

Name of Regulated Entity: *Stifel, Nicolaus & Company, Inc*

Report Period: *Fourth Quarter of 2016*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
CA	<i>Coalition for Adequate School Housing Issues - Proposition 51, CA, State of CA</i>	<i>\$5,000.00 contribution by Dealer on 10/18/2016</i>

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

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State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
OH	<i>Citizens for Fremont City Schools Bond Levy, Fremont, OH, Fremont, OH</i>	<i>\$1,500.00 contribution by Dealer on 10/20/2016</i>

B. Reimbursement for Contributions

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State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
<i>OH</i>	<i>Mayfield - LSD Political Action Committee PRIDE, Mayfield, OH, Mayfield, OH</i>	<i>\$2,000.00 contribution by Dealer on 10/04/2016</i>

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<i>CO</i>	<i>Friends of D11, El Paso, CO, El Paso County School District 11</i>	<i>\$2,500.00 contribution by Dealer on 10/07/2016</i>

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State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
<i>CO</i>	<i>Citizens for Building Our Future Community in District 49, OH, Falcon School District 49</i>	<i>\$5,000.00 contribution by Dealer on 10/04/2016</i>

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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See Attachment

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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See Attachment

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
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See Attachment

FORM G-37



Signature: electronic

Date: *Mar 7, 2017*

Name (must be officer of regulated entity): *JACK HOLCOMB*

Address: *501 North Broadway, St. Louis, MO 63102*

Phone: *314-342-2895*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

Issuer State	Issuer Name	Issuer County	Sale Type
IL	Chicago, City of	Cook	Negotiated Offering
IL	Chicago, City of	Cook	Agency Offering
IL	Laraway CCSD 70-C	Will	Negotiated Offering
WI	Public Finance Authority		Agency Offering
OH	Elyria CSD		Negotiated Offering
AL	Mobile, City of	Mobile	Negotiated Offering
GA	Atlanta, City of	Fulton	Negotiated Offering
GA	DeKalb, County of, Housing Authority	De Kalb	Negotiated Offering
GA	Atlanta, City of	Fulton	Negotiated Offering
GA	Atlanta, City of	Fulton	Negotiated Offering
GA	Atlanta, City of	Fulton	Negotiated Offering
GA	Atlanta, City of	Fulton	Negotiated Offering
MD	Maryland Community Development Authority		Agency Offering
CA	Shafter Community Development Agency, Successor Agency to	Kern	Negotiated Offering
SC	Bamberg, County of	Bamberg	Agency Offering
CA	Earlimart Elementary School District	Tulare	Negotiated Offering
IL	Danville Community Consolidated District No. 118	Vermilion	Negotiated Offering
IL	Danville Community Consolidated District No. 118	Vermilion	Negotiated Offering
MO	Ferguson-Florissant School District	St. Louis	Negotiated Offering
AZ	Golder Ranch Fire District	Pima	Agency Offering
MD	Maryland Community Development Authority		Agency Offering
MO	Industrial Development Authority of Washington, Missouri	Franklin	Agency Offering
MO	North Central Regional Water Commission		Negotiated Offering
MI	Troy School District	Oakland	Agency Offering
OH	Euclid City School District	Cuyahoga	Negotiated Offering
NC	Rocky Mount		Negotiated Offering
OH	Franklin County	Franklin	Negotiated Offering
OH	Franklin County	Franklin	Negotiated Offering
OH	Franklin County	Franklin	Negotiated Offering
OH	Lucas County		Negotiated Offering
CA	Montebello Unified School District	Los Angeles	Negotiated Offering
MO	Metro West Fire Protection Dt	Cass	Negotiated Offering
CA	La Mesa, City of	San Diego	Negotiated Offering
CA	West Valley Water District		Negotiated Offering
GA	Coffee County Hospital Authority	Coffee	Negotiated Offering
GA	Coffee County Hospital Authority	Coffee	Negotiated Offering
CA	California Pollution Control Financing Authority		Negotiated Offering
CA	Camarillo Community Development Commission	Ventura	Negotiated Offering
CA	Gustine Unified School District	Merced	Negotiated Offering
MO	St. Charles Public Water Supply District #2	St. Charles	Negotiated Offering
MI	Roscommon Area Public Schools		Negotiated Offering
CA	Camarillo Community Development Commission	Ventura	Negotiated Offering
CA	Camarillo Community Development Commission	Ventura	Negotiated Offering
NY	Build NYC Resource Corporation	New York	Agency Offering
OH	Dover City School District	Tuscarawas	Negotiated Offering
OK	Oklahoma Water Resources Board		Negotiated Offering
OH	Fairborn CSD	Greene	Negotiated Offering
CO	Steamboat Springs, City of		Negotiated Offering
CO	Steamboat Springs, City of		Negotiated Offering
OH	Sandusky CSD	Erie	Negotiated Offering
CA	East Garrison Public Financing Authority		Negotiated Offering
MI	Onkama Consolidated Schools	Manistee	Negotiated Offering
CO	Adams 12 Five Star Schools	Adams	Negotiated Offering
CO	Adams 12 Five Star Schools	Adams	Negotiated Offering

