

Name of Regulated Entity: SAMCO CAPITAL MARKETS, INC.

Report Period: First Quarter of 2017

### I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title

(including any

city/county/state or other political subdivision) of municipal entity official Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date

of such automatic exemption.

None

### II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including

any city/county/state or other political subdivision) of

political party

None

Payments by each contributor category



### III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

### A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor category

None

### **B.** Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



### IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

### A. Municipal Securities Business

State Complete name of municipal

entity and city/county

Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)

See

Attachment

#### **B.** Municipal Advisory Business

State Complete name of municipal

entity and city/county

Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))

See Attachment

### C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity Full Issue Description

Reportable Date of Selection

None



Signature: electronic Date: Apr 25, 2017

Name (must be officer of regulated entity): Lee Maverick Address: 6805 Capital of Texas Highway, Austin, TX 78731

**Phone:** *512-637-3775* 

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

## SAMCO CAPITAL MARKETS, INC. - 1st QUARTER 2017 ISSUERS WITH WHICH DEALER WAS ENGAGED IN MUNICIPAL SECURITIES (LIST BY STATE)

	Settlement				Offering
<u>State</u>	<u>Date</u>	Par Amount	<u>Issuer</u>	<u>Role</u>	<u>Type</u>
TX	1/12/2017	\$ 6,710,000.00	Harris Cnty MUD #500, WW & SS Fac 2017	Lead Manager	Negotiated
TX	1/19/2017	\$ 4,475,000.00	Cinco Southwest MUD #4, Ult Tax Road Rfd	Lead Manager	Negotiated
TX	1/31/2017	\$ 388,705,000.00	Texas A & M Univ Sys A	CO-Manager	Negotiated
TX	2/9/2017	\$ 23,180,000.00	Heath, TX Comb Tax & Surplus Rev C/O	Lead Manager	Negotiated
TX	2/9/2017	\$ 4,405,000.00	Heath, TX GO Rfd	Lead Manager	Negotiated
			Addicks Util Dist, TX WW & SS Com Ult Tax & Rev		Negotiated
TX	2/9/2017	\$ 8,439,760.80	Rfd	Lead Manager	
TX	2/9/2017	\$ 4,975,000.00	Cleveland, TX ISD Ult Tax Rfd	Lead Manager	Negotiated
TX	2/15/2017	\$ 3,925,000.00	Harris Cnty MUD #82 Ult tax Rfd	Lead Manager	Negotiated
TX	2/16/2017	\$ 4,540,000.00	Ft Bend Cnty, Tx MUD #134C Ult Tax Rfd 2017	Lead Manager	Negotiated
TX	2/22/2017	\$ 7,925,000.00	O'Donnell ISD, TX Ult Tax Rfd 2017	CO-Manager	Negotiated
TX	2/23/2017	\$ 48,320,000.00	Taylor Cnty, TX Ltd Tax GO	CO-Manager	Negotiated
TX	2/23/2017		Ft Bend Cnty Levee Improve #17 Ult Tax Rfd 2017	Lead Manager	Negotiated
TX	3/1/2017	\$ 9,005,000.00	Wimberley, TX ISD Ult Tax Sch Bldg Rfd	Lead Manager	Negotiated

# SAMCO CAPITAL MARKETS, INC. - 1st QUARTER 2017 ISSUERS WITH WHICH DEALER WAS ENGAGED IN MUNICIPAL ADVISORY BUSINESS (LIST BY STATE)

