

Name of Regulated Entity: *KNN Public Finance, LLC*

Report Period: *First Quarter of 2019*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

| State | Complete name, title (including any city/county/state or other political subdivision) of municipal entity official | Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) |
|-------|--|--|
| | | If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption. |

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

| State | Complete name (including any city/county/state or other political subdivision) of political party | Payments by each contributor category |
|-------|---|---------------------------------------|
| | | |

None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

| State | Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities | Contributions, including the specific date the contributions were made, by each contributor category |
|-------|--|--|
|-------|--|--|

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

| State | Complete name of municipal entity and city/county | Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent) |
|-------------|---|---|
| <i>None</i> | | |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|--|--|
| CA | <i>Santa Monica Community College District, Los Angeles, Santa Monica</i> | <i>Advice</i> |
| CA | <i>San Diego, City of, San Diego, San Diego</i> | <i>Advice</i> |
| CA | <i>West Valley Mission Community College District, Santa Clara, Santa Cruz, Saratoga</i> | <i>Advice</i> |
| CA | <i>Los Angeles Unified School District, Los Angeles, Los Angeles</i> | <i>Advice</i> |
| CA | <i>California School Finance Authority, Los Angeles, Los Angeles</i> | <i>Advice</i> |
| CA | <i>Napa Sanitation District, Napa, Napa</i> | <i>Advice</i> |
| CA | <i>Orange, County of, Orange, Santa Ana</i> | <i>Advice</i> |
| CA | <i>Hughson Unified School District, Stanislaus, Hughson</i> | <i>Advice</i> |
| CA | <i>Mira Costa Community College District, San Diego, Oceanside</i> | <i>Advice</i> |
| CA | <i>Lake Tahoe Unified School District, El Dorado, South</i> | <i>Advice</i> |

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|-------|--|--|
| CA | Lake Tahoe Folsom Cordova Unified School District, Sacramento, Rancho Cordova | Advice |
| CA | California Education Facilities Authority, All, Sacramento | Advice |
| CA | San Joaquin, County of, San Joaquin, Stockton | Advice |
| CA | San Bernardino, County of, San Bernardino, San Bernardino | Advice |
| CA | Woodland Joint Unified School District, Yolo, Woodland | Advice |
| CA | Napa, County of, Napa, Napa | Advice |
| CA | Ross Valley School District, Marin, San Anselmo | Advice |
| CA | South Bayside Waste Management Authority, San Mateo, San Carlos | Advice |
| CA | Montecito Union School District, Santa Barbara, Santa Barbara | Advice |
| CA | Mountain View School District, Los Angeles, El Monte | Advice |
| CA | Santa Barbara, County of, Santa Barbara, Santa Barbara | Advice |
| CA | San Francisco, City and County, San Francisco, San Francisco | Advice |
| CA | San Benito, County of, San Benito, Hollister | Advice |
| CA | Marysville Joint Unified School District, Yuba, Marysville | Advice |

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|-------|---|--|
| CA | Laguna County Sanitation District, Santa Barbara, Santa Maria | Advice |
| CA | Fresno, City of, Fresno, Fresno | Advice |
| CA | Livermore, City of, Alameda, Livermore | Advice |
| CA | Alameda-Contra Costa Transit District, Alameda, Contra Costa, Oakland | Advice |
| CA | San Diego Unified School District, San Diego, San Diego | Advice |
| CA | Poway, City of, San Diego, Poway | Advice |
| CA | Santee, City of, San Diego, Santee | Advice |
| CA | Cold Spring Elementary School District, Santa Barbara, Santa Barbara | Advice |
| CA | Solvang School District, Santa Barbara, Solvang | Advice |
| CA | Kerman Unified School District, Fresno, Kerman | Advice |
| CA | Redwood City Elementary School District, San Mateo, Redwood | Advice |
| CA | Brentwood Union School District, Contra Costa, Brentwood | Advice |
| CA | Huntington Beach, City of, Orange, Huntington Beach | Advice |
| CA | Los Angeles, City of, Los Angeles, Los Angeles | Advice |
| CA | Santa Clara, County of, Santa Clara, San Jose | Advice |
| CA | Bay Area Water Supply & | Advice |

