

Name of Regulated Entity: Frasca & Associates, LLC

Report Period: First Quarter of 2017

## I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title

(including any

city/county/state or other political subdivision) of municipal entity official

Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

## II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including

any city/county/state or other

political subdivision) of

political party

None

Payments by each contributor category



# III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

## A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor category

None

## **B.** Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



## IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

# A. Municipal Securities Business

State Complete name of municipal

entity and city/county

Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)

None

#### **B.** Municipal Advisory Business

State Complete name of municipal Type of municipal advisory business (advice

entity and city/county

or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment

advisory services))

See Attachment

## C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity

Full Issue Description

Reportable Date of Selection

None



Signature: electronic Date: Apr 25, 2017

Name (must be officer of regulated entity): CAROL SHORTALL

Address: 521 Madison Avenue, New York, NY 10022

**Phone:** 215-620-6250

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

# Frasca & Associates, LLC G37 Filings - As of October 2016

State	Municipal Entity Name	Municipal Entity City	Municipal Entity County	Municipal Advisory Business Information
AZ	City of Phoenix	Phoenix, AZ	Maricopa	MA services related to debt transactions
CA	City of Long Beach	Long Beach, CA	Los Angeles	MA services related to debt transactions
CA	Los Angeles World Airports	Los Angeles, CA	Los Angeles	MA services related to debt transactions
CA	Orange County	Orange County, CA	Orange	MA services related to debt transactions
CA	San Diego County Regional Airport Authority	San Diego, CA	San Diego	MA services related to debt transactions
СО	City of Denver	Denver, CO	Denver	MA services related to debt transactions
CT	State of Connecticut - Bradley Airport	Hartford, CT	NA (State)	MA services related to debt transactions
CT	Connecticut Airport Authority	Windsor Locks, CT	NA (State authority)	MA services related to debt transactions
DC	DC Events (Washington Convention & Sport	Washington DC	District of Columbia	MA services related to debt transactions
DC/VA/MD	Metropolitan Washington Airports Authority	Washington DC, State of Virginia and State of Maryland	NA (State)	MA services related to debt transactions
FL	Broward County	Ft. Lauderdale, FL	Broward	MA services related to debt transactions
FL	Greater Orlando Aviation Authority	Orlando, FL	Orange	MA services related to debt transactions
GA	Atlanta Department of Aviation	Atlanta, GA	Fulton	MA services related to debt transactions
IN	Indianapolis Airport Authority	Indianapolis, IN	Marion	MA services related to debt transactions
IL	City of Chicago	Chicago, IL	Cook	MA services related to debt transactions
KY	Kenton County Airport Board	Kenton County, KY	Kenton	MA services related to debt transactions
LA	New Orleans Aviation Bond	New Orleans, LA	Orleans	MA services related to debt transactions
MD	Maryland Department of Transportation	Annapolis, MD	NA (State)	MA services related to debt transactions
MI	Jackson Municipal Airport Authority	Jackson, MI	Hinds	MA services related to debt transactions
MN	Metropolitan Airports Commission	Minneapolis, MN	Hennepin	MA services related to debt transactions
NC	Charlotte-Douglas International Airport	Charlotte, NC	Mecklenberg	MA services related to debt transactions
NY	Buffalo and Fort Erie Public Bridge Authority	NA - New York State and Ontario	NA (State)	MA services related to debt transactions
NY	Empire State Development Corporation - New York Transportation Development Corporation	New York, NY	NA (State)	MA services related to debt transactions
NY	New York State Thruway Authority	Albany, NY	NA (State)	MA services related to debt transactions
NY	Niagara Frontier Transportation Authority	Buffalo, NY	Erie	MA services related to debt transactions
PA	City of Philadelphia - PHL Airport	Philadelphia, PA	Philadelphia	MA services related to debt transactions
SC	Horry County Department of Airports	Conway, SC	Horry	MA services related to debt transactions
Pending Contract:				
AZ	Tucson Airport Authority	Tuscon	Pima	MA services related to debt transactions