

FORM G-37



Name of Regulated Entity: *Dale Scott & Company Inc.*

Report Period: *First Quarter of 2017*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

| State | Complete name, title (including any city/county/state or other political subdivision) of municipal entity official | Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption. |
|-------|--|--|
|-------|--|--|

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

| State | Complete name (including any city/county/state or other political subdivision) of political party | Payments by each contributor category |
|-------|--|---------------------------------------|
|-------|--|---------------------------------------|

None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

| State | Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities | Contributions, including the specific date the contributions were made, by each contributor category |
|-------|--|--|
|-------|--|--|

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

| State | Complete name of municipal entity and city/county | Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent) |
|-------|---|---|
|-------|---|---|

None

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
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See

Attachment

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

| Full Name of Municipal Entity | Full Issue Description | Reportable Date of Selection |
|-------------------------------|------------------------|------------------------------|
|-------------------------------|------------------------|------------------------------|

None

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Signature: electronic

Date: *Apr 25, 2017*

Name (must be officer of regulated entity): *CHASE ADAMS*

Address: *650 California St. 8th Floor, San Francisco, CA 94108*

Phone: *415-956-1030*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

Dale Scott & Co., Inc. (MSRB ID: K0586)

MSRB Form G-37 Municipal Advisory Business

Year: 2017

Quarter: Q1

| | Municipal Entity | City, State (County) | Type of Business |
|----|---|--|-------------------------|
| 1 | Alvord Unified School District | Corona, CA (Riverside County) | Advice |
| 2 | Amador County Office of Education | Jackson, CA (Amador County) | Advice |
| 3 | Amador County Unified School District | Jackson, CA (Amador County) | Advice |
| 4 | Apple Valley Unified School District | Apple Valley, CA (San Bernardino County) | Advice |
| 5 | Atascadero Unified School District | Atascadero, CA (San Luis Obispo County) | Advice |
| 6 | Banning Unified School District | Banning, CA (Riverside County) | Advice |
| 7 | Bret Harte Union High School District | Angels Camp, CA (Calaveras County) | Advice |
| 8 | Cajon Valley Union School District | El Cajon, CA (San Diego County) | Advice |
| 9 | Calaveras County Board of Education | Angels Camp, CA (Calaveras County) | Advice |
| 10 | Calipatria Unified School District | Calipatria, CA (Imperial County) | Advice |
| 11 | Carpinteria Unified School District | Carpinteria, CA (Santa Barbara County) | Advice |
| 12 | Central Unified School District | Fresno, CA (Fresno County) | Advice |
| 13 | Chula Vista Elementary School District | Chula Vista, CA (San Diego County) | Advice |
| 14 | College Elementary School District | Santa Ynez, CA (Santa Barbara County) | Advice |
| 15 | Conejo Valley Unified School District | Thousand Oaks, CA (Ventura County) | Advice |
| 16 | Delhi Unified School District | Delhi, CA (Merced County) | Advice |
| 17 | East Side Union High School District | San Jose, CA (Santa Clara County) | Advice |
| 18 | Esparto Unified School District | Esparto, CA (Yolo County) | Advice |
| 19 | Eureka City Unified School District | Eureka, CA (Humboldt County) | Advice |
| 20 | Fillmore Unified School District | Fillmore, CA (Ventura County) | Advice |
| 21 | Franklin-McKinley School District | San Jose, CA (Santa Clara County) | Advice |
| 22 | Gilroy Unified School District | Gilroy, CA (Santa Clara County) | Advice |
| 23 | Golden Valley Unified School District | Madera, CA (Madera County) | Advice |
| 24 | Hemet Unified School District | Hemet, CA (Riverside County) | Advice |
| 25 | Hillsborough City School District | Hillsborough, CA (San Mateo County) | Advice |
| 26 | Hope Elementary School District | Santa Barbara, CA (Santa Barbara County) | Advice |
| 27 | Janesville Union Elementary School District | Janesville, CA (Lassen County) | Advice |
| 28 | John Swett Unified School District | Rodeo, CA (Contra Costa County) | Advice |
| 29 | Kern Community College District | Bakersfield, CA (Kern County) | Advice |

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|----|---|---|--------|
| 30 | Kern High School District | Bakersfield, CA (Kern County) | Advice |
| 31 | King City Union Elementary School District | King City, CA (Monterey County) | Advice |
| 32 | Lake Elsinore Unified School District | Lake Elsinore, CA (Riverside County) | Advice |
| 33 | Lancaster Elementary School District | Lancaster, CA (Los Angeles County) | Advice |
| 34 | Lemon Grove School District | Lemon Grove, CA (San Diego County) | Advice |
| 35 | Loma Prieta Joint Union Elementary School District | Los Gatos, CA (Santa Clara County) | Advice |
| 36 | Los Banos Unified School District | Los Banos, CA (Merced County) | Advice |
| 37 | Los Gatos-Saratoga Joint Union High School District | Los Gatos, CA (Santa Clara County) | Advice |
| 38 | Mammoth Unified School District | Mammoth, CA (Mono County) | Advice |
| 39 | Monson-Sultana Joint Union Elementary School District | Sultana, CA (Tulare County) | Advice |
| 40 | Newman-Crows Landing Unified School District | Newman, CA (Stanislaus County) | Advice |
| 41 | Oak Park Unified School District | Oak Park, CA (Ventura County) | Advice |
| 42 | Pacific Grove Unified School District | Pacific Grove, CA (Monterey County) | Advice |
| 43 | Pacifica School District | Pacifica, CA (San Mateo County) | Advice |
| 44 | Pomona Unified School District | Pomona, CA (Los Angeles County) | Advice |
| 45 | River Delta Unified School District | Rio Vista, CA (Sacramento County) | Advice |
| 46 | San Miguel Joint Union School District | San Miguel, CA (San Luis Obispo County) | Advice |
| 47 | Santa Cruz Elementary School District | Santa Cruz, CA (Santa Cruz County) | Advice |
| 48 | Santa Cruz High School District | Santa Cruz, CA (Santa Cruz County) | Advice |
| 49 | Santa Ynez Valley Union High School District | Santa Ynez, CA (Santa Barbara County) | Advice |
| 50 | Santee School District | Santee, CA (San Diego County) | Advice |
| 51 | Sequoias Community College District | Visalia, CA (Tulare County) | Advice |
| 52 | Shandon Joint Unified School District | Shandon, CA (San Luis Obispo County) | Advice |
| 53 | Sierra Sands Unified School District | Ridge Crest, CA (Kern County) | Advice |
| 54 | Silver Valley Unified School District | Yermo, CA (San Bernardino County) | Advice |
| 55 | Soledad Unified School District | Soledad, CA (Monterey County) | Advice |
| 56 | Temecula Valley Unified School District | Temecula, CA (Riverside County) | Advice |
| 57 | Torrance Unified School District | Torrance, CA (Los Angeles County) | Advice |
| 58 | Ventura Unified School District | Ventura, CA (Ventura County) | Advice |
| 59 | Washington Unified School District | Fresno, CA (Fresno County) | Advice |
| 60 | Yosemite Unified School District | Oakhurst, CA (Madera County) | Advice |