

Name of Regulated Entity: *Robert W Baird & Co., Inc.*

Report Period: *Third Quarter of 2018*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

| State | Complete name, title (including any city/county/state or other political subdivision) of municipal entity official | Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) |
|-------|--|--|
| | | If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption. |

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

| State | Complete name (including any city/county/state or other political subdivision) of political party | Payments by each contributor category |
|-------|---|---------------------------------------|
|-------|---|---------------------------------------|

None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

| State | Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities | Contributions, including the specific date the contributions were made, by each contributor category |
|-----------|--|--|
| <i>CO</i> | <i>We Are JeffCo, CO, County School District, JEFFERSON COUNTY SCHOOL DISTRICT</i> | <i>\$5,000.00 contribution by Dealer on 09/27/2018</i> |

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

| State | Complete name of municipal entity and city/county | Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent) |
|-----------|--|---|
| <i>IL</i> | <i>City of Rock Falls</i> | <i>Negotiated underwriting</i> |
| <i>ID</i> | <i>Idaho Housing and Finance Association</i> | <i>Negotiated underwriting</i> |
| <i>IL</i> | <i>Roselle, Village of</i> | <i>Negotiated underwriting</i> |
| <i>IL</i> | <i>Valley View School District Number 365-U</i> | <i>Negotiated underwriting</i> |
| <i>IL</i> | <i>Village of Hoffman Estates</i> | <i>Negotiated underwriting</i> |
| <i>MI</i> | <i>County of Ingham, Michigan</i> | <i>Private placement</i> |
| <i>MI</i> | <i>Village of Kalkaska</i> | <i>Private placement</i> |
| <i>MI</i> | <i>Lyndon Township</i> | <i>Private placement</i> |
| <i>MI</i> | <i>City of Marquette</i> | <i>Negotiated underwriting</i> |
| <i>MI</i> | <i>County of Saginaw</i> | <i>Private placement</i> |
| <i>MI</i> | <i>Village of Suttons Bay</i> | <i>Private placement</i> |
| <i>MI</i> | <i>Township of Clay</i> | <i>Private placement</i> |
| <i>MN</i> | <i>City of Buffalo Lake, MN</i> | <i>Negotiated underwriting</i> |
| <i>MN</i> | <i>City of Lonsdale, MN</i> | <i>Negotiated underwriting</i> |
| <i>MN</i> | <i>City of Nicollet, MN</i> | <i>Private placement</i> |
| <i>MN</i> | <i>City of Stacy, MN</i> | <i>Negotiated underwriting</i> |
| <i>MN</i> | <i>City of Stewart, MN</i> | <i>Negotiated underwriting</i> |
| <i>MN</i> | <i>Minnetonka Independent School District 276</i> | <i>Negotiated underwriting</i> |
| <i>MN</i> | <i>Mid-State Education District MN</i> | <i>Negotiated underwriting</i> |
| <i>MN</i> | <i>River's Edge Hospitals and Clinic at Saint Peter, MN</i> | <i>Private placement</i> |
| <i>MN</i> | <i>Wheaton Independent School District 803</i> | <i>Negotiated underwriting</i> |
| <i>NC</i> | <i>County of Randolph</i> | <i>Negotiated underwriting</i> |
| <i>NC</i> | <i>Scotland County, NC</i> | <i>Negotiated underwriting</i> |
| <i>ND</i> | <i>City of Fargo, ND</i> | <i>Negotiated underwriting</i> |
| <i>NE</i> | <i>Sidney, NE</i> | <i>Private placement</i> |
| <i>NM</i> | <i>Southern Sandoval County Arroyo Flood Control Authority</i> | <i>Negotiated underwriting</i> |
| <i>OH</i> | <i>Bloom-Carroll Local School District, OH</i> | <i>Negotiated underwriting</i> |
| <i>OH</i> | <i>City of Cleveland Heights</i> | <i>Private placement</i> |

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|-----------|---|---|
| <i>OH</i> | <i>City of Columbiana</i> | <i>Private placement</i> |
| <i>OH</i> | <i>Green Local School District (Scioto County)</i> | <i>Private placement</i> |
| <i>OH</i> | <i>Indian Creek Local School District, OH</i> | <i>Negotiated underwriting</i> |
| <i>OH</i> | <i>City of Jackson, Ohio</i> | <i>Private placement</i> |
| <i>OH</i> | <i>Ontario Local School District</i> | <i>Private placement</i> |
| <i>OH</i> | <i>Ross County</i> | <i>Private placement</i> |
| <i>OH</i> | <i>Wynford Local School District</i> | <i>Private placement</i> |
| <i>WI</i> | <i>School District of Arcadia</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Chippewa Falls Area Unified School District</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>School District of Coleman</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Village of Fox Crossing</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Fox Valley Technical College District</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Grant County</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Luxemburg-Casco School District</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Manitowoc County</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>School District of Melrose-Mindoro</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Mid-State Technical College District</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Plymouth Joint School District</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>City of Racine</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Randall Consolidated School District</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>School District of Shell Lake</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Southwestern Wisconsin Community School District</i> | <i>Private placement</i> |
| <i>WI</i> | <i>Sparta Area School District</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>City of Sparta</i> | <i>Private placement</i> |
| <i>WI</i> | <i>Valders Area School District</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Wheatland Joint School District Number 1</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Whitnall School District</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Town of Freedom</i> | <i>Negotiated underwriting</i> |
| <i>WI</i> | <i>Gateway Technical College District</i> | <i>Negotiated underwriting</i> |

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|-------|---|---|
| WI | <i>Community Development Authority of the Town of Grand Chute</i> | <i>Negotiated underwriting</i> |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|--|--|
| MI | <i>City of Highland Park</i> | <i>Advice</i> |
| MI | <i>Michigan Finance Authority</i> | <i>Advice</i> |
| TX | <i>Blaketree Municipal Utility District No. 1</i> | <i>Advice</i> |
| TX | <i>Fulshear Municipal Utility District 3A</i> | <i>Advice</i> |
| TX | <i>McKinney Municipal Utility District No. 1</i> | <i>Advice</i> |
| TX | <i>Montgomery County Municipal Utility District No. 95</i> | <i>Advice</i> |
| TX | <i>Travis County Municipal Utility District No. 16</i> | <i>Advice</i> |
| TX | <i>Williamson County Municipal Utility District No. 23</i> | <i>Advice</i> |

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

| Full Name of Municipal Entity | Full Issue Description | Reportable Date of Selection |
|-------------------------------|------------------------|------------------------------|
| <i>None</i> | | |

FORM G-37



Signature: electronic

Date: *Dec 3, 2018*

Name (must be officer of regulated entity): *Heather Melzer*

Address: *PO Box 672, Milwaukee, WI 53201*

Phone: *414-298-4973*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)