

# FORM G-37



**Name of Regulated Entity:** *Northwest Municipal Advisors, Inc.*

**Report Period:** *Fourth Quarter of 2019*

## **I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
<i>None</i>		

## **II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
<i>None</i>		

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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*None*

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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WA	<i>Mercer Island School District No. 400, Mercer Island, King</i>	<i>Advice</i>
WA	<i>Clatskanie People's Utility District, Clatskanie, Columbia</i>	<i>Advice</i>
WA	<i>Port of Pasco, Pasco, Franklin</i>	<i>Advice</i>
WA	<i>Cascade Water Alliance, Bellevue, King</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Lewis County, Chehalis, Lewis</i>	<i>Advice</i>
WA	<i>Kitsap Public Facilities District, Kitsap, Silverdale</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Skagit County, Mount Vernon, Skagit</i>	<i>Advice</i>
WA	<i>Sno-Isle Libraries, Marysville, Snohomish</i>	<i>Advice</i>
WA	<i>Kennewick School District No. 17, Kennewick, Benton</i>	<i>Advice</i>
WA	<i>City of Spokane, Spokane, Spokane</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Thurston County, Lacey, Thurston</i>	<i>Advice</i>
WA	<i>Port of Longview, Longview,</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
WA	<i>Cowlitz Port of Port Angeles, Port Angeles, Clallam</i>	<i>Advice</i>
WA	<i>Sno-Isle Libraries, Marysville, Snohomish</i>	<i>Advice</i>
WA	<i>Port of Everett, Everett, Snohomish</i>	<i>Advice</i>
WA	<i>City of Centralia, Centralia, Lewis</i>	<i>Advice</i>
WA	<i>Kennewick School District No. 17, Kennewick, Benton</i>	<i>Advice</i>
WA	<i>Walla Walla School District, Walla Walla, Walla Walla</i>	<i>Advice</i>
WA	<i>Port of Port Angeles, Port Angeles, Clallam</i>	<i>Advice</i>
WA	<i>Metropolitan Park District of Tacoma, Tacoma, Pierce</i>	<i>Advice</i>
WA	<i>City of Lakewood, Lakewood, Pierce</i>	<i>Advice</i>
WA	<i>City of Airway Heights, Airway Heights, Spokane</i>	<i>Advice</i>
WA	<i>Public Utility District No. 3 of Mason County, Belfair, Mason</i>	<i>Advice</i>
WA	<i>City of Poulsbo, Poulsbo, Skagit</i>	<i>Advice</i>
WA	<i>Auburn School District, Auburn, King &amp; Pierce</i>	<i>Advice</i>
WA	<i>Bainbridge Island School District No. 303 WA, Bainbridge Island, Kitsap</i>	<i>Advice</i>
WA	<i>Bainbridge Island School District No. 303 WA, Bainbridge Island, Kitsap</i>	<i>Advice</i>
WA	<i>Mead School District No. 354, Mead, Spokane</i>	<i>Advice</i>
WA	<i>West Plains Public</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
WA	<i>Development Authority, Spokane, Spokane</i>	<i>Advice</i>
OR	<i>Monroe School District. No. 103, Monroe, Snohomish</i>	<i>Advice</i>
WA	<i>Columbia River People's Utility District, St. Helens, Columbia</i>	<i>Advice</i>
WA	<i>Snohomish County, Everett, Snohomish</i>	<i>Advice</i>
WA	<i>Lake Washington School District No. 414, Kirkland, King</i>	<i>Advice</i>
WA	<i>Mead School District No. 354, Mead, Spokane</i>	<i>Advice</i>
OR	<i>Central Lincoln People's Utility District, Newport, Lincoln</i>	<i>Advice</i>
WA	<i>Port of Chehalis, Chehalis, Lewis</i>	<i>Advice</i>
WA	<i>Snohomish County, Everett, Snohomish</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Douglas County, East Wenatchee, Douglas</i>	<i>Advice</i>
WA	<i>Richland School District No. 400, Richland, Benton</i>	<i>Advice</i>
WA	<i>City of Pullman, Pullman, Whitman</i>	<i>Advice</i>
WA	<i>Spokane County Public Facilities District, Spokane, Spokane</i>	<i>Advice</i>
WA	<i>Port of Poulsbo, Poulsbo, Kitsap</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Kittitas County, Ellensburg, Kittitas</i>	<i>Advice</i>
WA	<i>Port of Grays Harbor,</i>	<i>Advice</i>

