Co-Senior Manager	Annual Appropriation
05/16/2017	06/01/2017	450,170	NY	NY Dorm Auth NYU	Co-Manager	Higher Ed
05/16/2017	06/01/2017	227,835	NY	NY Dorm Auth NYU	Co-Manager	Higher Ed
05/10/2017	06/08/2017	302,510	NY	NY DA State School Dist Rev	Co-Manager	School District
05/31/2017	06/13/2017	23,615	RI	Rhode Island Hlth & Edu	Co-Manager	Refunding

Sale Date	Settlement Date	Issue Size(m)	State	Issuer	Role	Purpose
06/01/2017	06/13/2017	161,155	MA	Mass Ed Fin Auth	Financial Advisor	Revenue
06/01/2017	06/15/2017	355,125	CT	Connecticut	Co-Senior Manager	St Revolving General
06/07/2017	06/22/2017	133,701	TX	Texas Dept Hsg & Cmnty Affairs	Senior Manager	Mortgage Backed
06/13/2017	06/28/2017	242,750	NY	New York City HDC	Co-Manager	Mortgage Backed
06/20/2017	06/28/2017	812,495	IL	Chicago OHare Intl Arpt	Co-Senior Manager	Airport Revenue
06/20/2017	06/29/2017	121,375	NY	NY Mortgage Agency	Co-Manager	Mortgage Backed
06/21/2017	06/29/2017	850,000	NY	New York City TFA	Co-Senior Manager	GO
06/21/2017	06/29/2017	39,910	NJ	NJ Ed Fac Auth Seton Hall	Co-Manager	Higher Ed
06/21/2017	06/29/2017	31,970	NJ	NJ Ed Fac Auth Seton Hall	Co-Manager	Higher Ed
06/22/2017	06/29/2017	95,880	NY	New York HFA Affordable Hsg	Co-Manager	Mortgage Backed

Samuel A. Ramirez & Co. Inc.
For the quarter ending June 30, 2017
Municipal Advisory Activities

State	Municipal Entity	City/County/Municipality	Type of Municipal Advisory Business (Advice or Solicitation)	Third Party Solicitor	Nature of Business Solicited
MO	Missouri Joint Municipal Electric Utility ("MJMECU")	State of Missouri	Advice	None	None
OH	American Municipal Power, Inc. ("AMP")	State of Ohio	Advice	None	None
NE	Nebraska Public Power District ("NPPD")	State of Nebraska	Advice	None	None
MA	Massachusetts Educational Financing Authority ("MEFA")	State of Massachusetts	Advice	None	None