

# FORM G-37



**Name of Regulated Entity:** *Blue Rose Capital Advisors, LLC*

**Report Period:** *First Quarter of 2017*

## I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

*None*

## II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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*None*

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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*See*

*Attachment*

**C. Ballot-Approved Offerings**

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
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*None*

## FORM G-37



**Signature:** electronic

**Date:** *Apr 28, 2017*

**Name (must be officer of regulated entity):** *EMILY OKER-BLOM*

**Address:** *6400 Flying Cloud Drive, Minneapolis, MN 55344*

**Phone:** *952-746-6052*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

**Blue Rose Capital Advisors, LLC**  
**2017-Q1 MSRB G-37 Submission**

<b><u>State</u></b>	<b><u>Complete Municipal Entity Name</u></b>	<b><u>City/County/State</u></b>	<b><u>Business Type</u></b>
AL	University of Alabama at Birmingham	N/A	Advice
ID	Lewis-Clark State College	State of Idaho	Advice
IL	Eastern Illinois University	N/A	Advice
IL	Illinois State University	N/A	Advice
IL	Northeastern Illinois University	N/A	Advice
IL	Southern Illinois University	N/A	Advice
IL	Western Illinois University	N/A	Advice
IN	Indiana State University	N/A	Advice
IN	Ivy Tech Community College	State of Indiana	Advice
IN	Purdue University	State of Indiana	Advice
MI	Central Michigan University	N/A	Advice
MI	Ferris State University	State of Michigan	Advice
MI	Grand Valley State University	State of Michigan	Advice
MI	Michigan State University	N/A	Advice
MI	Northern Michigan University	N/A	Advice
MI	Saginaw Valley State University	State of Michigan	Advice
MI	Wayne State University	State of Michigan	Advice
MN	City of Mountain Lake	N/A	Advice
MN	Economic Development Authority of the City of Springfield	N/A	Advice
OH	Bowling Green State University	State of Ohio	Advice
OH	Miami University	State of Ohio	Advice
OH	Shawnee State University	State of Ohio	Advice
OH	University of Toledo	State of Ohio	Advice
OR	Lake Health District	State of Oregon	Advice
TX	La Vernia Independent School District	N/A	Advice
TX	Moody Independent School District	N/A	Advice
TX	West Orange-Cove Consolidated Independent School District	N/A	Advice