

FORM G-37



Name of Regulated Entity: *Stephen L. Smith Corp.*

Report Period: *First Quarter of 2017*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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None

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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See

Attachment

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
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See

Attachment

FORM G-37



Signature: electronic

Date: *Apr 28, 2017*

Name (must be officer of regulated entity): *Jordan Smith*

Address: *531 East A Street, Jenks, OK 74037*

Phone: *918-296-9980*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

2017 1st Quarter Client List

State	Municipal Entity Name	County Located	Type of MA Business
OK	Achille Public Schools	Bryan	Advice
OK	Adair Public Schools	Mayes	Advice
OK	Aline-Cleo Public Schools	Major	Advice
OK	Anderson Public Schools	Creek	Advice
OK	Asher Public Schools	Pottawatomie	Advice
OK	Atoka Public Schools	Atoka	Advice
OK	Banner Public Schools	Canadian	Advice
OK	Battiest Public Schools	McCurtain	Advice
OK	Beaver Public Schools	Beaver	Advice
OK	Beggs Public Schools	Okmulgee	Advice
OK	Bennington Public Schools	Bryan	Advice
OK	Bixby Public Schools	Tulsa	Advice
OK	Bluejacket Public Schools	Craig	Advice
OK	Boise City Public Schools	Cimarron	Advice
OK	Bokoshe Public Schools	LeFlore	Advice
OK	Braggs Public Schools	Muskogee	Advice
OK	Broken Arrow Public Schools	Tulsa	Advice
OK	Caney Public Schools	Atoka	Advice
OK	Cashion Public Schools	Kingfisher	Advice
OK	Cement Public Schools	Caddo	Advice
OK	Chelsea Public Schools	Rogers	Advice
OK	Cherokee Public Schools	Alfalfa	Advice
OK	Chickasha Public Schools	Grady	Advice
OK	Chisholm Public Schools	Garfield	Advice
OK	Cimarron Public Schools	Major	Advice
OK	Claremore Public Schools	Rogers	Advice
OK	Coalgate Public Schools	Coal	Advice
OK	Colcord Public Schools	Delaware	Advice
OK	Coleman Public Schools	Johnston	Advice
OK	Collinsville Public Schools	Tulsa	Advice
OK	Commerce Public Schools	Ottawa	Advice
OK	Copan Public Schools	Washington	Advice
OK	Coweta Public Schools	Wagoner	Advice
OK	Crescent Public Schools	Kingfisher	Advice
OK	Cyril Public Schools	Caddo	Advice
OK	Dale Public Schools	Pottawatomie	Advice
OK	Davis Public Schools	Murray	Advice
OK	Deer Creek Public Schools	Oklahoma	Advice
OK	Depew Public Schools	Creek	Advice
OK	Dibble Public Schools	McClain	Advice
OK	Drummond Public Schools	Garfield	Advice
OK	Drumright Public Schools	Creek	Advice
OK	Eagletown Public Schools	McCurtain	Advice
OK	El Reno Public Schools	Canadian	Advice
OK	Eldorado Public Schools	Jackson	Advice
OK	Elk City Public Schools	Beckham	Advice
OK	Fairview Public Schools	Major	Advice
OK	Felt Public Schools	Cimarron	Advice
OK	Forgan Public Schools	Beaver	Advice
OK	Foyil Public Schools	Rogers	Advice