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|-------|--|--|
| CA | <i>Conservation Agency, San Mateo, San Mateo Santa Barbara County Association of Governments, Santa Barbara, Santa Barbara</i> | <i>Advice</i> |
| CA | <i>Fresno, County of, Fresno, Fresno</i> | <i>Advice</i> |
| CA | <i>Bell, City of, Los Angeles, Bell</i> | <i>Advice</i> |
| CA | <i>Allan Hancock Community College District, San Luis Obispo, Santa Barbara, Ventura, Santa Maria</i> | <i>Advice</i> |
| CA | <i>Fall River Joint Unified School District, Shasta, Burney</i> | <i>Advice</i> |
| CA | <i>Contra Costa Community College District, Contra Costa, Martinez</i> | <i>Advice</i> |
| CA | <i>Las Lomas Elementary School District, San Mateo, Menlo Park</i> | <i>Advice</i> |
| CA | <i>Chabot Las Positas Community College District, Alameda, Dublin</i> | <i>Advice</i> |
| CA | <i>Ceres Unified School District, Stanislaus, Ceres</i> | <i>Advice</i> |
| CA | <i>Merced, County of, Merced, Merced</i> | <i>Advice</i> |
| CA | <i>Piedmont Unified School District, Alameda, Piedmont</i> | <i>Advice</i> |
| CA | <i>Santa Barbara Unified School District, Santa Barbara, Santa Barbara</i> | <i>Advice</i> |
| CA | <i>Madera, County of, Madera, Madera</i> | <i>Advice</i> |
| CA | <i>Sonoma, County of, Sonoma, Santa Rosa</i> | <i>Advice</i> |

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|-------|--|--|
| CA | <i>Peralta Community College District, Alameda, Oakland</i> | <i>Advice</i> |
| CA | <i>El Monte City School District, Los Angeles, El Monte</i> | <i>Advice</i> |
| CA | <i>Redondo Beach, City of, Los Angeles, Redondo Beach</i> | <i>Advice</i> |
| CA | <i>California State University, Los Angeles, Long Beach</i> | <i>Advice</i> |
| CA | <i>Arena Elementary School District, Mendocino, Point Arena</i> | <i>Advice</i> |
| CA | <i>Washington Unified School District, Yolo, West Sacramento</i> | <i>Advice</i> |
| CA | <i>Sonoma County Transportation Authority, Sonoma, Santa Rosa</i> | <i>Advice</i> |
| CA | <i>Lathrop, City of, San Joaquin, Lathrop</i> | <i>Advice</i> |
| CA | <i>Cerritos Community College District, Los Angeles, Norwalk</i> | <i>Advice</i> |
| CA | <i>Butte, County of, Butte, Oroville</i> | <i>Advice</i> |
| CA | <i>Long Beach, City of, Los Angeles, Long Beach</i> | <i>Advice</i> |
| CA | <i>Kern County Water Agency, Kern, Bakersfield</i> | <i>Advice</i> |
| CA | <i>Merced City School District, Merced, Merced</i> | <i>Advice</i> |
| CA | <i>California Ethanol + Power, Imperial, Brawley</i> | <i>Advice</i> |
| CA | <i>Mission Viejo, Community Development Agency of the City of, Orange, Mission Viejo</i> | <i>Advice</i> |
| CA | <i>Stanislaus Council of Governments, Stanislaus,</i> | <i>Advice</i> |

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|-------|---|--|
| CA | <i>Modesto Alameda, County of, Alameda, Oakland</i> | <i>Advice</i> |
| CA | <i>Solano, County of, Solano, Fairfield</i> | <i>Advice</i> |
| CA | <i>Copper Mountain Community College District, San Bernardino, Joshua Tree</i> | <i>Advice</i> |
| CA | <i>West Contra Costa Unified School District, Contra Costa, Richmond</i> | <i>Advice</i> |
| CA | <i>Kern, County of, Kern, Bakersfield</i> | <i>Advice</i> |
| CA | <i>California State Treasurer's Office / State Board of Public Works, All, Sacramento</i> | <i>Advice</i> |
| CA | <i>Castro Valley Unified School District, Alameda, Castro Valley</i> | <i>Advice</i> |
| CA | <i>Biola University, Orange/Los Angeles, La Mirada</i> | <i>Advice</i> |
| CA | <i>Berkeley Unified School District, Alameda, Berkeley</i> | <i>Advice</i> |
| CA | <i>Transportation Agency of Monterey County, Monterey, Salinas</i> | <i>Advice</i> |
| CA | <i>State Center Community College District, Fresno, Oakhurst</i> | <i>Advice</i> |
| CA | <i>Trinity, County of, Trinity, Weaverville</i> | <i>Advice</i> |
| CA | <i>Dublin Unified School District, Alameda, Dublin</i> | <i>Advice</i> |
| CA | <i>San Luis Obispo, County of, San Luis Obispo, San Luis Obispo</i> | <i>Advice</i> |
| CA | <i>Santa Barbara, City of, Santa</i> | <i>Advice</i> |

