

Name of Regulated Entity: *Raftelis Financial Consultants, Inc.*

Report Period: *Fourth Quarter of 2018*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>SC</i>	<i>Spartanburg Sanitary Sewer District, R-SSSD1803.00</i>	<i>Advice</i>
<i>FL</i>	<i>Spartanburg Sewer FY19 Upd Tavares, City of, R-TVFL1802.00 Tavares</i>	<i>Advice</i>
<i>FL</i>	<i>2018 Rate Study, See Name South Walton Utility Co, Inc., R-SWFL1811.00 South Walton 2019 Rate Stu, See Name</i>	<i>Advice</i>
<i>FL</i>	<i>Indian River County, R-IRFL1707.00 Indian River Co W/WW Impac</i>	<i>Advice</i>
<i>CO</i>	<i>Mt. Werner Water and Sanitation District, R-MWCO1702.00 Mt Werner2017 WW ASF, See Name</i>	<i>Advice</i>
<i>VA</i>	<i>Richmond Department of Finance - AP, R-RIVA1807.00 Fin Consultant SerTO#1</i>	<i>Advice</i>
<i>GA</i>	<i>Villa Rica, City of, R-VRGA1806.00 Villa Rica Debt Iss. & Tap, See Name</i>	<i>Advice</i>
<i>NC</i>	<i>Winston-Salem, City of,</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
KY	<i>R-WSNC1808.00 Winston-Salem - Rt St & An Bowling Green Municipal Utilities, R-BGKY1805.00 Bowling Green 2018 Rate Up, See Name</i>	Advice
MO	<i>St. Louis MSD, R-SLMO1706.00 St. Louis Rate Cons Svc</i>	Advice
SC	<i>Bluffton, Town of, R-BLSC1807.00 Bluffton StW Rt Mdl Updt</i>	Advice
WA	<i>Tacoma - Public Works, City of, R-TAWA1602.00 Tacoma Solid Waste Study</i>	Advice
SC	<i>Chester County Wastewater Recovery, R-CESC1809.00 Chester County On-Call</i>	Advice
FL	<i>Florida Rural Water Association, R-RWFL1710.00 Florida Rural WA Utility R, See Name</i>	Advice
NV	<i>Clark County Water Reclamation District, R-CLNV1708.00 Clark County Rt Model Svc</i>	Advice
AL	<i>Alabaster Water Board, R-ALAL1808.00 Alabaster WB W Rt Stdy</i>	Advice
FL	<i>St. Johns County, R-SJFL1604.00 St. Johns Main GS</i>	Advice
SC	<i>Spartanburg Water System, R-SWSC1803.00 Spartanburg Water Rt & Mis, See Name</i>	Advice
LA	<i>Sewerage & Water Board of New Orleans,</i>	Advice

B. Municipal Advisory Business

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	<i>R-NOLA1808.00 New Orleans Debt Sys Tech, See Name</i>	
AZ	<i>Gilbert, Town of, R-GIAZ1806.00 Gilbert 2018 Impact Fee</i>	Advice
RI	<i>East Providence, City of, R-EPRI1606 East Providence W/WW Rt. St.</i>	Advice
AZ	<i>Prescott, City of, R-PRAZ1810.00 Prescott NonUtilty Im Fee, See Name</i>	Advice
MI	<i>Marquette, City of, R-MAMI1805.00 Marquette StrmW Fin Plan</i>	Advice
AL	<i>SouthWest Water Company Utilities, Inc, R-SWWC1809.00 SouthWest Sewer Rt Stdy</i>	Advice
FL	<i>Lake Alfred, City of, R-LFFL1806.00 Lake Alfred 2018 Impact Fe</i>	Advice
NC	<i>Dare County, R-DRNC1803.00 Dare County 2018 Model Upd</i>	Advice
AR	<i>Central Arkansas Water, R-CEAR1807.00 Central AR Water Rt Stdy, See Name</i>	Advice
CO	<i>Aztec, City of, R-AZNM1609.00 Aztec Utility COS & Rt St, See Name</i>	Advice
CA	<i>Pasadena, City of, R-ZACA1711.00 Pasadena Sewer Master Plan, See Name</i>	Advice
NC	<i>Richmond Department of Finance - AP, R-RIVA1707.00 Richmond</i>	Advice

