

FORM G-37



Name of Regulated Entity: *Cumberland Securities Company, Inc.*

Report Period: *Third Quarter of 2019*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>TN</i>	<i>Clay Gas Utility District, Celina, Clay</i>	<i>Advice</i>
<i>TN</i>	<i>Knoxville Utilities Board, Knoxville, Knox</i>	<i>Advice</i>
<i>TN</i>	<i>Sevier County, Sevier</i>	<i>Advice</i>
<i>TN</i>	<i>Cumberland County, Cumberland</i>	<i>Advice</i>
<i>TN</i>	<i>Bledsoe County Utility District, Bledsoe</i>	<i>Advice</i>
<i>TN</i>	<i>Fayetteville, Fayetteville, Lincoln</i>	<i>Advice</i>
<i>TN</i>	<i>Richard City-Deptford Special School District, Richard City, Marion</i>	<i>Advice</i>
<i>TN</i>	<i>Lawrenceburg, Lawrenceburg, Lawrence</i>	<i>Advice</i>
<i>TN</i>	<i>Public Building Authority of Sevier County, Sevier</i>	<i>Advice</i>
<i>TN</i>	<i>Hamblen County, Hamblen</i>	<i>Advice</i>
<i>TN</i>	<i>Bolivar Energy Authority, Hardeman</i>	<i>Advice</i>
<i>TN</i>	<i>McMinn County, McMinn</i>	<i>Advice</i>
<i>TN</i>	<i>Covington, Covington, Tipton</i>	<i>Advice</i>
<i>TN</i>	<i>Obion County, Obion County</i>	<i>Advice</i>
<i>TN</i>	<i>Morristown, Morristown,</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
TN	<i>Hamblen Dandridge, Dandridge, Jefferson</i>	<i>Advice</i>
TN	<i>Anderson County, Anderson</i>	<i>Advice</i>
TN	<i>Byrdstown, Byrdstown, Pickett</i>	<i>Advice</i>
TN	<i>Knox County, Knox</i>	<i>Advice</i>
TN	<i>Tullahoma Utilities Authority, Tullahoma, Coffee</i>	<i>Advice</i>
TN	<i>Dyersburg, Dyersburg, Dyer</i>	<i>Advice</i>
TN	<i>Selmer, Selmer, McNairy</i>	<i>Advice</i>
TN	<i>Trenton Special School District, Trenton, Gibson</i>	<i>Advice</i>
TN	<i>Pigeon Forge, Pigeon Forge, Sevier</i>	<i>Advice</i>
TN	<i>Bon Aqua-Lyles Utility District, Lyles, Hickman</i>	<i>Advice</i>
TN	<i>Rocky Top, Rocky Top, Anderson & Campbell</i>	<i>Advice</i>
TN	<i>Chester County, Chester</i>	<i>Advice</i>
TN	<i>Bedford County, Bedford</i>	<i>Advice</i>
TN	<i>Bradford Special School District, Bradford, Gibson</i>	<i>Advice</i>
TN	<i>Cumberland Utility District, Roane & Morgan</i>	<i>Advice</i>
TN	<i>Johnson County, Johnson</i>	<i>Advice</i>
TN	<i>Metropolitan Knoxville Airport Authority, Alcoa, Blount</i>	<i>Advice</i>
TN	<i>Roane Central Utility District, Roane</i>	<i>Advice</i>
TN	<i>Fairview, Fairview, Williamson</i>	<i>Advice</i>
TN	<i>Covenant Health, Knoxville, Knox</i>	<i>Advice</i>
TN	<i>Knoxville, Knoxville, Knox</i>	<i>Advice</i>
TN	<i>Alcoa, Alcoa, Blount</i>	<i>Advice</i>

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TN	<i>Nolensville-College Grove Utility District, Williamson</i>	<i>Advice</i>
TN	<i>Sevier County Utility District, Sevierville, Sevier</i>	<i>Advice</i>
TN	<i>Fentress County, Fentress</i>	<i>Advice</i>
TN	<i>Bristol, Bristol, Sullivan</i>	<i>Advice</i>
TN	<i>Roane County, Roane</i>	<i>Advice</i>
TN	<i>Huntingdon Special School District, Huntingdon, Carroll</i>	<i>Advice</i>
TN	<i>Lewisburg, Lewisburg, Marshall</i>	<i>Advice</i>
TN	<i>Monterey, Monterey, Putnam</i>	<i>Advice</i>
TN	<i>Manchester, Manchester, Coffee</i>	<i>Advice</i>
TN	<i>Dyer County, Dyer</i>	<i>Advice</i>
TN	<i>Monroe County, Monroe</i>	<i>Advice</i>
TN	<i>Milan Special School District, Milan, Gibson</i>	<i>Advice</i>
TN	<i>Unicoi (Town of), Unicoi, Unicoi</i>	<i>Advice</i>
TN	<i>Haywood County, Haywood</i>	<i>Advice</i>
TN	<i>Campbell County, Campbell</i>	<i>Advice</i>
TN	<i>Dickson County, Dickson County</i>	<i>Advice</i>
TN	<i>Hawkins County, Hawkins</i>	<i>Advice</i>
TN	<i>Anderson County Water Authority, Anderson & Campbell</i>	<i>Advice</i>
TN	<i>Henderson County, Henderson</i>	<i>Advice</i>
TN	<i>First Utility District of Hawkins County, Church Hill, Hawkins</i>	<i>Advice</i>
TN	<i>Gibson County, Gibson</i>	<i>Advice</i>
TN	<i>Crockett County, Crockett</i>	<i>Advice</i>
TN	<i>Hiwassee Utility Commission, Charleston, Bradley &</i>	<i>Advice</i>