MO	Metropolitan St. Louis Sewer District	St. Louis City	Negotiated Offering
CO	Colorado State University	Larimer	Negotiated Offering
CO	Colorado State University	Larimer	Negotiated Offering
AL	Alabama Housing Finance Authority		Negotiated Offering
CA	El Toro Water District	Orange	Agency Offering
CA	Eastside Union School District	Los Angeles	Negotiated Offering
FL	Cocoa, City of		Negotiated Offering
GA	Macon Water Authority	Bibb	Negotiated Offering
TX	Viridian Municipal Management Dt	Tarrant	Negotiated Offering
TX	Viridian Municipal Management Dt	Tarrant	Negotiated Offering
SC	Barnwell County	Barnwell	Agency Offering
NY	New York City		Negotiated Offering
NY	New York City		Negotiated Offering
NY	New York City		Negotiated Offering
MD	Hyattsville, City of	Prince Georges	Negotiated Offering
AZ	Winslow, City of	Navajo	Agency Offering
MO	Missouri Housing Development Commission		Negotiated Offering
NC	North Carolina Housing Finance Authority		Negotiated Offering
OH	Dayton, City of		Negotiated Offering
OH	Dayton, City of		Negotiated Offering
KS	Dodge City, City of	Ford	Negotiated Offering
CA	Palmdale Elementary School District	Los Angeles	Negotiated Offering
CA	Palmdale Elementary School District	Los Angeles	Negotiated Offering
CA	Oakley Public Financing Authority	Contra Costa	Negotiated Offering
CA	Moreno Valley Public Financing Authority	Riverside	Negotiated Offering
CA	Clovis Unified School District	Fresno	Negotiated Offering
CA	Jurupa Unified School District	Riverside	Negotiated Offering
AZ	Oro Valley, Town of	Pima	Agency Offering
IL	Mascoutah School District #19	St. Clair	Negotiated Offering
LA	Bossier Parish		Agency Offering
LA	Bossier Parish		Negotiated Offering
CA	Successor Agency to the Stanton Redevelopment Agency	Orange	Negotiated Offering
CA	Successor Agency to the Stanton Redevelopment Agency	Orange	Negotiated Offering
TX	Texas, Board of Regents of University of	Travis	Negotiated Offering
AL	Alabama Economic Settlement Authority		Negotiated Offering
AL	Alabama Economic Settlement Authority		Negotiated Offering
NY	New York City Municipal Water Finance Authority	New York	Negotiated Offering
CA	Sebastopol Union School District	Sonoma	Negotiated Offering
OH	Upper Arlington, City of		Negotiated Offering
MI	Michigan State Housing Development Authority		Negotiated Offering
TX	Anna Community Development Corporation	Collin	Negotiated Offering
NY	New York City Municipal Water Finance Authority	New York	Negotiated Offering
CO	Colorado Housing & Finance Authority (CHFA)		Negotiated Offering
CA	Rosemead, Successor Agency to the Community Development Comr	Los Angeles	Negotiated Offering
MO	Arnold, City of	Jefferson	Negotiated Offering
MI	Olivet Community Schools	Eaton	Negotiated Offering
OK	Oklahoma, University of		Negotiated Offering
AL	Mobile, Improvement District of the City of	Mobile	Negotiated Offering
CA	Hayward Redevelopment Agency	Alameda	Negotiated Offering
FL	Golden Beach		Negotiated Offering
CA	Irvine Unified School District Financing Authority	Orange	Negotiated Offering
MO	Columbia Housing Authority		Agency Offering
OH	Stryker Local School District	Williams	Negotiated Offering
MS	Mississippi Business Finance Corporation		Negotiated Offering
SC	South Carolina State Housing Finance and Development Authority		Negotiated Offering