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CA	<p>TO#6 Camarillo, City of, R-CMCA1806.00 Camarillo W/WW Fin Plan, See Name</p>	Advice
CA	<p>Covina, City of, R-OVCA1802.00 Covina W M Plan & Rt Stdy, See Name</p>	Advice
CA	<p>Vallejo Flood & Water District, R-VOCA1706.00 Vallejo SFCD SWR RT STDY</p>	Advice
SC	<p>Hartsville, City of, R-HASC1809.00 Hartsville Sonoco, See Name</p>	Advice
CA	<p>Camarillo, City of, R-CMCA1806.00 Camarillo W/WW Fin Plan</p>	Advice
CA	<p>Pasadena, City of, R-ZACA1711.00 Pasadena Sewer Master Plan</p>	Advice
FL	<p>St. Johns County, R-SJFL1704.00 St. Johns TO #5 UCF Rates</p>	Advice
CO	<p>Mt. Werner Water and Sanitation District, R-MWCO1702.00 Mt Werner2017 WW ASF</p>	Advice
NC	<p>Durham, City of, R-DUNC1711.00 Durham 2018 Rt Model Updat, See Name</p>	Advice
KY	<p>Bowling Green Municipal Utilities, R-BGKY1805.00 Bowling Green 2018 Rate Up</p>	Advice
MO	<p>Marquette, City of, R-MAMII704.00 Marquette W/WW Fin Plan, See Name</p>	Advice
IL	<p>Washington, City of (IL), R-WAIL1806.00 Washington</p>	Advice

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TX	<i>W/S Rt Stdy North Texas Municipal Water District, R-NTTX1705.00 NTMWD Management Audit, See Name</i>	Advice
WI	<i>Milwaukee Metropolitan Sewer District, R-MIW11602.00 Milwaukee MSD Rates</i>	Advice
CA	<i>Inland Empire Utilities Agency, R-IECA1605.00 Inland Empire Fin Plan</i>	Advice
CA	<i>Vallejo Flood & Water District, R-VOCA1804.00 Vallejo FWD Tax Roll</i>	Advice
NC	<i>Fayetteville Public Works Commission, R-FPWC1810.00 Fayetteville-Proced Letter, See Name</i>	Advice
AZ	<i>Avondale, City of, R-AVAZI807.00 Avondale - W/WW Rt Stdy</i>	Advice
MD	<i>Baltimore, City of, R-BAMD1202.00 Baltimore Rate Study</i>	Advice
FL	<i>Bonita Springs Utilities, Inc., R-BSFL1706.00 Bonita Springs Gen Rt Cons, See Name</i>	Advice
FL	<i>Florida Keys Aqueduct Authority, R-FKFL1510.00 FCAA Gen Ser for FY 2016, See Name</i>	Advice
OH	<i>Montgomery County (OH), R-MCOH1801.00 Montgomery Cty On-Call Ser, See Name</i>	Advice

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CO	<i>Westminster (CO), City of, R-WECO1705.00 Westminster Rt/COS, See Name</i>	<i>Advice</i>
NC	<i>Winston-Salem, City of, R-WSNC1808.00 Winston-Salem - Rt St & An, See Name</i>	<i>Advice</i>
WA	<i>Tacoma - Public Works, City of, R-TAWA1603.00 Tacoma WW & SW Study, See Name</i>	<i>Advice</i>
IL	<i>Edwardsville, City of, R-EDIL1710.00 Edwardsville Water Rt Stdy, See Name</i>	<i>Advice</i>
WA	<i>Seattle Public Utilities, R-SAWA1811.00 Seattle - Fin Assess & Sr, See Name</i>	<i>Advice</i>
CO	<i>Mt. Crested Butte Water and Sanitation Distirct, R-MCCO1701.00 Mt. Crested Rate & Tap Fee</i>	<i>Advice</i>
CO	<i>Fort Morgan, City of, R-FMCO1801.00 Fort Morgan W/WW Rt Stdy</i>	<i>Advice</i>
MA	<i>Belmont (MA), Town of, R-BEMA1701.00 Belmont 2018 W/WW Rt. St., See Name</i>	<i>Advice</i>
VA	<i>Suffolk, City of, R-SUVA1802.00 Suffolk TO#3 W/WW Fin Plan, See Name</i>	<i>Advice</i>
FL	<i>Tavares, City of, R-TVFL1802.00 Tavares 2018 Rate Study</i>	<i>Advice</i>
FL	<i>Port St. Lucie, City of, R-PSFL1808.00 Port St. Lucie Study, See Name</i>	<i>Advice</i>
SC	<i>Chester County Wastewater</i>	<i>Advice</i>

