

Name of Regulated Entity: Therber, Brock & Associates, LLC

Report Period: Fourth Quarter of 2018

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State

Complete name, title (including any city/county/state or other political subdivision) of municipal entity official Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including	Payments by each contributor category
	any city/county/state or other	
	political subdivision) of political party	
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None



III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State

Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities

Contributions, including the specific date the contributions were made, by each contributor category

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State Complete name of municipal Type of municipal securities business entity and city/county (negotiated underwriting, private placement, financial advisor, or remarketing agent) None **B.** Municipal Advisory Business State Complete name of municipal Type of municipal advisory business (advice entity and city/county or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) IN Shelby Eastern Schools, Advice Shelbyville, Shelby Town of Greentown, IN Advice Greentown, Howard IN Flat Rock-Hawcreek School Advice Corporation, Hope, **Bartholomew** Town of New Whiteland, New IN Advice Whiteland, Johnson IN Monroe County Community Advice School Corporation, Bloomington, Monroe IN Town of Ingalls, Ingalls, Advice Madison IN Ben Davis Conservancy Advice District, Indianapolis, Marion IN Town of Williamsport, Advice Williamsport, Warren Town of Dayton, Dayton, IN Advice Tippecanoe Town of Arcadia, Arcadia, IN Advice Hamilton IN Town of Princes Lakes, Advice Princes Lakes, Johnson IN Huntington Regional Sewer Advice District, Huntington,



B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	Huntington	
IN	Frankton-Lapel Community Schools, Anderson, Madison	Advice
IN	Rossville Consolidated School District, Rossville, Clinton	Advice
IN	Town of Linden, Linden, Montgomery	Advice
IN	Lafayette School Corporation, Lafayette, Tippecanoe	Advice
IN	Town of Russiaville, Russiaville, Howard	Advice
IN	Walton Public Library, Walton, Cass	Advice
IN	Town of Morgantown, Morgantown, Morgan	Advice
IN	Nineveh-Hensley-Jackson United School District, Trafalgar, Johnson & Morgan	Advice
IN	Town of Atlanta, Atlanta, Hamilton	Advice
IN	Crown Hill Regional Sewer District, Clinton, Vermillion	Advice
IN	Town of Crothersville, Crothersville, Jackson	Advice
IN	Loogootee Community School Corporation, Loogootee, Martin	Advice
IN	City of Attica, Attica, Fountain	Advice
IN	Jay School Corporation, Portland, Jay & Blackford	Advice
IN	Town of Kirklin, Kirklin, Clinton	Advice
IN	Brown County Regional Sewer District, Nashville, Brown	Advice
IN	Tippecanoe School	Advice



B. Municipal Advisory Business

State

Complete name of municipal entity and city/county

Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))

	Corporation, Lafayette, Tippecanoe	
IN	Seymour Community Schools, Seymour, Jackson	Advice
IN	Town of Lynn, Lynn, Randolph	Advice
IN	Steuben Lakes Regional Waste District, Angola, Steuben	Advice
IN	City of Jonesboro, Jonesboro, Grant	Advice
IN	Scott County School District 1, Austin, Scott	Advice
IN	Little Raccoon Regional Sewer District, Waveland, Montgomery	Advice
IN	Rensselaer School Corporation, Rensselaer, Jasper	Advice
IN	South Madison Community School Corporation, Pendleton, Madison	Advice
IN	Romney Regional Sewer District, Romney, Tippecanoe	Advice
IN	Daviess County Rural Water, Washington, Daviess	Advice
IN	Town of Andrews, Andrews, Huntington	Advice
IN	Town of West College Corner, West College Corner, Union	Advice
IN	Town of Sellersburg, Sellersburg, Clark	Advice
IN	Linton-Stockton School Corporation, Linton, Greene	Advice
IN	Town of Sweetser, Sweetser, Grant	Advice
IN	Town of Shirley, Shirley, Hancock & Henry	Advice



B. Municipal Advisory Business

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IN	Norwood Regional Sewer District, Huntington, Huntington	Advice

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Full

Full Issue Description

Reportable Date of Selection

None



Signature: electronicDate: Feb 1, 2019Name (must be officer of regulated entity): Lonnie TherberAddress: 11550 N. Meridian St., Carmel, IN 46032Phone: 317-637-9572

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)