SC	South Carolina State Housing Finance and Development Authority		Negotiated Offering
OH	Fairview Park CSD	Cuyahoga	Negotiated Offering
GA	Monroe, City of		Agency Offering
MO	Ferguson-Florissant School District	St. Louis	Negotiated Offering
SC	Lancaster County		Negotiated Offering
GA	Monroe, City of		Agency Offering
AL	Homewood, City of		Negotiated Offering
CA	Temecula Public Financing Authority	Riverside	Negotiated Offering
OH	Stryker Local School District	Williams	Negotiated Offering
MI	West Ottawa Public Schools	Ottawa	Agency Offering
OH	Akron, City of	Summit	Negotiated Offering
CA	Murrieta Valley Unified School District	Riverside	Negotiated Offering
TX	Texas Public Finance Authority		Negotiated Offering
CA	Sacramento Area Flood Control Agency	Sacramento	Negotiated Offering
MO	Brentwood School District	St. Louis	Negotiated Offering
GA	Stephens County	Stephens	Agency Offering
CA	Sacramento Area Flood Control Agency	Sacramento	Negotiated Offering
AZ	Buckeye Elementary School District No. 33	Maricopa	Agency Offering
CA	Chino Public Financing Authority	San Bernardino	Negotiated Offering
CA	Montecito Union School District	Santa Barbara	Negotiated Offering
MO	Jefferson City Public Schools		Negotiated Offering
CA	Poway Unified School District	San Diego	Negotiated Offering
AZ	Vail Unified School District No. 20	Pima	Negotiated Offering
OH	Akron, City of	Summit	Negotiated Offering
OH	Akron, City of	Summit	Negotiated Offering
OH	Akron, City of	Summit	Negotiated Offering
CA	San Leandro Public Financing Authority	Alameda	Negotiated Offering
TX	Odessa Housing Finance Corporation		Negotiated Offering
AL	Baldwin County Emergency Communication District	Baldwin	Negotiated Offering
TX	Pearland, City of	Brazoria	Negotiated Offering
UT	Utah Housing Corporation		Negotiated Offering
ID	Idaho Housing and Finance Association		Negotiated Offering
FL	Ocoee, City of		Negotiated Offering
TX	Elgin Independent School District		Negotiated Offering
TX	Shallowater Independent School District	Lubbock	Negotiated Offering
TX	Allen Economic Development Corporation	Collin	Negotiated Offering
TX	Allen Economic Development Corporation	Collin	Negotiated Offering
CA	San Dieguito School Facilities Financing Authority	San Diego	Negotiated Offering
OH	Bryan CSD		Negotiated Offering
OH	Bryan CSD		Negotiated Offering
OH	Cuyahoga Falls, City of	Cuyahoga	Negotiated Offering
OH	Cuyahoga Falls, City of	Cuyahoga	Negotiated Offering
WI	Public Finance Authority		Negotiated Offering
WI	Public Finance Authority		Negotiated Offering
MO	Missouri Hlth & Ed Facs Authority		Agency Offering
CA	Marin Municipal Water District	Marin	Negotiated Offering
AZ	Rio Rico Fire District	Santa Cruz	Negotiated Offering
CA	Greenfield Union School District	Kern	Negotiated Offering
LA	West Ouachita Parish School District	Ouachita	Negotiated Offering
CA	Lammersville Joint Unified School District	San Joaquin	Negotiated Offering
MI	Dryden Community Schools	Lapeer	Negotiated Offering
MD	Maryland Community Development Authority		Agency Offering
MI	Charter Township of Oxford	Oakland	Agency Offering
CA	Sunnyvale School District	Santa Clara	Negotiated Offering
MI	Hesperia Community Schools	Oceana	Negotiated Offering