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CA	<p><i>Recovery, R-CESC1809.00 Chester County On-Call, See Name</i></p> <p><i>Inland Empire Utilities Agency, R-IECA1605.00 Inland Empire Fin Plan, See Name</i></p>	Advice
CO	<p><i>Thornton, City of, R-THCO1802.00 Thornton SW Fee Study, See Name</i></p>	Advice
NC	<p><i>Greenville, City of (NC), R-GVNC1709.00 Greenville NC Stormwater S, See Name</i></p>	Advice
NC	<p><i>Chester County Wastewater Recovery, R-CESC1708.00 Chester Sewer On-Call Serv</i></p>	Advice
NC	<p><i>Oak Island, Town of, R-OINC1701.00 Oak Island Agreed Upon Pro</i></p>	Advice
CO	<p><i>Prosper Coordinating Metropolitan District, R-PRCO1701.00 Prosper Fin Plan, SDC, Rt, See Name</i></p>	Advice
AZ	<p><i>Tucson, City of, R-TUAZI1606 Tucson Water Rt Cons</i></p>	Advice
GA	<p><i>Griffin, City of, R-GRGA1806.00 Griffin FY19 W/WW/WS Rate, See Name</i></p>	Advice
CO	<p><i>Fort Collins Loveland Water District, R-FCCO1705.00 Fort Collins WD Rate Study, See Name</i></p>	Advice
CA	<p><i>San Diego, City of Public Utilities Dept., R-SDCA1708.00 San Diego W/WW COS Studies</i></p>	Advice
TX	<p><i>El Paso Water Utilities Pub</i></p>	Advice

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	<i>Svc Board, R-EPTX1709.00 El Paso TO#13 FP COS Rts, See Name</i>	
MI	<i>Marquette, City of, R-MAMI1805.00 Marquette StrmW Fin Plan, See Name</i>	<i>Advice</i>
TX	<i>Austin, City of, R-AUTX1709.00 Austin Imprtial Hearing Sv, See Name</i>	<i>Advice</i>
LA	<i>Sewerage & Water Board of New Orleans, R-NOLA1803.00 New Orleans Bond Feas, See Name</i>	<i>Advice</i>
CA	<i>San Diego, City of Public Utilities Dept., R-SDCA1708.00 San Diego W/WW COS Studies, See Name</i>	<i>Advice</i>
MI	<i>Saginaw, City of, R-SAMI1803.00 Saginaw W Rt Mdl Updt</i>	<i>Advice</i>
CA	<i>Central Contra Costa Sanitary District, R-XCCA1406.00 Cent Contra Costa COS</i>	<i>Advice</i>
GA	<i>Villa Rica, City of, R-VRGA1806.00 Villa Rica Debt Iss. & Tap</i>	<i>Advice</i>
OH	<i>Montgomery County (OH), R-MCOH1801.00 Montgomery Cty On-Call Ser</i>	<i>Advice</i>
NC	<i>Durham, City of, R-DUNC1711.00 Durham 2018 Rt Model Updat</i>	<i>Advice</i>
OH	<i>Griffin, City of, R-GRGA1706.00 Griffin W/WW/WS Rate Up, See</i>	<i>Advice</i>

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NC	<i>Name Brunswick County, R-BCNC1812.00 Brunswick County Rt & Bond, See Name</i>	<i>Advice</i>
SC	<i>Hartsville, City of, R-HASC1707.00 Hartsville Feasibility St, See Name</i>	<i>Advice</i>
MO	<i>Smithville, City of, R-SMMO1809.00 Smithville - Ut Rt Study</i>	<i>Advice</i>
FL	<i>Tavares, City of, R-TVFL1407.00 Task Order #1 Tavares Gen</i>	<i>Advice</i>
TN	<i>Metro Government of Nashville and Davidson Co., R-NATN1703.00 W/WW Fin Forecasts</i>	<i>Advice</i>
AL	<i>Water Works Board for the City of Auburn, R-AWWB1805.00 Auburn WWB Water Rev Suf, See Name</i>	<i>Advice</i>
CA	<i>Roseville, City of, R-RSCA1610.00 Roseville W Cap Fee & Nexu</i>	<i>Advice</i>
VA	<i>Richmond Department of Finance - AP, R-RIVA1807.00 Richmond Fin Cons SerTO#1, See Name</i>	<i>Advice</i>
GA	<i>Paulding County, R-PCGA1501.00 - Paulding Co2015 Fin.Asst</i>	<i>Advice</i>
AZ	<i>Prescott, City of, R-PRAZ1809.00 Prescott Utly Rt Stdy, See Name</i>	<i>Advice</i>
FL	<i>Florida Keys Aqueduct Authority, R-FKFL1510.00 FKAA Gen Ser for FY 2016</i>	<i>Advice</i>

