

# FORM G-37



**Name of Regulated Entity:** *BOK Financial Securities, Inc.*

**Report Period:** *First Quarter of 2019*

## I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

*None*

## II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
<i>OK</i>	<i>Washington County Republican Party</i>	<i>\$125.00 payment by Dealer</i>

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
OK	Oklahoma Water Resources Board	Negotiated underwriting
TX	New Braunfels ISD, Comal and Guadalupe	Negotiated underwriting
TX	City of Pflugerville, Pflugerville, Travis and Williamson	Negotiated underwriting
TX	Lake Travis ISD, Travis	Negotiated underwriting
TX	Mansfield ISD, Tarrant and Johnson	Negotiated underwriting
TX	Canyon ISD, Randall	Negotiated underwriting
TX	Galveston County, Galveston	Negotiated underwriting
TX	Hereford ISD, Deaf Smith, Castro, and Parmer	Negotiated underwriting
TX	Texas Water Development Board	Negotiated underwriting
TX	Alvin ISD, Brazoria	Negotiated underwriting
TX	Prosper ISD, Collin and Denton	Negotiated underwriting
TX	Birdville ISD, Tarrant	Financial advisor
TX	Pearland ISD, Vrazoria and Harris	Financial advisor
TX	Georgetown ISD, Williamson	Financial advisor
TX	Lake Dallas ISD, Denton	Financial advisor
OK	City of Nichols Hills, Nichols Hills	Financial advisor
OK	ESD No. C-77, Osage County (McCord Public Schools), Osage	Financial advisor
OK	ISD Number 8, Comanche County (Lawton School District), Comanche	Financial advisor
OK	ISD Number 52, Oklahoma County (Midwest City-Del City School District), Oklahoma	Financial advisor
OK	ISD Number 89, Oklahoma	Financial advisor

**FORM G-37****A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
	<i>County (Oklahoma City School District), Oklahoma</i>	
<i>OK</i>	<i>City of Moore, Moore</i>	<i>Financial advisor</i>
<i>OK</i>	<i>ISD Number 2, Cleveland County (Moore Schools), Cleveland</i>	<i>Financial advisor</i>
<i>TX</i>	<i>West Travis County Public Utility Agency, Travis</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>Pflugerville ISD, Travis and Williamson</i>	<i>Negotiated underwriting</i>
<i>OK</i>	<i>ISD No. 29, Cleveland County (Norman School District), Cleveland</i>	<i>Financial advisor</i>
<i>OK</i>	<i>ISD No. 12, Oklahoma County (Edmond Schools), Oklahoma</i>	<i>Financial advisor</i>
<i>TX</i>	<i>Nacogdoches ISD, Nacogdoches</i>	<i>Financial advisor</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>OK</i>	<i>Kay County Public Building Authority (McCord Public Schools), Kay</i>	<i>Advice</i>
<i>OK</i>	<i>Moore Public Works Authority, Moore</i>	<i>Advice</i>
<i>OK</i>	<i>El Reno Municipal Authority, El Reno</i>	<i>Advice</i>
<i>OK</i>	<i>Carlton Landing Economic Development Trust, Carlton Landing</i>	<i>Advice</i>
<i>OK</i>	<i>City of Moore, Moore</i>	<i>Advice</i>

**C. Ballot-Approved Offerings**

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
<i>Independent School District Number 52, Oklahoma County (Midwest City-Del City School District)</i>	<i>General Obligation Building Bonds, Series 2019A</i>	<i>04/27/2018</i>

## FORM G-37



**Signature:** electronic

**Date:** *Apr 18, 2019*

**Name (must be officer of regulated entity):** *Jeff Edwards*

**Address:** *499 W Sheridan Avenue, Oklahoma City, OK 73102*

**Phone:** *405-272-2132*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)