

#### Name of Regulated Entity: NBS Government Finance Group

Report Period: Fourth Quarter of 2016

#### I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State

Complete name, title (including any city/county/state or other political subdivision) of municipal entity official Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

#### **II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including	Payments by each contributor category
	any city/county/state or other	
	political subdivision) of political party	
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None



### **III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

#### A. Contributions

State

Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities

Contributions, including the specific date the contributions were made, by each contributor category

None

#### **B.** Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



# IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

#### A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
None		
B. Municipal Ad	visory Business	
State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
See		

See Attachment

#### **C. Ballot-Approved Offerings**

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of	Full Issue Description
Municipal	-
Entity	

None

Reportable Date of Selection



Signature: electronicDate: Sep 8, 2017Name (must be officer of regulated entity): KIMBERLY MCMANUSAddress: 32605 Temecula Parkway, Suite 100, Temecula, CA 92592Phone: 973-618-9500

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

## NBS MUNICIPAL ADVISORY RELATIONSHIPS 4th QUARTER 2016

State	Complete Municipal Entity Name	City (if any)	County (if any)	MA Activity
СА	Atascadero, City of	Atascadero	San Luis Obispo	Advice
CA	Chowchilla, City of	Chowchilla	Madera	Advice
CA	El Dorado, County of	Placerville	El Dorado	Advice
CA	Elk Grove, City of	Elk Grove	Sacramento	Advice
CA	Lincoln, City of	Lincoln	Placer	Advice
CA	Livermore, City of	Livermore	Alameda	Advice
CA	Oxnard, City of	Oxnard	Ventura	Advice
CA	Reclamation District 17	Stockton	San Joaquin	Advice
CA	Rio Vista, City of	Rio Vista	Solano	Advice
CA	Sacramento, City of	Sacramento	Sacramento	Advice
CA	San Jacinto, City of	San Jacinto	Riverside	Advice
CA	Santa Cruz, City of	Santa Cruz	Santa Cruz	Advice
CA	Saratoga, City of	Saratoga	Santa Clara	Advice
CA	Victorville, City of	Victorville	San Bernardino	Advice