Municipal Issuer	City	County	State
Abernathy ISD	Abernathy	Hale/Lubbock	Texas
Acton MUD	N/A	Hood/Johnson	Texas
Alamo Heights ISD	Alamo Heights	Bexar	Texas
Albany ISD	Albany	Shackelford/Stephens	Texas
Alvarado ISD	Alvarado	Johnson	Texas
Amarillo ISD	Amarillo	Potter/Randall	Texas
Anahuac ISD	Anahuac	Chambers	Texas
Andrews ISD	Andrews	Andrews	Texas
Anna ISD	Anna	Collin	Texas
Annetta, Town of	Annetta	Parker	Texas
Aransas Pass, City of	Aransas Pass	SanPatricio/Nueces/Aransas	Texas
Arp ISD	Arp	Smith	Texas
Atascosa County	N/A	Atascosa	Texas
Athens ISD	Athens	Henderson/Anderson/VanZandt	Texas
Bandera, City of	Bandera	Bandera	Texas
Barbers Hill ISD	Mont Belvieu	Chambers	Texas
Bastrop County Water Control &			
Improvement #3	N/A	Bastrop	Texas
Bastrop County Water Control &			
Improvement District #2	N/A	Bastrop	Texas
Bayview MUD	N/A	Galveston	Texas
Bee Cave, City of	Bee Cave	Travis	Texas
Bee Cave, City of Economic			
Development Corp	Bee Cave	Travis	Texas
Bellmead, City of	Bellmead	McLennan	Texas
Bells ISD	Bells	Grayson	Texas
Bellville ISD	Bellville	Austin	Texas
Bexar County	N/A	Bexar	Texas
Big Spring, City of	Big Spring	Howard	Texas
Blue Ridge ISD	Blue Ridge	Collin/Fannin	Texas
Boerne ISD	Boerne	Kendall	Texas
Boerne, City of	Boerne	Kendall	Texas
Bonham ISD	Bonham	Fannin	Texas
Borger, City of	Borger	Hutchinson	Texas
Boyd ISD	Boyd	Wise	Texas
Briarcliff, Village of	Briarcliff	Travis	Texas
Brookesmith Special Utility District	N/A	Brown/Mills/Coleman	Texas
Brownsboro ISD	Brownsboro	Henderson	Texas
Bullard ISD	Bullard	Smith/Cherokee	Texas
Bulverde Library District	Bulverde	Comal	Texas
Burleson ISD	Burleson	Johnson/Tarrant	Texas

Burnet County	N/A	Burnet	Texas
Burnet County Water Control &			
Improvement Dist. #1	N/A	Burnet	Texas
Caddo Mills, City of	Caddo Mills	Hunt	Texas
Caldwell ISD	Caldwell	Burleson	Texas
Calhoun County ISD	Port Lavaca	Calhoun	Texas
Cameron ISD	Cameron	Milam	Texas
Canyon Regional Water Authority Carlisle ISD	N/A Price	Atascosa/Hays/Guadalupe/Comal/ Caldwell/Bexar/Wilson Rusk/Cherokee	Texas Texas
Carthage ISD	Carthage	Panola	Texas
Celeste ISD	Celeste	Hunt	Texas
Celina ISD	Celina	Collin/Grayson/Denton	Texas
Center ISD	Center	Shelby	Texas
Center Point ISD	Center Point	Kerr	Texas
Center, City of	Center	Shelby	Texas
Central ISD	Pollok	Angelina	Texas
Chapel Hill ISD Smith County	Tyler	Smith	Texas
Chapel Hill ISD Titus County	Mt Pleasant	Titus	Texas
Chico ISD	Chico	Wise	Texas
Cibilo Creek Municipal Authority	N/A	Bexa/Guadalupe/Comal	Texas
Cibolo Valley Local Government			
Corporation	N/A	Guadalupe	Texas
Clifton ISD	Clifton	Bosque/Coryell	Texas
Clute, City of	Clute	Brazoria	Texas
Cockrell Hill, City of	Cockrell Hill	Dallas	Texas
Columbia-Brazoria ISD	West Columbia	Brazoria	Texas
Comal County	N/A	Comal	Texas
Comal ISD	New Braunfels	Bexar/Comal/Guadalupe/ Hays/Kendall	Texas
Comfort ISD	Comfort	Kendall	Texas
Commerce ISD	Commerce	Hunt/Delta	Texas
Community ISD	Nevada	Collin/Hunt	Texas
Converse, City of	Converse	Bexar	Texas
Corpus Christi, City of	Corpus Christi	Nueces	Texas
Crosby ISD	Crosby	Harris	Texas
Crowley EDC	N/A	Tarrant	Texas
Crowley, City of	Crowley	Tarrant/Johnson	Texas
Crystal Clear Special Utility District D'Hanis ISD	N/A D'Hanis	Hays Medina	Texas Texas
Daingerfield-Lone Star ISD	Daingerfield	Morris/Titus	
Dalhart ISD	Daingemeid	Dallam/Hartley	Texas Texas
Danbury ISD	Danbury	Brazoria	Texas
Dayton ISD	•	Liberty	_
	Dayton		Texas
Dayton, City of	Dayton	Liberty	Texas