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	<i>McMinn</i>	
<i>TN</i>	<i>Warren County, Warren</i>	<i>Advice</i>
<i>TN</i>	<i>Oak Ridge, Oak Ridge, Anderson & Roane</i>	<i>Advice</i>
<i>TN</i>	<i>Cocke County, Cocke</i>	<i>Advice</i>
<i>TN</i>	<i>Industrial Development Board of the City of Bristol, Bristol, Sullivan</i>	<i>Advice</i>
<i>TN</i>	<i>Dayton, Dayton, Rhea</i>	<i>Advice</i>
<i>TN</i>	<i>West Knox Utility District, Knox</i>	<i>Advice</i>
<i>TN</i>	<i>Mountain City, Mountain City, Johnson</i>	<i>Advice</i>
<i>TN</i>	<i>Lake County, Lake</i>	<i>Advice</i>
<i>TN</i>	<i>Jefferson County, Jefferson</i>	<i>Advice</i>
<i>TN</i>	<i>Dunlap, Dunlap, Sequatchie</i>	<i>Advice</i>
<i>TN</i>	<i>Middle Tennessee Natural Gas Utility District, Smithville, DeKalb</i>	<i>Advice</i>
<i>TN</i>	<i>Montgomery County, Montgomery</i>	<i>Advice</i>
<i>TN</i>	<i>Hardin County, Hardin</i>	<i>Advice</i>
<i>TN</i>	<i>Jefferson City, Jefferson City, Jefferson</i>	<i>Advice</i>
<i>TN</i>	<i>Citizen's Gas Utility of Scott and Morgan Counties, Scott & Morgan</i>	<i>Advice</i>
<i>TN</i>	<i>LaFollette, LaFollette, Campbell</i>	<i>Advice</i>
<i>TN</i>	<i>Loudon County, Loudon</i>	<i>Advice</i>
<i>TN</i>	<i>Newport, Newport, Cocke</i>	<i>Advice</i>
<i>TN</i>	<i>Spring City, Spring City, Rhea</i>	<i>Advice</i>
<i>TN</i>	<i>Gatlinburg, Gatlinburg, Sevier</i>	<i>Advice</i>
<i>TN</i>	<i>Health, Ed and Housing Facilities Board of Sevier County, Sevier</i>	<i>Advice</i>

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TN	Scott County, Scott	Advice
TN	Union City, Union City, Obion	Advice
TN	Morgan County, Morgan	Advice
TN	McNairy County, McNairy	Advice
TN	Bledsoe County, Bledsoe	Advice
TN	Rogersville, Rogersville, Hawkins	Advice
TN	Savannah, Savannah, Hardin	Advice
TN	Etowah, Etowah, McMinn	Advice
TN	Union County, Union	Advice
TN	Hendersonville Utility District, Sumner	Advice
TN	Sevierville, Sevierville, Sevier	Advice
TN	Rockwood, Rockwood, Roane	Advice
TN	Meigs County, Meigs	Advice
TN	Hardeman County, Hardeman	Advice
TN	Celina, Celina, Clay	Advice
TN	Cookeville, Cookeville, Putnam	Advice
TN	Murfreesboro, Murfreesboro, Rutherford	Advice
TN	Middleton, Middleton, Hardeman	Advice
TN	Blaine, Blaine, Grainger	Advice
TN	Fayette County, Fayette	Advice
TN	Maryville, Maryville, Blount	Advice
TN	Bradley County, Bradley	Advice
TN	Smith County, Smith	Advice
TN	Harriman, Harriman, Roane	Advice
TN	Grainger County, Grainger	Advice
TN	Hancock County, Hancock	Advice

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Oct 9, 2019*

Name (must be officer of regulated entity): *CHRIS BESSLER*

Address: *350 Lighthouse Pointe Drive, Lenior City, TN 37772*

Phone: *865-988-2663*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)