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CA	<i>Roseville, City of, R-RSCA1806.00 Roseville - W, WW, SW Ut Rt</i>	<i>Advice</i>
GA	<i>Paulding County, R-PCGA1501.00 - Paulding Co2015 Fin.Asst, See Name</i>	<i>Advice</i>
CO	<i>Westminster (CO), City of, R-WECO1705.00 Westminster Rt/COS</i>	<i>Advice</i>
FL	<i>Florida Rural Water Association, R-RWFL1710.00 Florida Rural WA Utility R</i>	<i>Advice</i>
MO	<i>Smithville, City of, R-SMMO1809.00 Smithville - Ut Rt Study, See Name</i>	<i>Advice</i>
WA	<i>Tacoma - Public Works, City of, R-TAWA1602.00 Tacoma Solid Waste Study, See Name</i>	<i>Advice</i>
SC	<i>Bluffton, Town of, R-BLSC1807.00 Bluffton StW Rt Mdl Updt, See Name</i>	<i>Advice</i>
AZ	<i>Prescott, City of, R-PRAZI808.00 Prescott Utlty Imct Fee St, See Name</i>	<i>Advice</i>
FL	<i>Indian River County, R-IRFL1706.00 Indian River Co W/WW/RW Rt</i>	<i>Advice</i>
AL	<i>Alabaster Water Board, R-ALALI808.00 Alabaster WB W Rt Stdy, See Name</i>	<i>Advice</i>
MO	<i>Marquette, City of, R-MAMI1704.00 Marquette W/WW Fin Plan</i>	<i>Advice</i>
NC	<i>Chester County Wastewater Recovery, R-CESC1708.00 Chester Sewer On-Call Serv, See Name</i>	<i>Advice</i>
IL	<i>Edwardsville, City of,</i>	<i>Advice</i>

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AZ	<i>R-EDIL1710.00 Edwardsville Water Rt Stdy Gilbert, Town of, R-GIAZ1806.00 Gilbert 2018 Impact Fee, See Name</i>	<i>Advice</i>
MO	<i>St. Louis MSD, R-SLMO1706.00 St. Louis Rate Cons Svc, See Name</i>	<i>Advice</i>
TX	<i>El Paso Water Utilities Pub Svc Board, R-EPTX1709.00 El Paso TO#13 FP COS Rts</i>	<i>Advice</i>
CO	<i>Lockwood Water & Sewer District, R-LOMT1607.00 Lockwood MT W/WW Study</i>	<i>Advice</i>
FL	<i>North Key Largo Utility Corporation, R-NKFL1807.00 North Key Largo Irrigation</i>	<i>Advice</i>
AZ	<i>Tucson, City of, R-TUAZ1606 Tucson Water Rt Cons, See Name</i>	<i>Advice</i>
SC	<i>Hartsville, City of, R-HASC1809.00 Hartsville Sonoco</i>	<i>Advice</i>
TN	<i>Cookeville, City of, R-CKTN1802.00 Cookeville W&WW Rate Study</i>	<i>Advice</i>
CA	<i>Dixon, City of, R-DICA1801.00 Dixon W Rt Stdy, See Name</i>	<i>Advice</i>
CO	<i>Westminster (CO), City of, R-WECO1806.00 Westminster Rate/COS Study, See Name</i>	<i>Advice</i>
FL	<i>Bonita Springs Utilities, Inc., R-BSFL1706.00 Bonita Springs Gen Rt Cons</i>	<i>Advice</i>
AL	<i>Birmingham Water Works</i>	<i>Advice</i>