Detroit ISD	Detroit	RedRiver	Texas
Devers ISD	Devers	Liberty	Texas
Deweyville ISD	Deweyville	Newton	Texas
Dripping Springs, City of	Dripping Springs	Hays	Texas
Dumas ISD	Dumas	Moore	Texas
Dumas, City of	Dumas	Moore	Texas
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East Central Special Utility District	N/A	Bexar	Texas
East Chambers ISD	Winnie	Chambers	Texas
Eden, City of	Eden	Concho	Texas
Elkhart ISD	Elkhart	Anderson/Houston	Texas
Ennis ISD	Ennis	Ellis	Texas
Ennis, City of	Ennis	Ellis	Texas
Eustace ISD	Eustace	Henderson/VanZandt	Texas
Everman ISD	Everman	Tarrant	Texas
Fair Oaks Ranch, City of	Fair Oaks Ranch	Kendall/Bexar/Comal	Texas
Floresville Economic Development			
Corporation	Floresville	Wilson	Texas
Floresville ISD	Floresville	Wilson/Bexar	Texas
Fort Stockton ISD	Fort Stockton	Pecos	Texas
Frankston ISD	Frankston	Anderson/Henderson	Texas
Fredericksburg ISD	Fredericksburg	Gillespie	Texas
Frisco ISD	Frisco	Collin/Denton	Texas
Gainesville ISD	Gainesville	Cooke	Texas
Galena Park ISD	Houston	Harris	Texas
Gatesville ISD	Gatesville	Coryell/Bell	Texas
Grand Prairie ISD	Grand Prairie	Dallas	Texas
Grape Creek ISD	San Angelo	TomGreen	Texas
Greenbelt MIWA	N/A	Donley/Hardeman/Foard/Childress	Texas
Guadalupe County	N/A	Guadalupe	Texas
Gunter ISD	Gunter	Grayson/Collin	Texas
Haltom, City of	Haltom	Tarrant	Texas
Hamilton ISD	Hamilton	Hamilton/Mills/Comanche	Texas
Harris Co WCID #1	N/A	Harris	Texas
Harris County Fresh Water Supply			
Disrct # 47	N/A	Harris	Texas
Harris County Water Control &			
Improv. Dist. # 36	N/A	Harris	Texas
Harris County WC&ID # 74	N/A	Harris	Texas
Hawkins ISD	Hawkins	Wood	Texas
Hays County MUD # 4	N/A	Hays	Texas
Hays County MUD # 6	N/A	Hays	Texas
Hays County Water Control &			
Improvement District # 1	N/A	Hays	Texas
Hays County Water Control &			
Improvement District # 2	N/A	Hays	Texas

