

**Name of dealer:** *J.P. Morgan Securities LLC.*

**Report Period:** *Fourth Quarter of 2013*

**I. CONTRIBUTIONS made to issuer officials (listed by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of issuer official	Contributions by each contributor category (i.e., dealer, dealer controlled PAC, municipal finance professional controlled PAC, municipal finance professionals and non-MFP executive officers). For each contribution, list contribution amount and contributor category (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
<i>None</i>		

**II. PAYMENTS made to political parties of states or political subdivisions (listed by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category (i.e., dealer, dealer controlled PAC, municipal finance professional controlled PAC, municipal finance professionals, and non-MFP executive officers). For each payment, list payment amount and contributor category (For example, \$500 payment by non-MFP executive officer)
<i>None</i>		

**III. CONTRIBUTIONS made to bond ballot campaigns (listed by state)**

**Contribution**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category (i.e., dealer, dealer controlled PAC, municipal finance professional controlled PAC, municipal finance professionals and non-MFP executive officers). For each contribution, list contribution amount and contributor category (For example, \$500 contribution by non-MFP executive officer)
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*None*

**Reimbursement**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each broker, dealer or municipal securities dealer, municipal finance professional or non-MFP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. ISSUERS with which dealer has engaged in municipal securities business (listed by state)**

**A. Municipal Securities Business**

State	Complete name of issuer and city/county	Type of municipal securities business (negotiated underwriting, agency offering, financial advisor, or remarketing agent)
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*See Attachment*

**B. Ballot-Approved Offerings**

Full issuer name and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category (i.e., dealer, dealer controlled PAC, municipal finance professional controlled PAC, municipal finance professionals and non-MFP executive officers) has made a contribution and the reportable date of selection on which the broker, dealer or municipal securities dealer was selected to engage in such municipal securities business.

Full Issuer Name	Full Issue Description	Reportable Date of Selection
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*None*

**FORM G-37**



**Signature:** electronic

**Date:** *Jan 17, 2014*

**Name:** *HEATHER GRZANKA*

**Address:** *383 MADISON AVE FLOOR 08, NEW YORK, NY 10179*

**Phone:** *212-834-8442*

Submit two completed forms quarterly by  
due date (specified by the MSRB) to:

**Municipal Securities Rulemaking Board**

1900 Duke Street

Suite 600

Alexandria, Virginia 22314

J.P. Morgan Securities LLC - Public Finance  
Q4 2013 - G-37 Submission

State	Issuer Name	Type
AK	Alaska Housing Finance Corporation	Negotiated Underwriting
CA	California Health Facilities Financing Authority	Commercial Paper Dealer
CA	East Bay Municipal Utility District	Remarketing Agent
CA	Los Angeles International Airport	Negotiated Underwriting
CA	San Francisco Bay Area Rapid Transit District	Negotiated Underwriting
CA	San Francisco Municipal Transportation Agency	Negotiated Underwriting
CA	State of California	Negotiated Underwriting
CA	State of California	Remarketing Agent
CO	Catholic Health Initiatives	Negotiated Underwriting
CO	Colorado Health Facilities Authority	Negotiated Underwriting
CO	Lower Colorado River Authority	Negotiated Underwriting
CT	Connecticut Housing Finance Authority	Negotiated Underwriting
CT	State of Connecticut	Negotiated Underwriting
DE	Delaware River Port Authority	Negotiated Underwriting
FL	Town of Davie, Florida	Negotiated Underwriting
FL	Broward County, Florida	Negotiated Underwriting
FL	City of Jacksonville, Florida	Commercial Paper Dealer
FL	City of North Port, Florida	Negotiated Underwriting
FL	Hillsborough County Aviation Authority	Negotiated Underwriting
FL	School District of Pasco County, Florida	Negotiated Underwriting
FL	Sunshine State Governmental Financing Commission	Negotiated Underwriting
FL	Sunshine State Governmental Financing Commission	Remarketing Agent
HI	State of Hawaii	Negotiated Underwriting
HI	State of Hawaii Department of Transportation	Negotiated Underwriting
IA	Iowa Finance Authority	Negotiated Underwriting
IL	City of Chicago	Negotiated Underwriting
IL	Illinois Educational Facilities Authority	Commercial Paper Dealer
IL	Illinois Educational Facilities Authority	Negotiated Underwriting
IN	Indiana Finance Authority	Remarketing Agent
IN	Indiana Housing and Community Development Authority	Negotiated Underwriting
IN	Indiana Municipal Power Agency	Negotiated Underwriting
KY	Kentucky Public Transportation Infrastructure	Negotiated Underwriting
LA	State of Louisiana	Negotiated Underwriting
MA	Massachusetts Housing Finance Agency	Negotiated Underwriting
MA	The Commonwealth of Massachusetts	Negotiated Underwriting
MA	The Commonwealth of Massachusetts	Remarketing Agent
MA	The Commonwealth of Massachusetts Federal Highway	Negotiated Underwriting
MD	Maryland Department of Housing and Community	Negotiated Underwriting
MO	Missouri Environmental Improvement & Energy	Negotiated Underwriting
MO	State of Missouri	Remarketing Agent
MO	The Metropolitan St. Louis Sewer District	Negotiated Underwriting
NE	Nebraska Investment Finance Authority	Negotiated Underwriting
NE	Nebraska Public Power District	Negotiated Underwriting
NJ	New Jersey Health Care Facilities Financing Authority	Negotiated Underwriting
NJ	State of New Jersey	Negotiated Underwriting
NM	New Mexico Mortgage Finance Authority	Negotiated Underwriting
NY	Battery Park City Authority	Negotiated Underwriting
NY	Dormitory Authority of the State of New York	Negotiated Underwriting