# FORM G-37

## B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	<i>Aberdeen, Grays Harbor</i>	
WA	<i>City of Algona, Algona, King</i>	<i>Advice</i>
WA	<i>City of Longview, Longview, Cowlitz</i>	<i>Advice</i>
WA	<i>Centralia School District No. 401, Centralia, Lewis</i>	<i>Advice</i>
WA	<i>Town of La Conner, La Conner, Skagit</i>	<i>Advice</i>
WA	<i>Port of Olympia, Olympia, Thurston</i>	<i>Advice</i>
WA	<i>Port of Moses Lake, Moses Lake, Grant</i>	<i>Advice</i>
WA	<i>Port of Port Townsend, Port Townsend, Jefferson</i>	<i>Advice</i>
WA	<i>North Olympic Library System, Port Angeles, Clallam</i>	<i>Advice</i>
WA	<i>Spokane County, Spokane, Spokane</i>	<i>Advice</i>
WA	<i>City of Lakewood, Lakewood, Pierce</i>	<i>Advice</i>
WA	<i>Lewis County Public Facilities District, Chehalis, Lewis</i>	<i>Advice</i>
WA	<i>Spokane Public Facilities District, Spokane, Spokane</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Kitsap County, Poulsbo, Kitsap</i>	<i>Advice</i>
WA	<i>City of Ellensburg, Ellensburg, Kittitas</i>	<i>Advice</i>
WA	<i>Centralia School District No. 401, Centralia, Lewis</i>	<i>Advice</i>
WA	<i>Town of La Conner, La Conner, Skagit</i>	<i>Advice</i>
WA	<i>West Plains Public Development Authority, Spokane, Spokane</i>	<i>Advice</i>

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WA	<i>City of Airway Heights, Airway Heights, Spokane</i>	<i>Advice</i>
WA	<i>Everett School District No. 2, Everett, Snohomish</i>	<i>Advice</i>
WA	<i>Monroe School District. No. 103, Monroe, Snohomish</i>	<i>Advice</i>
WA	<i>Tumwater School District No. 33, Tumwater, Thurston</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Skagit County, Mount Vernon, Skagit</i>	<i>Advice</i>
WA	<i>Lynnwood Pubic Facilities District, Lynnwood, Snohomish</i>	<i>Advice</i>
WA	<i>City of Longview, Longview, Cowlitz</i>	<i>Advice</i>
WA	<i>Clatskanie People's Utility District, Clatskanie, Columbia</i>	<i>Advice</i>
WA	<i>Snohomish County Public Facilities District, Everett, Snohomish</i>	<i>Advice</i>
WA	<i>City of Algona, Algona, King</i>	<i>Advice</i>
WA	<i>City of Wenatchee, Wenatchee, Chelan</i>	<i>Advice</i>
WA	<i>Mukilteo School District No. 6, Mukilteo, Snohomish</i>	<i>Advice</i>
WA	<i>Cascade Water Alliance, Bellevue, King</i>	<i>Advice</i>
WA	<i>Port of Everett, Everett, Snohomish</i>	<i>Advice</i>
WA	<i>Mason County, Shelton, Mason</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Douglas County, East Wenatchee, Douglas</i>	<i>Advice</i>
WA	<i>North City Water District, Shoreline, King</i>	<i>Advice</i>