LA	Choudrant School District No. 6		Negotiated Offering
OH	North Baltimore Local School District	Wood	Negotiated Offering
OH	Walton Hills	Cuyahoga	Negotiated Offering
OH	North Ridgeville City School District	Lorain	Negotiated Offering
IN	Lawrence Twp Sch Bldg Corp	Marion	Negotiated Offering
CA	San Bernardino, County of	San Bernardino	Negotiated Offering
MO	St. Charles Neighborhood Imp Dt	St. Charles	Negotiated Offering
AZ	Santa Cruz Valley Unified School District No. 35	Santa Cruz	Agency Offering
CA	Highlands Community Charter School		Negotiated Offering
CA	Greenfield Union School District	Kern	Negotiated Offering
CA	Alum Rock Union Elementary School District	Santa Clara	Negotiated Offering
CA	Pixley Union School District	Tulare	Negotiated Offering
CA	Lake Elsinore, City of	Riverside	Negotiated Offering
CA	Greenfield Union School District	Kern	Negotiated Offering
CA	Alum Rock Union Elementary School District	Santa Clara	Negotiated Offering
AL	Russell County Board of Education	Russell	Negotiated Offering
AL	Bibb County Health Care Authority	Blount	Negotiated Offering
AL	Bibb County Health Care Authority	Blount	Negotiated Offering
CA	Amador Water Agency	Amador	Negotiated Offering
AZ	Kyrene Elementary School District No. 28	Maricopa	Negotiated Offering
CO	Lafayette, City of	Boulder	Negotiated Offering
GA	Woodstock Urban Redevelopment Agency		Agency Offering
CA	Chawanakee Unified School District	Madera	Negotiated Offering
CA	Central Union High School District	Imperial	Negotiated Offering
CA	Central Union High School District	Imperial	Negotiated Offering
LA	Capital Area Finance Authority		Negotiated Offering
AL	Alabama Community College System, Board of Trustees for the		Negotiated Offering
AZ	Kyrene Elementary School District No. 28	Maricopa	Negotiated Offering
AZ	Kyrene Elementary School District No. 28	Maricopa	Negotiated Offering
FL	Volusia County, School Board of	Volusia	Negotiated Offering
CA	Turlock Successor Agency	Stanislaus	Negotiated Offering
CA	Twin Rivers Unified School District	Sacramento	Negotiated Offering
CA	Twin Rivers Unified School District	Sacramento	Negotiated Offering
CA	Lake Elsinore Facilities Financing Authority		Negotiated Offering
CA	Twin Rivers Unified School District	Sacramento	Negotiated Offering
AZ	Electrical District No. 3		Negotiated Offering
AL	Alabama Community College System, Board of Trustees for the		Negotiated Offering
CA	Saugus Union School District	Los Angeles	Agency Offering
IL	Southwestern CUSD 9	Macoupin	Agency Offering
AZ	Maricopa County Industrial Development Authority	Maricopa	Negotiated Offering
AZ	Maricopa County Industrial Development Authority	Maricopa	Negotiated Offering
AZ	Maricopa County Industrial Development Authority	Maricopa	Negotiated Offering
AZ	Maricopa County Industrial Development Authority	Maricopa	Negotiated Offering
AZ	Maricopa County Industrial Development Authority	Maricopa	Negotiated Offering
CA	California School Finance Authority		Negotiated Offering
TX	Austin Affordable PFC, Inc.		Negotiated Offering
CA	California School Finance Authority		Negotiated Offering
IL	Athens CUSD #213	Menard	Agency Offering
MO	St. Louis, City of		Negotiated Offering
CA	South Pasadena, City of	Los Angeles	Negotiated Offering
AZ	Osborn Elementary School District No. 8	Maricopa	Negotiated Offering
IN	Carmel Local Public Improvement Bond Bank, City of	Hamilton	Negotiated Offering
IL	Washington SD #50	Tazewell	Agency Offering
CO	University of Northern Colorado		Negotiated Offering
FL	Tamarac		Negotiated Offering