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NC	<i>Board, R-BIAL1808.00 Birmingham Bond Supp, See Name Paulding County, R-PCGA1801.00 Paulding Co 2018 Rate & FP, See Name</i>	Advice
FL	<i>Port St. Lucie, City of, R-PSFL1808.00 Port St. Lucie Study</i>	Advice
FL	<i>Pompano Beach, City of, R-PBFL1804.00 Pompano Beach W/WW Rate St</i>	Advice
CA	<i>Roseville, City of, R-RSCA1610.00 Roseville W Cap Fee & Nexu, See Name</i>	Advice
MD	<i>Baltimore, City of, R-BAMD1202.00 Baltimore Rate Study, See Name</i>	Advice
NC	<i>Dare County, R-DRNC1810.00 On Call Services, See Name</i>	Advice
CA	<i>Vallejo, City of, R-VJCA1801.00 Vallejo Water Rate Study, See Name</i>	Advice
CO	<i>Idaho Springs, City of, R-IDCO1705.00 Idaho Springs W/WW COS, See Name</i>	Advice
CO	<i>Aztec, City of, R-AZNM1609.00 Aztec Utility COS & Rt St</i>	Advice
FL	<i>Lake Alfred, City of, R-LFFL1806.00 Lake Alfred 2018 Impact Fe, See Name</i>	Advice
PA	<i>Carlisle, PA, R-CAPA1712.00 Carlisle SW Funding Feas, See Name</i>	Advice

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CO	Fort Collins Loveland Water District, R-FCCO1705.00 Fort Collins WD Rate Study	Advice
CA	San Clemente, City of, R-CECA1812.00 San Clemente - WW COS St, See Name	Advice
CO	Lockwood Water & Sewer District, R-LOMT1607.00 Lockwood MT W/WW Study, See Name	Advice
LA	Sewerage & Water Board of New Orleans, R-NOLA1803.00 New Orleans Bond Feas	Advice
FL	Winter Haven, City of, R-WHFL1412.00 WinterHaven TO#1 Gen. Serv	Advice
NM	Santa Rosa, City of (NM), R-SRNM1712.00 Santa Rosa W/WW Rt	Advice
NC	Oak Ridge, Town of, R-OANC1801.00 Oak Ridge Engineering St, See Name	Advice
NC	Raleigh, City of, R-RANC1709.00 Raleigh Financial Consulti, See Name	Advice
NV	Clark County Water Reclamation District, R-CLNV1708.00 Clark County Rt Model Svc, See Name	Advice
PA	Carlisle, PA, R-CAPA1712.00 Carlisle SW Funding Feas	Advice
CA	Sonoma, City of, R-ASCA1712.00 Sonoma - W Rt Study, See Name	Advice

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NC	<i>Chester County Wastewater Recovery, R-CESC1710.00 Chester Co WR Rt & COS Stu, See Name</i>	<i>Advice</i>
CA	<i>Summerland Sanitary District, R-UMCA1707.00 Summerland Fin Plan/Rt Std, See Name</i>	<i>Advice</i>
OH	<i>Griffin, City of, R-GRGA1706.00 Griffin W/WW/WS Rate Up</i>	<i>Advice</i>
DC	<i>Washington County Service Authority, R-WCSA1809.00 Washington Co Rate Stdy</i>	<i>Advice</i>
NM	<i>Santa Rosa, City of (NM), R-SRNM1712.00 Santa Rosa W/WW Rt, See Name</i>	<i>Advice</i>
PA	<i>Capital Region Water, R-CRPA1803.00 Capital Region As Needed, See Name</i>	<i>Advice</i>
AZ	<i>Prescott, City of, R-PRAZI808.00 Prescott Utlty Imct Fee St</i>	<i>Advice</i>
CO	<i>Fort Morgan, City of, R-FMCO1801.00 Fort Morgan W/WW Rt Stdy, See Name</i>	<i>Advice</i>
HI	<i>Kauai County Department of Water, R-KAHA1601.00 Kauai County Water Rt St, See Name</i>	<i>Advice</i>
FL	<i>Pompano Beach, City of, R-PBFL1804.00 Pompano Beach W/WW Rate St, See Name</i>	<i>Advice</i>
IL	<i>Washington, City of (IL), R-WAIL1806.00 Washington W/S Rt Stdy, See Name</i>	<i>Advice</i>