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WA	<i>Port of Grays Harbor, Aberdeen, Grays Harbor</i>	<i>Advice</i>
WA	<i>City of Ellensburg, Ellensburg, Kittitas</i>	<i>Advice</i>
WA	<i>Kitsap Transit, Bremerton, Kitsap</i>	<i>Advice</i>
WA	<i>City of Port Angeles, Port Angeles, Clallam</i>	<i>Advice</i>
WA	<i>Mercer Island School District No. 400, Mercer Island, King</i>	<i>Advice</i>
WA	<i>Auburn School District, Auburn, King &amp; Pierce</i>	<i>Advice</i>
OR	<i>Central Lincoln People's Utility District, Newport, Lincoln</i>	<i>Advice</i>
WA	<i>Issaquah School District No. 411, Issaquah, King</i>	<i>Advice</i>
WA	<i>City of Spokane, Spokane, Spokane</i>	<i>Advice</i>
WA	<i>City of Pasco, Pasco, Franklin</i>	<i>Advice</i>
WA	<i>Highlands Sewer District, Seattle, King</i>	<i>Advice</i>
WA	<i>Public Utility District No. 3 of Mason County, Belfair, Mason</i>	<i>Advice</i>
WA	<i>Pasco School District No. 1, Pasco, Franklin</i>	<i>Advice</i>
WA	<i>City of Poulsbo, Poulsbo, Skagit</i>	<i>Advice</i>
WA	<i>Mason County, Shelton, Mason</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Thurston County, Lacey, Thurston</i>	<i>Advice</i>
WA	<i>Port of Olympia, Olympia, Thurston</i>	<i>Advice</i>



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WA	<i>Yakima-Tieton Irrigation District, Yakima, Yakima</i>	<i>Advice</i>
WA	<i>Spokane City, Spokane, Spokane</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Kitsap County, Poulsbo, Kitsap</i>	<i>Advice</i>
WA	<i>Southeast Alaska Power Agency, Ketchikan, Ketchikan Gateway</i>	<i>Advice</i>
WA	<i>Port of Moses Lake, Moses Lake, Grant</i>	<i>Advice</i>
WA	<i>Snoqualmie Valley School District No. 410, Snoqualmie, King</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Kittitas County, Ellensburg, Kittitas</i>	<i>Advice</i>
WA	<i>Metropolitan Park District of Tacoma, Tacoma, Pierce</i>	<i>Advice</i>
OR	<i>Columbia River People's Utility District, St. Helens, Columbia</i>	<i>Advice</i>
WA	<i>City of Pasco, Pasco, Franklin</i>	<i>Advice</i>
WA	<i>Walla Walla School District, Walla Walla, Walla Walla</i>	<i>Advice</i>
WA	<i>North Olympic Library System, Port Angeles, Clallam</i>	<i>Advice</i>
WA	<i>Spokane County, Spokane, Spokane</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Klickitat County, Goldendale, Klickitat</i>	<i>Advice</i>
WA	<i>Lewis County Public Facilities District, Chehalis, Lewis</i>	<i>Advice</i>

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WA	<i>Yakima-Tieton Irrigation District, Yakima, Yakima</i>	<i>Advice</i>
WA	<i>City of Port Townsend, Port Townsend, Jefferson</i>	<i>Advice</i>
WA	<i>Highlands Sewer District, Seattle, King</i>	<i>Advice</i>
WA	<i>Lakewood Water District, Lakewood, Pierce</i>	<i>Advice</i>
WA	<i>Stevens County Fire District No. 13, Evans, Stevens</i>	<i>Advice</i>
WA	<i>Energy Northwest, Richland, Benton</i>	<i>Advice</i>
WA	<i>Spokane City, Spokane, Spokane</i>	<i>Advice</i>
WA	<i>Issaquah School District No. 411, Issaquah, King</i>	<i>Advice</i>
WA	<i>City of Wenatchee, Wenatchee, Chelan</i>	<i>Advice</i>
WA	<i>Richland School District No. 400, Richland, Benton</i>	<i>Advice</i>
WA	<i>City of Edmonds, Edmonds, Snohomish</i>	<i>Advice</i>
WA	<i>Port of Port Townsend, Port Townsend, Jefferson</i>	<i>Advice</i>
WA	<i>North City Water District, Shoreline, King</i>	<i>Advice</i>
WA	<i>Snoqualmie Valley School District No. 410, Snoqualmie, King</i>	<i>Advice</i>
WA	<i>City of Port Angeles, Port Angeles, Clallam</i>	<i>Advice</i>
WA	<i>Tillamook People's Utility District, Tillamook, Tillamook</i>	<i>Advice</i>
WA	<i>Tumwater School District No. 33, Tumwater, Thurston</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Whatcom County, Ferndale,</i>	<i>Advice</i>

