

Name of Regulated Entity: Central States Capital Markets, LLC

Report Period: Fourth Quarter of 2019

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title Contributions by each contributor category

(i.e., for purposes of this form, dealer, dealer (including any

city/county/state or other controlled PAC, municipal finance political subdivision) of professional, municipal finance professional municipal entity official controlled PAC, non-MFP executive officer,

municipal advisor, municipal advisor controlled PAC, municipal advisor

professional, municipal advisor professional controlled PAC, and non-MAP executive

officer). For each contribution, list

contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution

by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date

of such automatic exemption.

KS Barbara Bollier for US \$100.00 contribution by Non-MFP executive

Senate, Candidate for US officer

Senate Kansas of KS

Amy Klobuchar for President, \$100.00 contribution by Non-MFP executive MN

Senator from Minnesota of

MN

KS Barbara Bollier, Candidate \$100.00 contribution by Non-MFP executive

for US Senate, State of Kansas

of KS

officer

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including Payments by each contributor category

any city/county/state or other political subdivision) of

political party

None



III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor

category

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State Complete name of municipal Type of municipal securities business

entity and city/county (negotiated underwriting, private placement,

financial advisor, or remarketing agent)

KS Alma, KS GO Refunding, Negotiated underwriting

Alma, Waubaunsee

KS City of Hutchinson, KS, Negotiated underwriting

Hutchinson, Reno

B. Municipal Advisory Business

State Complete name of municipal Type of municipal advisory business (advice

entity and city/county

or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment

advisory services))

None

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity Issue Description

Reportable Date of Selection

None



Signature: electronic Date: Feb 7, 2020

Name (must be officer of regulated entity): BARBARA BENNETT

Address: 4200 W. 83rd St., Prairie Village, KS 66208

Phone: 515-375-2836

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)