FL	Tamarac		Negotiated Offering
CA	Northern Humboldt Union High School District	Humboldt	Negotiated Offering
OH	Parma City School District		Agency Offering
AZ	Arizona Board of Regents	Pima	Negotiated Offering
AZ	Arizona Board of Regents	Pima	Negotiated Offering
AZ	Yuma, City of	Yuma	Agency Offering
CO	Denver Convention Center Hotel Authority	Denver	Negotiated Offering
AL	Oneonta, Utilities Board of the City		Negotiated Offering
MD	Maryland Community Development Authority		Agency Offering
AZ	Lake Havasu City	Mohave	Agency Offering
AL	Phenix City Board of Education		Negotiated Offering
FL	Eustis, City of		Negotiated Offering
CO	Broomfield, City and County of		Negotiated Offering
CO	Broomfield, City and County of		Negotiated Offering
CA	Stockton, Successor Agency to the Redevelopment Agency of the Cit	San Joaquin	Negotiated Offering
CA	Stockton, Successor Agency to the Redevelopment Agency of the Cit	San Joaquin	Negotiated Offering
CA	Hesperia Unified School District	San Bernardino	Agency Offering
CA	California School Finance Authority		Negotiated Offering
CA	California School Finance Authority		Negotiated Offering
CA	California School Finance Authority		Negotiated Offering
CA	California School Finance Authority		Negotiated Offering
NJ	New Jersey Transportation Trust Fund Authority		Negotiated Offering
CA	Cascade Union Elementary School District	Shasta	Agency Offering
MO	Industrial Development Authority of St. Charles County		Negotiated Offering
MO	Glendale, City of	St. Louis	Negotiated Offering
AZ	Goodyear, City of	Maricopa	Agency Offering
CA	San Pablo, Successor Agency to the Redevelopment Agency of the C	Contra Costa	Agency Offering
NV	Mesquite, City of	Clark	Negotiated Offering
FL	Cocoa, City of		Negotiated Offering
TX	Conroe Independent School District	Montgomery	Negotiated Offering
UT	Utah Housing Corporation		Negotiated Offering
TX	Mesquite Independent School District		Negotiated Offering
TX	Grand Prairie, City of	Dallas	Negotiated Offering
TX	Grand Prairie, City of	Dallas	Negotiated Offering
TX	Needville Independent School District		Negotiated Offering
VT	Burlington, City of	Chittendon	Negotiated Offering
VT	Burlington, City of	Chittendon	Negotiated Offering
VT	Burlington, City of	Chittendon	Negotiated Offering
TX	Cypress-Fairbanks Independent School District		Negotiated Offering
CA	Brea Successor Agency	Orange	Negotiated Offering
CA	Brea Successor Agency	Orange	Negotiated Offering
MO	Poplar Bluff Regional TDD		Negotiated Offering
MI	Clarkston Community Schools	Oakland	Negotiated Offering
AL	Jacksonville, City of, Board of Education		Negotiated Offering
AL	Anniston, City of		Negotiated Offering
CA	West Basin Municipal Water District	Los Angeles	Negotiated Offering
FL	Winter Garden Village at Fowler Groves CDD	Orange	Negotiated Offering
CA	California Municipal Finance Authority		Negotiated Offering
MO	Missouri Housing Development Commission		Negotiated Offering
CA	Santa Paula Unified School District	Ventura	Negotiated Offering
CA	Santa Paula Unified School District	Ventura	Negotiated Offering
CA	Santa Paula Unified School District	Ventura	Negotiated Offering
OH	Jeffrey Place New Community Authority		Agency Offering
CA	Sacramento, City of	Sacramento	Negotiated Offering
MI	Wyoming Public Schools		Negotiated Offering

