

Name of Regulated Entity: Pickwick Capital Partners, LLC

Report Period: Fourth Quarter of 2019

#### I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title

(including any

city/county/state or other political subdivision) of municipal entity official

Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date

of such automatic exemption.

None

#### II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including

any city/county/state or other

political subdivision) of

political party

None

Payments by each contributor category



#### III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

#### A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor category

None

#### **B.** Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



# IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

#### A. Municipal Securities Business

State Complete name of municipal Type of municipal securities business entity and city/county (negotiated underwriting, private placement,

financial advisor, or remarketing agent)

None

#### **B.** Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
TX	Dallas Police & Fire Pension System, County of Dallas	Solicitation; Metropolitan Partners Group; Municipal advisory business
TX	Employees Retirement Fund of the City of Dallas , County of Dallas	Solicitation; Metropolitan Partners Group; Municipal advisory business
TX	Texas Permanent School Fund, County of Austin	Solicitation; Metropolitan Partners Group; Municipal advisory business
TX	Employees Retirement System of Texas, County of Travis	Solicitation; Metropolitan Partners Group; Municipal advisory business
CT	Hartford Municipal Employees Retirement System , County of Hartford	Solicitation; Metropolitan Partners Group; Municipal advisory business
TX	Houston Firefighters Relief and Retirement Fund , County of Harris	Solicitation; Metropolitan Partners Group; Municipal advisory business
TX	Houston Police Officers Pension System , County of Harris	Solicitation; Metropolitan Partners Group; Municipal advisory business
TX	San Antonio Fire and Police Pension Fund , County of Bexar	Solicitation; Metropolitan Partners Group; Municipal advisory business
TX	Teachers Retirement System of Texas , County of Travis	Solicitation; Metropolitan Partners Group; Municipal advisory business
TX	Texas A&M University System , County of Brazons	Solicitation; Metropolitan Partners Group; Municipal advisory business
TX	Texas Municipal Retirement	Solicitation; Metropolitan Partners Group;



## **B.** Municipal Advisory Business

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	System, County of Travis	Municipal advisory business
TX	Texas Treasury Safekeeping	Solicitation; Metropolitan Partners Group;
TA.	Trust Company, County of Travis	Municipal advisory business
TX	University of Houston,	Solicitation; Metropolitan Partners Group;
	County of Harris	Municipal advisory business
PA	University of Pittsburgh,	Solicitation; Metropolitan Partners Group;
	County of Allegheny	Municipal advisory business
TX	UTIMCO, County of Travis	Solicitation; Metropolitan Partners Group;
	e imiee , eeum, eg iruns	Municipal advisory business
WV	West Virginia Investment	Solicitation; Metropolitan Partners Group;
,,,	Management Board , County of Kanawha	Municipal advisory business
WY	Wyoming Retirement System,	Solicitation; Metropolitan Partners Group;
	County of Laramie	Municipal advisory business
TX	City of Austin Employees	Solicitation; Metropolitan Partners Group;
	Retirement System , County of Travis	Municipal advisory business
TX	Texas Tech University System	Solicitation; Metropolitan Partners Group;
	, County of Lubbock	Municipal advisory business
CA	San Bernardino County	Solicitation; Metropolitan Partners Group;
	Employees Retirement Association , County of San Bernadino	Municipal advisory business
NM	New Mexico Education	Solicitation; Metropolitan Partners Group;
	Retirement Board , County of Santa Fe	Municipal advisory business
TX	Employees Retirement Fund of the City of Dallas , County of Dallas	Solicitation; 1609 Fund; Municipal advisory business
TX	Employees Retirement System	Solicitation; 1609 Fund; Municipal advisory
111	of Texas , County of Travis	business
TX	Houston Municipal	Solicitation; 1609 Fund; Municipal advisory
IΛ	Employees Pension Fund , County of Harris	business
TX	Fort Worth Employees	Solicitation; 1609 Fund; Municipal advisory
	Retirement Fund , County of Tarrant	business



#### **B.** Municipal Advisory Business

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TX	Houston Police Officers Pension System , County of Harris	Solicitation; 1609 Fund; Municipal advisory business
TX	San Antonio Fire and Police Pension Fund , County of Bexar	Solicitation; 1609 Fund; Municipal advisory business
TX	Teachers Retirement System of Texas , County of Travis	Solicitation; 1609 Fund; Municipal advisory business

#### C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity None Issue Description

Reportable Date of Selection



Signature: electronic Date: Jan 17, 2020

Name (must be officer of regulated entity): Douglas Greenwood

Address: 445 Hamilton Ave, White Plains, NY 10601

**Phone:** 914-220-5881

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)