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HI	<i>Kauai County Department of Water, R-KAHA1601.00</i>	<i>Advice</i>
CA	<i>Kauai County Water Rt St Covina, City of, R-OVCA1802.00 Covina W M Plan & Rt Stdy</i>	<i>Advice</i>
TX	<i>Austin, City of, R-AUTX1709.00 Austin Imprtrial Hearing Sv</i>	<i>Advice</i>
CO	<i>Mesa County, R-MSCO1808.00 Mesa Co PID Fin Plan, See Name</i>	<i>Advice</i>
RI	<i>East Providence, City of, R-EPRI1606 East Providence W/WW Rt. St., See Name</i>	<i>Advice</i>
WA	<i>Tacoma - Public Works, City of, R-TAWA1603.00 Tacoma WW & SW Study</i>	<i>Advice</i>
SC	<i>Spartanburg Water System, R-SWSC1803.00</i>	<i>Advice</i>
NC	<i>Spartanburg Water Rt & Mis Paulding County, R-PCGA1801.00 Paulding Co 2018 Rate & FP</i>	<i>Advice</i>
AR	<i>Central Arkansas Water, R-CEAR1807.00 Cemtral AR Water Rt Stdy</i>	<i>Advice</i>
CO	<i>Prosper Coordinating Metropolitan District, R-PRCO1701.00 Prosper Fin Plan, SDC, Rt</i>	<i>Advice</i>
TX	<i>San Angelo, City of, R-SGTX1811.00 San Angelo FY19 Rate Upd, See Name</i>	<i>Advice</i>
FL	<i>North Key Largo Utility Corporation, R-NKFL1807.00 North Key Largo Irrigation, See Name</i>	<i>Advice</i>

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TX	<i>El Paso Water Utilities Pub Svc Board, R-EPTX1809.00 El Paso TO#15 2019-20 Rt S</i>	<i>Advice</i>
TX	<i>Richmond (TX), City of, R-RITX1708.00 Richmond Master Planning</i>	<i>Advice</i>
PA	<i>Capital Region Water, R-CRPA1803.00 Capital Region As Needed</i>	<i>Advice</i>
CA	<i>Roseville, City of, R-RSCA1806.00 Roseville - W, WW, SW Ut Rt, See Name</i>	<i>Advice</i>
CA	<i>Ventura, City of, R-VNCA1707.00 Ventura COS & W/WW Rt Stdy</i>	<i>Advice</i>
NC	<i>Oak Island, Town of, R-OINC1701.00 Oak Island Agreed Upon Pro, See Name</i>	<i>Advice</i>
SC	<i>Mount Pleasant Waterworks, R-MPSC1802.00 Mount Pleasant On Call Srv, See Name</i>	<i>Advice</i>
AL	<i>Birmingham Water Works Board, R-BIAL1810.00 Birmingham 2019 RSE Update, See Name</i>	<i>Advice</i>
FL	<i>Winter Haven, City of, R-WHFL1412.00 WinterHaven TO#1 Gen. Serv, See Name</i>	<i>Advice</i>
CA	<i>Palo Alto, City of, R-PLCA1810.00 Palo Alto - W COS Study, See Name</i>	<i>Advice</i>
AZ	<i>Avondale, City of, R-AVAZI807.00 Avondale - W/WW Rt Stdy, See Name</i>	<i>Advice</i>
NC	<i>Chester County Wastewater Recovery, R-CESC1710.00</i>	<i>Advice</i>

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CO	<i>Chester Co WR Rt & COS Stu Mt. Crested Butte Water and Sanitation District, R-MCCO1701.00 Mt. Crested Rate & Tap Fee, See Name</i>	<i>Advice</i>
TN	<i>Cookeville, City of, R-CKTN1802.00 Cookeville W&WW Rate Study, See Name</i>	<i>Advice</i>
CO	<i>Woodmoor Water and Sanitation District No. 1, R-WOCO1810.00 Woodmoor WSD - 2019 Rt St, See Name</i>	<i>Advice</i>
DC	<i>Washington County Service Authority, R-WCSA1809.00 Washington Co Rate Stdy, See Name</i>	<i>Advice</i>
NC	<i>Mount Pleasant Waterworks, R-MPSC1703.00 Mount Pleasant Rt Model Up</i>	<i>Advice</i>
CA	<i>Sonoma, City of, R-ASCA1712.00 Sonoma - W Rt Study</i>	<i>Advice</i>
LA	<i>Sewerage & Water Board of New Orleans, R-NOLA1808.00 New Orleans Debt Sys Tech</i>	<i>Advice</i>
SC	<i>Mount Pleasant Waterworks, R-MPSC1802.00 Mount Pleasant On Call Srv</i>	<i>Advice</i>
CA	<i>Ventura, City of, R-VNCA1707.00 Ventura COS & W/WW Rt Stdy, See Name</i>	<i>Advice</i>
NC	<i>Oak Ridge, Town of, R-OANC1801.00 Oak Ridge Engineering St</i>	<i>Advice</i>
AL	<i>SouthWest Water Company</i>	<i>Advice</i>

