

FORM G-37



Name of Regulated Entity: *Prager & Co., LLC*

Report Period: *First Quarter of 2017*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>See Attachment</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>See Attachment</i>		

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Apr 28, 2017*

Name (must be officer of regulated entity): *ALISA DAVIS*

Address: *One Maritime Plaza, San Francisco, CA 94111*

Phone: *415-403-1936 x136*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

[illegible]

Item IV. B. *Issues with which the dealer has engaged in Municipal Advisory Business*

STATE	ISSUER NAME / DESCRIPTION	BORROWER OR ISSUER CITY/COUNTY	MUNICIPAL SECURITIES
			BUSINESS TYPE
CA	CALIFORNIA INSTITUTE OF TECHNOLOGY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
CA	DOMINICAN UNIVERSITY OF CALIFORNIA (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
CA	MILLS COLLEGE (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
CA	UNIVERSITY OF LA VERNE (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
CA	LASSEN MUNICIPAL UTILITY DISTRICT		FINANCIAL ADVISOR
CA	PITZER COLLEGE (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
CO	DENVER UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
DC	UNITED NEGRO COLLEGE FUND, INC (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
GA	EMORY UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
IL	ART INSTITUTE OF CHICAGO (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
IL	NORTHWESTERN UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
IL	UNIVERSITY OF CHICAGO (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
MD	JOHNS HOPKINS UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
ME	UNIVERSITY OF NEW ENGLAND (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
MO	UNIVERSITY OF MISSOURI (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NC	DUKE UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NC	UNIV OF NORTH CAROLINA BD OF GOV	UNIV OF NO CAROLINA-CHAPEL HILL	FINANCIAL ADVISOR
NC	UNIVERSITY OF NORTH CAROLINA (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NC	WAKE FOREST UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NJ	DELBARTON SCHOOL (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NJ	DREW UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NJ	FAIRLEIGH DICKINSON UNIV (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NJ	LAFAYETTE COLLEGE (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NJ	NEW JERSEY CITY UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NJ	NEW JERSEY EDUC FACS AUTH	NEW JERSEY CITY UNIVERSITY	FINANCIAL ADVISOR
NJ	NEW JERSEY INSTITUTE OF TECHNOLOGY (NJIT) (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NJ	RIDER UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NJ	RUTGERS STATE UNIVERSITY		FINANCIAL ADVISOR
NJ	RUTGERS UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NJ	THE ORDER OF ST. BENEDICT OF NJ (DELBARTON) (FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NY	JEWISH BOARD OF FAMILY AND CHILDREN'S SERVICES (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NY	JEWISH COMMUNITY CENTER IN MANHATTAN (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NY	JEWISH THEOLOGICAL SEMINARY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NY	SARAH LAWRENCE COLLEGE (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NY	SOUTHAMPTON HOSPITAL ASSOCIATION (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NY	WILDLIFE CONSERVATION SOCIETY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
OH	CASE WESTERN RESERVE UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
OH	OHIO UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
OH	UNIVERSITY OF CINCINNATTI (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
OR	REED COLLEGE (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
TX	RED RIVER EDUCATION FIN CORP	ST EDWARDS UNIVERSITY	FINANCIAL ADVISOR
TX	ST. EDWARDS UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
WA	GONZAGA UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR
NC	ELON UNIVERSITY (ONGOING FINANCIAL ADVISORY)		FINANCIAL ADVISOR