Hewitt, City of	Hewitt	McLennan	Texas
Holliday ISD	Holliday	Archer/Wichita	Texas
Hollywood Park, Town of	Hollywood Park	Bexar	Texas
Hondo ISD	Hondo	Medina	Texas
Hondo, City of	Hondo	Medina	Texas
Honey Grove ISD	Honey Grove	Fannin/Lamar	Texas
Hooks ISD	Hooks	Bowie	Texas
Hudson Oaks, City of	Hudson Oaks	Parker	Texas
Hudson Ranch Water District	N/A	Hays	Texas
Hughes Springs ISD	Hughes Springs	Cass/Morris	Texas
Huntington ISD	Huntington	Angelina	Texas
Ingleside, City of	Ingleside	SanPatricio	Texas
Jacksboro ISD	Jacksboro	Jack/Archer/Wise	Texas
Jacksonville ISD	Jacksonville	Cherokee	Texas
Johnson City ISD	Johnson City	Blanco/Travis/Llano/Hays	Texas
Johnson Ranch MUD (was Comal			
County WCI District)	N/A	Comal	Texas
Jonestown, City of	Jonestown	Travis	Texas
Joshua, City of	Joshua	Johnson	Texas
Jourdanton, City of	Jourdanton	Atascosa	Texas
Judson ISD	Judson	Bexar	Texas
Karnes City	Karnes City	Karnes	Texas
Keene ISD	Keene	Johnson	Texas
Kendall Co WCID	N/A	Kendall	Texas
Kendall County	N/A	Kendall	Texas
Kendall County Water MUD No. 1	N/A	Kendall	Texas
Kennedale, City of	Kennedale	Tarrant	Texas
Kilgore ISD	Kilgore	Gregg/Rusk	Texas
Knippa ISD	Knippa	Uvalde	Texas
La Porte ISD	La Porte	Harris/Chambers	Texas
La Poynor ISD	La Rue	Henderson/Anderson	Texas
Lake Cities MUA	N/A	Denton	Texas
Lakewood Village, Town of	Lakewood Village	Denton	Texas
Lamar County	N/A	Lamar	Texas
Lee County	N/A	Lee	Texas
Leonard ISD	Leonard	Fannin/Hunt/Collin	Texas
Lexington, City of	Lexington	Lee	Texas
Liberty Hill ISD	Liberty Hill	Williamson	Texas
Liberty ISD	Liberty	Liberty	Texas
Little Elm, Town of	Little Elm	Denton	Texas
Livingston ISD	Livingston	Polk	Texas
Llano County	N/A	Llano	Texas
Lone Oak ISD	Lone Oak	Hunt/Rains	Texas
Longview ISD	Longview	Gregg	Texas
Lovejoy ISD	Allen	Collin	Texas
Lucas, City of	Lucas	Collin	Texas
Malakoff ISD	Malakoff	Henderson	Texas

Manor, City of	Manor	Travis	Texas
Marble Falls Economic			
Development Corporation	N/A	Burnet	Texas
Marble Falls ISD	Marble Falls	Burnet/Travis	Texas
Marble Falls, City of	Marble Falls	Burnet	Texas
Marfa ISD	Marfa	Presidio	Texas
Marion ISD	Marion	Guadalupe	Texas
Marion, City of	Marion	Guadalupe	Texas
Marshall ISD	Marshall	Harrison	Texas
McLennan County	N/A	McLennan	Texas
Medical Center Health System			
(Ector County Hospital District)	Odessa	Ector	Texas
Medina County	N/A	Medina	Texas
Melissa ISD	Melissa	Collin	Texas
Meridian, City of	Meridian	Bosque	Texas
Midlothian Development Authority	N/A	Ellis	Texas
Midlothian, City of	Midlothian	Ellis	Texas
Milam County	N/A	Milam	Texas
Mildred ISD	Corsicana	Navarro	Texas
Mountain City, City of	Mountain City	Hays	Texas
Mt Peak Special Utility District	N/A	Ellis	Texas
Muleshoe ISD	Muleshoe	Bailey/Lamb	Texas
Navarro ISD	Navarro	Guadalupe	Texas
Neches ISD	Neches	Anderson	Texas
New Braunfels ISD	New Braunfels	Comal	Texas
New Braunfels Utilities	New Braunfels	Comal	Texas
New Braunfels, City of	New Braunfels	Comal	Texas
New Caney ISD	New Caney	Montgomery/Harris	Texas
New Diana ISD	Diana	Upshur/Harrison	Texas
New Waverly ISD	New Waverly	Walker	Texas
Newton ISD	Newton	Newton	Texas
Nolanville, City of	Nolanville	Bell	Texas
Normangee ISD	Normangee	Leon/Madison	Texas
North Central Texas College	Gainesville	Cooke	Texas
Orange Co WCID No 1	N/A	Orange	Texas
Overton ISD	Overton	Rusk	Texas
Pampa, City of	Pampa	Gray	Texas
Panhandle ISD	Panhandle	Carson	Texas
Panola College	Carthage	Panola	Texas
Paris, City of	Paris	Lamar	Texas
Pecos County	N/A	Pecos	Texas
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Permian Regional Medical Center	Andrews	Andrews	Texas
Petrolia CISD	Petrolia	Clay	Texas
Pittsburg ISD	Pittsburg	Camp/Wood/Upshur	Texas
Pleasanton, City of	Pleasanton	Atascosa	Texas
Ponder ISD	Ponder	Denton	Texas