J.P. Morgan Securities LLC - Public Finance  
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State	Issuer Name	Type
NY	Grand Central District Management Association, Inc	Negotiated Underwriting
NY	Metropolitan Transportation Authority	Negotiated Underwriting
NY	Nassau County, New York	Negotiated Underwriting
NY	New York City Housing Development Corporation	Negotiated Underwriting
NY	New York City Municipal Water Finance Authority	Negotiated Underwriting
NY	New York City Municipal Water Finance Authority	Remarketing Agent
NY	New York City Transitional Finance Authority	Negotiated Underwriting
NY	New York State Housing Finance Agency	Negotiated Underwriting
NY	The City of New York	Negotiated Underwriting
NY	The City of New York	Remarketing Agent
NY	Tobacco Settlement Financing Corporation	Negotiated Underwriting
OH	County of Hamilton, Ohio	Negotiated Underwriting
OH	Ohio Water Development Authority	Negotiated Underwriting
OR	State of Oregon	Negotiated Underwriting
OR	State of Oregon Housing and Community Services	Negotiated Underwriting
PA	Geisinger Authority (Montour County, Pennsylvania)	Remarketing Agent
RI	Rhode Island Health and Educational Building Corporation	Negotiated Underwriting
RI	Rhode Island Housing and Mortgage Finance Corporation	Negotiated Underwriting
RI	State of Rhode Island	Negotiated Underwriting
SD	South Dakota Housing Development Authority	Negotiated Underwriting
TN	Tennessee Housing Development Agency	Negotiated Underwriting
TN	Tennessee State School Bond Authority	Negotiated Underwriting
TX	City of Houston	Commercial Paper Dealer
TX	City of San Antonio, Texas Education Facilities	Negotiated Underwriting
TX	Dallas County Hospital District	Negotiated Underwriting
TX	Dallas Performing Arts Cultural Facilities Corporation	Remarketing Agent
TX	State of Texas	Negotiated Underwriting
TX	Texas A&M University System	Commercial Paper Dealer
UT	Intermountain Power Agency	Commercial Paper Dealer
UT	Salt Lake County, Utah	Negotiated Underwriting
VA	City of Norfolk	Negotiated Underwriting
VA	Commonwealth of Virginia	Negotiated Underwriting
VA	Virginia Resources Authority	Negotiated Underwriting
VT	State of Vermont	Negotiated Underwriting
WA	King County, Washington	Negotiated Underwriting
WA	Port of Seattle	Negotiated Underwriting
WA	Public Utility District No. 1 of Grays Harbor	Negotiated Underwriting
WA	Washington Health Care Facilities Authority	Negotiated Underwriting
WI	State of Wisconsin	Commercial Paper Dealer
WI	State of Wisconsin	Negotiated Underwriting
WI	Wisconsin Housing and Economic Development	Remarketing Agent