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WA	<i>Whatcom City of Port Townsend, Port Townsend, Jefferson</i>	<i>Advice</i>
WA	<i>Port of Poulsbo, Poulsbo, Kitsap</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Whatcom County, Ferndale, Whatcom</i>	<i>Advice</i>
WA	<i>Lakewood Water District, Lakewood, Pierce</i>	<i>Advice</i>
WA	<i>City of Pullman, Pullman, Whitman</i>	<i>Advice</i>
WA	<i>NoaNet, Gig Harbor, King</i>	<i>Advice</i>
WA	<i>Everett Public Facilities District, Everett, Snohomish</i>	<i>Advice</i>
ID	<i>Joint School District No. 136 (Melba), Melba, Canyon</i>	<i>Advice</i>
WA	<i>Southeast Alaska Power Agency, Ketchikan, Ketchikan Gateway</i>	<i>Advice</i>
WA	<i>Pasco School District No. 1, Pasco, Franklin</i>	<i>Advice</i>
WA	<i>Snohomish County Public Facilities District, Everett, Snohomish</i>	<i>Advice</i>
WA	<i>Tillamook People's Utility District, Tillamook, Tillamook</i>	<i>Advice</i>
WA	<i>Port of Pasco, Pasco, Franklin</i>	<i>Advice</i>
WA	<i>Port of Chehalis, Chehalis, Lewis</i>	<i>Advice</i>
WA	<i>City of Olympia, Olympia, Thurston</i>	<i>Advice</i>
WA	<i>Kitsap Transit, Bremerton, Kitsap</i>	<i>Advice</i>
WA	<i>City of Washougal, Washougal, Clark</i>	<i>Advice</i>

**B. Municipal Advisory Business**

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WA	<i>Mukilteo School District No. 6, Mukilteo, Snohomish</i>	<i>Advice</i>
WA	<i>City of Centralia, Centralia, Lewis</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Lewis County, Chehalis, Lewis</i>	<i>Advice</i>
WA	<i>Everett School District No. 2, Everett, Snohomish</i>	<i>Advice</i>
WA	<i>Everett Public Facilities District, Everett, Snohomish</i>	<i>Advice</i>
WA	<i>City of Edmonds, Edmonds, Snohomish</i>	<i>Advice</i>
WA	<i>NoaNet, Gig Harbor, King</i>	<i>Advice</i>
WA	<i>Lynnwood Public Facilities District, Lynnwood, Snohomish</i>	<i>Advice</i>
WA	<i>Public Utility District No. 1 of Klickitat County, Goldendale, Klickitat</i>	<i>Advice</i>
WA	<i>Lake Washington School District No. 414, Kirkland, King</i>	<i>Advice</i>

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>Kennewick School District No. 17</i>	<i>Voted School Bond Issue</i>	<i>02/22/2018</i>

## FORM G-37



**Signature:** electronic

**Date:** *Feb 5, 2020*

**Name (must be officer of regulated entity):** *Alan Dashen*

**Address:** *11900 NE 1st Street, Bellevue, WA 98005*

**Phone:** *425-452-9550*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)