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CO	<i>Utilities, Inc, R-SWWC1809.00 SouthWest Sewer Rt Stdy, See Name Greeley, City of, R-GRCO1803.00 Greeley 2018 SW Rt Stdy</i>	Advice
CO	<i>Idaho Springs, City of, R-IDCO1705.00 Idaho Springs W/WW COS</i>	Advice
NC	<i>Lincoln County, R-LCNC1806.00 Lincoln County Bond Feasib</i>	Advice
AL	<i>Water Works Board for the City of Auburn, R-AWWB1805.00 Auburn WWB Water Rev Suf</i>	Advice
FL	<i>St. Johns County, R-SJFL1704.00 St. Johns TO #5 UCF Rates, See Name</i>	Advice
TX	<i>El Paso Water Utilities Pub Svc Board, R-EPTX1809.00 El Paso TO#15 2019-20 Rt S, See Name</i>	Advice
CA	<i>Vallejo Flood & Water District, R-VOCA1706.00 Vallejo SFCD SWR RT STDY, See Name</i>	Advice
TN	<i>Metro Government of Nashville and Davidson Co., R-NATN1703.00 W/WW Fin Forecasts, See Name</i>	Advice
SC	<i>Spartanburg Sanitary Sewer District, R-SSSD1803.00 Spartanburg Sewer FY19 Upd, See Name</i>	Advice
WI	<i>Milwaukee Metropolitan Sewer District, R-MIWI1602.00 Milwaukee</i>	Advice

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NC	<i>MSD Rates, See Name Raleigh, City of, R-RANC1709.00 Raleigh Financial Consulti</i>	<i>Advice</i>
MA	<i>Belmont (MA), Town of, R-BEMA1701.00 Belmont 2018 W/WW Rt. St.</i>	<i>Advice</i>
VA	<i>Suffolk, City of, R-SUVA1802.00 Suffolk TO#3 W/WW Fin Plan</i>	<i>Advice</i>
NC	<i>Pompano Beach, City of, R-PBFL1701.00 Pompano Beach W/WW Rate St</i>	<i>Advice</i>
NC	<i>Greenville, City of (NC), R-GVNC1709.00 Greenville NC Stormwater S</i>	<i>Advice</i>
MI	<i>Saginaw, City of, R-SAMI1803.00 Saginaw W Rt Mdl Updt, See Name</i>	<i>Advice</i>
FL	<i>Tavares, City of, R-TVFL1407.00 Task Order #1 Tavares Gen, See Name</i>	<i>Advice</i>
SC	<i>Hartsville, City of, R-HASC1707.00 Hartsville Feasibility St</i>	<i>Advice</i>
TX	<i>Richmond (TX), City of, R-RITX1708.00 Richmond Master Planning, See Name</i>	<i>Advice</i>
TX	<i>North Texas Municipal Water District, R-NTTX1705.00 NTMWD Management Audit</i>	<i>Advice</i>
AZ	<i>Prescott, City of, R-PRAZI1810.00 Prescott NonUtlty Im Fee</i>	<i>Advice</i>
CO	<i>Thornton, City of, R-THCO1802.00 Thornton SW Fee Stdy</i>	<i>Advice</i>
AZ	<i>Prescott, City of,</i>	<i>Advice</i>

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AL	<i>R-PRAZ1809.00 Prescott Utly Rt Stdy Birmingham Water Works Board, R-BIAL1808.00 Birmingham Bond Supp</i>	<i>Advice</i>
CO	<i>Greeley, City of, R-GRCO1803.00 Greeley 2018 SW Rt Stdy, See Name</i>	<i>Advice</i>
CA	<i>Vallejo, City of, R-VJCA1801.00 Vallejo Water Rate Study</i>	<i>Advice</i>
FL	<i>St. Johns County, R-SJFL1604.00 St. Johns Main GS, See Name</i>	<i>Advice</i>
NC	<i>Mount Pleasant Waterworks, R-MPSC1703.00 Mount Pleasant Rt Model Up, See Name</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Feb 1, 2019*

Name (must be officer of regulated entity): *Bart Kreps*

Address: *227 West Trade Street, Charlotte, NC 28202*

Phone: *704-936-4438*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)