Poolville ISD	Poolville	Parker/Wise	Texas
Port Aransas, City of	Port Aransas	Nueces	Texas
Porter Special Utility District	N/A	Montgomery	Texas
Potter County	N/A	Potter	Texas
Pottsboro ISD	Pottsboro	Grayson	Texas
Prosper ISD	Prosper	Collin/Denton	Texas
Quitman ISD	Quitman	Wood	Texas
Randall County	N/A	Randall	Texas
Red Lick ISD	Texarkana	Bowie	Texas
Red Oak ISD	Red Oak	Ellis	Texas
Rice ISD	Rice	Navarro	Texas
Rusk ISD	Rusk	Cherokee/Rusk	Texas
Saginaw, City of	Saginaw	Tarrant	Texas
Salado, Village of	Salado	Bell	Texas
San Antonio River Authority	N/A	Bexar	Texas
San Augustine ISD	San Augustine	SanAugustine/Shelby	Texas
San Leon Municipal Utility District	N/A	Galveston	Texas
San Patricio Municipal Water			
District	N/A	SanPatricio	Texas
Santa Gertrudis ISD	Santa Gertrudis	Kleberg	Texas
Schertz Seguin Local Government			
Corporation	Schertz and Seguin	Bexar/Guadalupe	Texas
Schertz, City of	Schertz	Guadalupe/Bexar/Comal	Texas
	Schertz, Cibolo,		
Schertz-Cibolo-Universal City ISD	and Universal City	Bexar/Guadalupe	Texas
Schreiner University	Kerrville	Kerr	Texas
Seguin, City of	Seguin	Guadalupe	Texas
Selma, City of	Selma	Bexar/Comal/Guadalupe	Texas
Senna Hills MUD	N/A	Travis	Texas
Seymour ISD	Seymour	Baylor/Knox	Texas
Shady Hollow MUD	N/A	Travis	Texas
Sinton, City of	Sinton	SanPatricio	Texas
Slocum ISD	Elkhart	Anderson	Texas
Southwest ISD	Southwest	Bexar	Texas
Spearman ISD	Spearman	Hansford/Ochitree/Hutchinson	Texas
Spring Hill ISD	Longview	Gregg	Texas
Sulphur Springs ISD	Sulphur Springs	Hopkins	Texas
Sulphur Springs, City of	Sulphur Springs	Hopkins	Texas
Sweetwater, City of	Sweetwater	Nolan	Texas
Texarkana ISD	Texarkana	Bowie	Texas
Texas City ISD	Texas City	Galveston	Texas
The Meadows at Chandler Creek			
MUD	N/A	Williamson	Texas
Thorndale ISD	Thorndale	Milam	Texas
Transacion ICD			
Trenton ISD	Trenton	Fannin/Grayson/Collin	Texas
Trinidad ISD	Trenton Trinidad	Fannin/Grayson/Collin Henderson	Texas Texas

Trophy Club, Town of 4B Economic			
Development Corp	N/A	Denton/Tarrant	Texas
Trophy Club, Town of PID	N/A	Denton/Tarrant	Texas
Uvalde County	N/A	Uvalde	Texas
Uvalde, City of	Uvalde	Uvalde	Texas
Valwood Improvement District	N/A	Dallas	Texas
Van Alstyne ISD	Van Alstyne	Grayson/Collin	Texas
Vista Oaks (old name: Williamson			
County MUD No. 9)	N/A	Williamson	Texas
Waskom ISD	Waskom	Harrison	Texas
Watauga, City of	Watauga	Tarraant	Texas
Waxahachie ISD	Waxahachie	Ellis	Texas
West Hardin County CISD	N/A	Hardin	Texas
West Lake Hills, City of	West Lake Hills	Travis	Texas
West Rusk County CISD	New London	Rusk	Texas
Whitesboro ISD	Whitesboro	Grayson/Cooke	Texas
Williamson-Travis Counties MUD			
No. 1	n/a	Travis/Williamson	Texas
Wolfe City ISD	Wolfe City	Hunt/Fannin	Texas
Woodville ISD	Woodville	Tyler/Jasper/Polk	Texas
Zephyr Water Supply Corporation	N/A	Brown	Texas