CO	Woodland Park School District #RE-2	Teller	Negotiated Offering
OH	Grove City, City of	Franklin	Agency Offering
OH	Grove City, City of	Franklin	Agency Offering
NY	Metropolitan Transportation Authority	New York	Negotiated Offering
AZ	Tuba City Unified School District No.15	Coconino	Agency Offering
CT	Connecticut, State of	Hartford	Negotiated Offering
CT	Connecticut, State of	Hartford	Negotiated Offering
CO	Colorado Housing & Finance Authority (CHFA)		Negotiated Offering
CO	Colorado Housing & Finance Authority (CHFA)		Negotiated Offering
GA	Chicopee Woods Area Park Commission		Negotiated Offering
CO	Eagle Meadow Metropolitan District		Agency Offering
CO	Rendezvous Residential Metro Dt	Grand	Agency Offering
CO	Eagle Meadow Metropolitan District		Agency Offering
NY	DASNY	Albany	Negotiated Offering
IL	Carterville CUSD 5	Williamson	Negotiated Offering
CA	Etiwanda School District	San Bernardino	Negotiated Offering
CA	San Marcos Unified School District	San Diego	Negotiated Offering
CA	Windsor Unified School District	Sonoma	Negotiated Offering
CA	California Public Finance Authority		Negotiated Offering
CA	Etiwanda School District	San Bernardino	Negotiated Offering
CA	Etiwanda School District	San Bernardino	Negotiated Offering
GA	Macon-Bibb County Industrial Authority	Bibb	Agency Offering
IL	Black Hawk Community College Dist #503	Rock Island	Agency Offering
GA	Macon-Bibb County Industrial Authority	Bibb	Agency Offering
OH	Ottawa-Glandorf Local School District		Negotiated Offering
IL	Carterville CUSD 5	Williamson	Negotiated Offering
WI	Public Finance Authority		Agency Offering
WI	Public Finance Authority		Agency Offering
CA	Cloverdale Unified School District	Sonoma	Negotiated Offering
CA	South Feather Water and Power Agency	Butte	Negotiated Offering
CA	Grossmont Union High School District	San Diego	Negotiated Offering
OH	Garfield Heights CSD		Negotiated Offering
CA	Camrosa Water District	Ventura	Negotiated Offering
CA	Albany Unified School District	Alameda	Negotiated Offering
CA	Albany Unified School District	Alameda	Negotiated Offering
MI	Port Huron Area School District	St. Clair	Negotiated Offering
CA	Los Angeles Community College District	Los Angeles	Negotiated Offering
CA	Rancho Cucamonga Redevelopment Agency, Successor Agency to th	San Bernardino	Negotiated Offering
GA	Walton County Water and Sewerage Authority	Walton	Negotiated Offering
CA	Malibu, City of	Los Angeles	Negotiated Offering
TX	Dickinson Independent School District	Galveston	Negotiated Offering
TX	Garland Independent School District	Dallas	Negotiated Offering
TX	Dickinson Independent School District	Galveston	Negotiated Offering
NM	Luna County	Luna	Negotiated Offering
FL	Greater Orlando Aviation Authority	Orange	Negotiated Offering
FL	Greater Orlando Aviation Authority	Orange	Negotiated Offering
CA	Livermore Valley Joint Unified School District	Alameda	Negotiated Offering
FL	Greater Orlando Aviation Authority	Orange	Negotiated Offering
CA	San Jacinto Unified School District	Riverside	Negotiated Offering
CA	Murrieta Valley Unified School District	Riverside	Negotiated Offering
GA	Wrens, City of	Jefferson	Agency Offering
IL	Metropolitan Airport Authority of Peoria	Peoria	Agency Offering
IL	West Carroll CUSD #314		Negotiated Offering
CA	Fresno Unified School District	Fresno	Negotiated Offering
CA	Fresno Unified School District	Fresno	Negotiated Offering