OK	Freedom Public Schools	Woods	Advice
OK	Gans Public Schools	Sequoyah	Advice
OK	Garber Public Schools	Garfield	Advice
OK	Geronimo Public Schools	Comanche	Advice
OK	Goodwell Public Schools	Texas	Advice
OK	Gore Public Schools	Sequoyah	Advice
OK	Grove Public Schools	Pottawatomie	Advice
OK	Hartshorne Public Schools	Pittsburg	Advice
OK	Haskell Public Schools	Muskogee	Advice
OK	Hennessey Public Schools	Kingfisher	Advice
OK	Henryetta Public Schools	Okmulgee	Advice
OK	Hilldale Public Schools	Muskogee	Advice
OK	Hominy Public Schools	Osage	Advice
OK	Indianoma Public Schools	Comanche	Advice
OK	Indianola Public Schools	Pittsburg	Advice
OK	Kiefer Public Schools	Creek	Advice
OK	Kingston Public Schools	Marshall	Advice
OK	Kinta Public Schools	Haskell	Advice
OK	Krebs Public Schools	Pittsburg	Advice
OK	Kremlin-Hillsdale Public Schools	Garfield	Advice
OK	Locust Grove Public Schools	Mayes	Advice
OK	Lone Grove Public Schools	Carter	Advice
OK	Macomb Public Schools	Pottawatomie	Advice
OK	Mannford Public Schools	Creek	Advice
OK	Maple Public Schools	Canadian	Advice
OK	Mayes County Commissioners	Mayes	Advice
OK	McCurtain Public Schools	Haskell	Advice
OK	McCloud Public Schools	Pottawatomie	Advice
OK	Midway Public Schools	McIntosh	Advice
OK	Mooreland Public Schools	Woodward	Advice
OK	Morris Public Schools	Okmulgee	Advice
OK	Morrison Public Schools	Noble	Advice
OK	Mounds Public Schools	Creek	Advice
OK	Mountain View-Gotebo Public Schools	Kiowa	Advice
OK	Mulhall-Orlando Public Schools	Logan	Advice
OK	Newkirk Public Schools	Kay	Advice
OK	Ninnekah Public Schools	Grady	Advice
OK	Noble Public Schools	Cleveland	Advice
OK	North Rock Creek Public Schools	Pottawatomie	Advice
OK	Okay Public Schools	Wagoner	Advice
OK	Okeene Public Schools	Blaine	Advice
OK	Oklahoma Union Public Schools	Nowata	Advice
OK	Oktaha Public Schools	Muskogee	Advice
OK	Osage Public Schools	Mayes	Advice
OK	Pawnee Public Schools	Pawnee	Advice
OK	Perkins Tryon Public Schools	Payne	Advice
OK	Perry Public Schools	Noble	Advice
OK	Piedmont Public Schools	Canadian	Advice
OK	Pioneer-Pleasant Vale Public Schools	Garfield	Advice
OK	Pleasant Grove Public Schools	Pottawatomie	Advice
OK	Porter Consolidated Public Schools	Wagoner	Advice
OK	Prague Public Schools	Lincoln	Advice
OK	Pryor Public Schools	Mayes	Advice
OK	Putnam City Public Schools	Oklahoma	Advice

OK	Quinton Public Schools	Pittsburg	Advice
OK	Ripley Public Schools	Payne	Advice
OK	Rock Creek Public Schools	Bryan	Advice
OK	Roff Public Schools	Pontotoc	Advice
OK	Salina Public Schools	Mayes	Advice
OK	Schulter Public Schools	Creek	Advice
OK	Seminole Public Schools	Seminole	Advice
OK	Sequoyah Public Schools	Rogers	Advice
OK	Shawnee Public Schools	Pottawatomie	Advice
OK	Skiatook Public Schools	Tulsa	Advice
OK	Snyder Public Schools	Kiowa	Advice
OK	Sperry Public Schools	Tulsa	Advice
OK	Stringtown Public Schools	Atoka	Advice
OK	Stuart Public Schools	Hughes	Advice
OK	Swink Public Schools	Choctaw	Advice
OK	Tannehill Public Schools	Pittsburg	Advice
OK	Texhoma Public Schools	Texas	Advice
OK	Timberlake Public Schools	Alfalfa	Advice
OK	Turpin Public Schools	Beaver	Advice
OK	Waukomis Public Schools	Garfield	Advice
OK	Webbers Falls Public Schools	Muskogee	Advice
OK	Welch Public Schools	Craig	Advice
OK	Wewoka Public Schools	Seminole	Advice
OK	Whiterock Public Schools	Lincoln	Advice
OK	Wister Public Schools	LeFlore	Advice
OK	Wright City Public Schools	McCurtain	Advice
OK	Woodward Public Schools	Woodward	Advice
OK	Yukon Public Schools	Canadian	Advice
OK	Yale Public Schools	Payne	Advice

2016 Q4 Ballot Approved Offerings

Entity Name	Entity Type	County	Amount	Dtd Date
Sequoyah	Public School	Rogers	\$500,000	4/1/2017
Garber	Public School	Garfield	\$700,000	3/1/2017
Ripley	Public School	Payne	\$620,000	4/1/2017
Broken Arrow	Public School	Tulsa	\$23,500,000	4/1/2017
Piedmont	Public School	Atoka	\$4,065,000	4/1/2017
Dibble	Public School	McClain	\$600,000	5/1/2017
Crescent	Public School	Logan	\$1,425,000	4/1/2017
Mannford	Public School	Creek	\$880,000	5/1/2017
Pioneer-Pleasant Vale	Public School	Garfield	\$360,000	5/1/2017
Mounds	Public School	Creek	\$900,000	4/1/2017
Salina	Public School	Mayes	\$725,000	5/1/2017
Woodward	Public School	Woodward	\$1,320,000	5/1/2017
North Rock Creek	Public School	Pottawatomie	\$1,750,000	5/1/2017
Fairview	Public School	Major	\$600,000	5/1/2017
Texhoma	Public School	Texas	\$725,000	5/1/2017
Cimarron	Public School	Major	\$470,000	5/1/2017