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|-------|--|--|
| CA | <i>Barbara, Santa Barbara Tulare, County of, Tulare, Visalia</i> | <i>Advice</i> |
| CA | <i>Contra Costa Transportation Authority, Contra Costa, Walnut Creek</i> | <i>Advice</i> |
| CA | <i>Rosedale-Rio Bravo Water Storage District, Kern, Bakersfield</i> | <i>Advice</i> |
| CA | <i>Kings, County of, Kings</i> | <i>Advice</i> |
| CA | <i>Washington Union School District, Monterey, Salinas</i> | <i>Advice</i> |
| CA | <i>San Benito Council of Governments, San Benito, Hollister</i> | <i>Advice</i> |
| CA | <i>Tulare County Association of Governments, Tulare, Visalia</i> | <i>Advice</i> |
| CA | <i>El Camino College District, Los Angeles, Torrance</i> | <i>Advice</i> |
| CA | <i>Los Angeles, County of, Los Angeles, Los Angeles</i> | <i>Advice</i> |
| CA | <i>Newark Unified School District, Alameda, Newark</i> | <i>Advice</i> |
| CA | <i>Grossmont Union High School District, San Diego, El Cajon</i> | <i>Advice</i> |
| CA | <i>San Jose Unified School District, Santa Clara, San Jose</i> | <i>Advice</i> |
| CA | <i>Nevada, County of, Nevada, Nevada</i> | <i>Advice</i> |
| CA | <i>Sonoma County Water Agency, Sonoma, Santa Rosa</i> | <i>Advice</i> |
| CA | <i>North Orange Community College District, Los Angeles, Orange, Anaheim</i> | <i>Advice</i> |
| CA | <i>Compton Community College</i> | <i>Advice</i> |

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|-------|--|--|
| | <i>District, Los Angeles, Compton</i> | |
| CA | <i>San Francisco County Transportation Authority, San Francisco, San Francisco</i> | <i>Advice</i> |
| CA | <i>Merced County Association of Governments, Merced, Merced</i> | <i>Advice</i> |
| CA | <i>Stockton, City of, San Joaquin, Stockton</i> | <i>Advice</i> |
| CA | <i>Mono, County of, Mono, Bridgeport</i> | <i>Advice</i> |
| CA | <i>Orinda Union School District, Contra Costa, Orinda</i> | <i>Advice</i> |
| CA | <i>Los Angeles, Port of, Los Angeles, San Pedro</i> | <i>Advice</i> |
| CA | <i>Foothill-DeAnza Community College District, Santa Clara, Los Altos Hills</i> | <i>Advice</i> |
| CA | <i>Newport Beach, City of, Orange, Newport Beach</i> | <i>Advice</i> |
| CA | <i>Southwestern Community College District, San Diego, Chula Vista</i> | <i>Advice</i> |
| CA | <i>Santa Barbara Community College District, Santa Barbara, Santa Barbara</i> | <i>Advice</i> |
| CA | <i>California Health Facilities Financing Authority, Sacramento, Sacramento</i> | <i>Advice</i> |
| CA | <i>Milpitas Unified School District, Santa Clara, Milpitas</i> | <i>Advice</i> |
| CA | <i>Oakley Union Elementary School District, Contra Costa, Oakley</i> | <i>Advice</i> |
| CA | <i>Manhattan Beach, City of, Los Angeles, Manhattan Beach</i> | <i>Advice</i> |

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|-------|---|--|
| CA | <i>Stanislaus, County of, Stanislaus, Modesto</i> | <i>Advice</i> |
| CA | <i>Mountain Empire Unified School District, San Diego, Pine Valley</i> | <i>Advice</i> |
| CA | <i>Grossmont-Cuyamaca Community College District, San Diego, El Cajon</i> | <i>Advice</i> |
| CA | <i>Napa Valley Unified School District, Napa, Napa</i> | <i>Advice</i> |
| CA | <i>San Luis Obispo Regional Transit Authority, San Luis Obispo, San Luis Obispo</i> | <i>Advice</i> |
| CA | <i>Monterey County Water Resources Agency, Monterey, Salinas</i> | <i>Advice</i> |
| CA | <i>Los Angeles County Metropolitan Transportation Authority, Los Angeles, Los Angeles</i> | <i>Advice</i> |
| CA | <i>Lompoc, City of, Santa Barbara, Lompoc</i> | <i>Advice</i> |
| CA | <i>Millbrae School District, San Mateo, Millbrae</i> | <i>Advice</i> |

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

| Full Name of Municipal Entity | Full Issue Description | Reportable Date of Selection |
|-------------------------------|------------------------|------------------------------|
| <i>None</i> | | |

FORM G-37



Signature: electronic

Date: *Apr 30, 2019*

Name (must be officer of regulated entity): *Kimberly McManus*

Address: *1300 Clay St, Oakland, CA 94612*

Phone: *973-618-9507*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)