CA	Pope Valley Union Elementary School District	Napa	Negotiated Offering
CA	Fresno Unified School District	Fresno	Negotiated Offering
IL	West Carroll CUSD #314		Negotiated Offering
MO	Bridgeton, City of, Industrial Development Authority	St. Louis	Negotiated Offering
FL	Lee County, School Board of	Lee	Negotiated Offering
IL	Rend Lake Community College District #521	Jefferson	Agency Offering
GA	Hampton, City of	Henry	Agency Offering
CA	Encore Education Corporation	San Bernardino	Agency Offering
CA	Santa Monica-Malibu Unified School District	Los Angeles	Negotiated Offering
CA	Santa Monica-Malibu Unified School District	Los Angeles	Negotiated Offering
CA	Santa Monica-Malibu Unified School District	Los Angeles	Negotiated Offering
IL	Galena Unit District #120	Jo Daviess	Agency Offering
MD	Maryland, State of, Dept of Housing & Community Development	Anne Arundel	Agency Offering
AZ	Arizona Transportation Board	Maricopa	Negotiated Offering
GA	Columbia County	Columbia	Agency Offering
MO	St. Louis, The Industrial Development Authority of the County of	St. Louis	Agency Offering
MA	Massachusetts, Commonwealth of	Plymouth	Negotiated Offering
MA	Massachusetts, Commonwealth of	Plymouth	Negotiated Offering
MA	Massachusetts, Commonwealth of	Plymouth	Negotiated Offering
OH	Madison LSD		Negotiated Offering
CA	Folsom Successor Agency	Sacramento	Negotiated Offering
CA	Folsom Successor Agency	Sacramento	Negotiated Offering
OH	Carrollton Exempted Village SD	Carroll	Negotiated Offering
CA	Roseville Joint Union High School District	Placer	Negotiated Offering
IL	Woodstock, City of	McHenry	Negotiated Offering
CA	Roseville Joint Union High School District	Placer	Negotiated Offering
OH	Canton LSD		Negotiated Offering
CA	Imperial, City of	Imperial	Negotiated Offering
CA	Middletown Unified School District	Lake	Negotiated Offering
IL	Fremont SD 79	Lake	Negotiated Offering
IL	Greenfield CUSD #10	Greene	Agency Offering
OH	Columbus Grove Local School District	Putnam	Negotiated Offering
OH	Cleveland, City of	Cuyahoga	Negotiated Offering
PA	Pennsylvania Housing Finance Agency	Dauphin	Negotiated Offering
TX	Elgin Independent School District		Negotiated Offering
TX	San Antonio Water System	Bexar	Negotiated Offering
TX	Mission Consolidated Independent School District	Hidalgo	Negotiated Offering
UT	Utah Housing Corporation		Negotiated Offering

Exported 1/20/17

Below FA-MA/Other endeavors are slated to be reported via G-37 qtrly report as active (not completed) as of Dec. 21, 2016. Highlighted yellow columns are what will be reported.

Endeavor Completed?	Type of Muni Advisory Business	Muni Entity or Non-ME Obligated Person	Issue or Endeavor Description	Reportable Date of Selection (Contract Date, Sig Date or G-42 if Blank)
No	Advice	Broward County	General Advice	2/4/2014
No	Advice	Hilton Head Island, Town of	Special Obligation Bonds (Beach Preservation Fee Pledge), Series 2016 (Negotiated)	4/14/2016
No	Advice	Dalton, City of	Tax Increment Revenue Bonds, Series 2017 (Private Placement)	2/2/2015
No	Advice	Montgomery Capital Resource Corporation	Industrial Development Revenue Bonds (Taylor-Montgomery, LLC Project), Series 2016A (Negotia	4/23/2014
No	Advice	Owensboro, City of	Water Revenue Refunding and Improvement BondsSeries 2017 (Competitive)	9/22/2015
No	Advice	Owensboro, City of	Electric Light and Power System Revenue Bonds Series 2018 (Negotiated)	2/22/2016
No	Advice	Northglenn, City of	(Justice Center)Certificates of Participation, Series 2017 (Negotiated)	5/20/2016
No	Advice	Show Low Bluff CFD	Show Low Bluff Community Facilities DistrictPossible Defeasance of General Obligation Bonds (Neg	5/25/2016
No	Advice	Flagstaff, City of	Rev Oblig, Taxable Srs 17 (Negotiated)	5/25/2016
No	Advice	Clearwater, City of	Water and Sewer Revenue Refunding Bonds, Series 2016 (Negotiated)	10/17/2012
No	Advice	Cuyahoga Community College	Capital Improvement Plan	10/2/2015
No	Advice	Douglas County School District	Douglas County School District, Number RE1 (Negotiated)	4/12/2016
No	Advice	Regional Transportation Authority	Pima County Regional Transportation AuthorityExcise Tax Revenue Bonds (Negotiated)	10/20/2015
No	Advice	Pinal County, Arizona Regional Transportation Authority	(Negotiated)	5/26/2016
No	Advice	Hillsboro R-III School District	General Obligation BondsSeries 2017 (Negotiated)	2/6/2015
No	Advice	Maryland Heights Fire Protection District	Deal Specifics TBD (Competitive)	2/4/2015
No	Advice	Arizona School Facilities Board	General Advice	6/22/2016
No	Advice	Maricopa Integrated Health System	MIHS - General Advice	6/22/2016
No	Advice	Mesa Unified School District No. 4, Maricopa County	Mesa USD - General Advice	6/22/2016
No	Advice	Phoenix Union High School District No. 210	Phx Union HSD - General Advice	6/22/2016
No	Advice	Cuyahoga County	General Advice to Cuyahoga County, Ohio	8/4/2016
No	Advice	Clearwater, City of	General Advice for City's General Credits	10/17/2012
No	Advice	Owensboro, City of	Electric Light and Power System Refunding Revenue Bonds (Negotiated) Series 2016	8/30/2016
No	Advice	Owensboro, City of	Electric Light and Power System Revenue BondsGeneral Advice - Refunding/NM	8/30/2016
No	Advice	Paradise Valley Unified School District No. 69	School Improvement Bonds, Project of 2015, Series B (2017) (Negotiated)	9/2/2016
No	Advice	Lakewood, City of	GENERAL MUNICIPAL ADVISORY WORK (Negotiated)	4/15/2015

Issuer Name	Issue Description	Engagement Notification Date
Troy School District	2017 School Building and Site Bonds	10/31/2016
Elyria CSD	Classroom Facilities and School Improvement Notes, Series 2016	06/23/2016
Euclid City School District	General Obligation (Unlimited Tax) School Facilities Improvement Notes, Series 2016 (Contribution 1 of 2)	12/04/2016
Euclid City School District	General Obligation (Unlimited Tax) School Facilities Improvement Notes, Series 2016 (Contribution 2 of 2)	
Fairborn CSD	Classroom Facilities and School Improvement Bond Anticipation Notes, Series 2016	10/30/2015