

**Name of Regulated Entity:** *PNC Capital Markets LLC*

**Report Period:** *Second Quarter of 2016*

**I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

*None*

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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*See Attachment*

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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*None*

**C. Ballot-Approved Offerings**

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
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*None*

## FORM G-37



**Signature:** electronic

**Date:** *Mar 3, 2017*

**Name (must be officer of regulated entity):** *Mary Pumphrey*

**Address:** *300 Fifth Avenue, Pittsburgh, PA 15222*

**Phone:** *215-585-1079*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

<b>State</b>	<b>County</b>	<b>Description</b>	<b>Role</b>
DC	District of Columbia	District of Columbia, University Revenue Bonds, Georgetown University Ser 07-C2	Remarketing
FL	Dade	The School Board of Miami-Dade County, Florida, Certificates of Participation, Series 2016C	Negotiated
FL	Broward County	The School Board of Broward County, FL COPs 2016A & 2016B	Negotiated
FL	Florida State	Higher Educational Facilities Financing Authority (Nova Southeastern University Project, Series 2016)	Negotiated
FL	Osceola County	Osceola County Taxable Tourist Development Tax Revenue bonds, Series 2016 (Rida Conference Center Phase Two Project)	Negotiated
GA	Fulton County	Municipal Electric Power Authority of Georgia, Project One & General Resolution Projects Subordinated Bonds, Series 2016A	Negotiated
IL	Cook County	City of Chicago, Second Lien Water Revenue, Series 2016 A-1 & A-2	Negotiated
IL	DuPage County	The County of DuPage, IL Variable Rate Demand Revenue Bond, The Morton Arboretum Project, Series 2003	Remarketing Takeover
IN	Marion County	The Indianapolis Local Public Improvement Bond Bank Refunding Bonds, Series 2016 (Indianapolis Airport Authority)	Negotiated
IN	State of Indiana	Indiana Finance Authority Facilities Revenue Bonds, Series 2016A Taxable	Negotiated
IN	State of Indiana	Indiana Finance Authority, First Lien Water Utility Rev Bonds, Citizens Energy, 2016	Negotiated
KY	Kentucky State	The Turnpike Authority of Kentucky, (Revitalization Projects), Series 2016 A	Negotiated
KY	Kentucky State	Kentucky Infrastructure Authority Wastewater and Drinking Water 2016A	Negotiated
KY	Kentucky State	Commonwealth of Kentucky, State Property & Buildings Commission, Project 114	Negotiated
KY	Kenton County	Kenton County (Kentucky) Airport Revenue Bonds, Cincinnati/Northern KY Airport Series 2016	Negotiated
KY	Kentucky State	Kentucky Housing Corporation Housing Revenue Bonds Series 2016A	Negotiated
MD	Baltimore	Maryland Stadium Authority, Baltimore City Public Schools, Series 2016	Negotiated
MD	Montgomery County	Housing Opportunities Commission of Montgomery County, Series A & B Revenue Bonds	Negotiated
MI	Ottawa	Board of Trustees of Grand Valley State University, MI, Revenue Ref Bonds, Series 2016A	Negotiated
MI	Oakland County	Board of Trustees of Oakland University, MI, General Revenue Bonds, Series 2016	Negotiated
MO	St Louis	St Louis Municipal Finance Corporation, Refuse Facility & Municipal Garage Series 2016 A & B	Negotiated
NC	Charlotte	City of Charlotte, Storm Water Fee Revenue Refunding Bonds, Series 2016	Negotiated
NC	Durham	Durham NC Capital Finance Corporation General Obligation	Negotiated
NC	Durham	North Carolina Medical Care Commission-Duke University Health System 2016 A	Negotiated
NC	North Carolina	North Carolina Central University General Revenue Bonds, Series 2016	Negotiated
NC	County of Duplin	County of Duplin, North Carolina, Limited Obligation Bonds, Series 2016	Negotiated
NC	New Hanover County	County of New Hanover, North Carolina General Obligation Ref Bonds, Series 2016	Negotiated
NC	Onslow County	Onslow Water and Sewer Authority, Revenue Refunding Bonds, Series 2016	Negotiated
NC	Wake County	City of Raleigh, North Carolina Variable Rate Limited Obligation Ref, Series 2016A	Negotiated
NJ	Atlantic County	The Board of Education of the Town of Hammontown, NJ Refunding School Bonds	Negotiated
NJ	Atlantic County	The Board of Education of the Greater Egg Harbor Regional High School District	Negotiated
NJ	Morris County	The Board of Education of the Township of Mount Olive, Refunding School Bonds	Negotiated
NJ	Sussex County	The Board of Education of the Township of Hardyston, Refunding School Bonds	Negotiated
NJ	Essex County	County of Essex, New Jersey General Obligation Refunding Bonds, Series 2016	Negotiated

State	County	Description	Role
NJ	Burlington County	Burlington County, NJ Bridge Commission Series 2016, A& B Lease Rev Notes & Bonds	Negotiated
NY	New York	The City of New York, General Obligation Bonds, Fiscal 2016 Series E & F	Negotiated
NY	New York	Metropolitan Transportation Authority, Transportation Revenue Refunding, Series 2016B	Negotiated
NY	New York	Metropolitan Transportation Authority, Green Bonds (Climate Bond Certified) Series 2016 B-1 & B-2	Negotiated
OH	Ohio State	Ohio State Air Quality Development Authority Revenue-Duquesne Light Company Project	Remarketing Takeover
OH	Ohio State	Ohio State Water Development Authority Pollution Facs-Duquesne Light Company	Remarketing Takeover
OH	State of Ohio	Kent State University, A State University of Ohio, General Receipts Bonds, Series 2016	Negotiated
OH	Westerville	City of Westerville, OH Taxable Notes	Negotiated
OH	State of Ohio	Ohio Housing Finance Agency Residential Mortgage Revenue Bonds Series D (Non-Amt)	Negotiated
OH	Hamilton County	University of Cincinnati, General Receipts Bonds Series 2016 A&B	Negotiated
OH	Hamilton County	County of Hamilton, OH Variable Rate Hospital Facilities-Elizabeth Gamble Deaconess Home Association Series 2002A	Remarketing Takeover
OH	Summit County	University of Akron OH (A State University) General Receipts Bonds, Series 2016A	Negotiated
OH	The State of Ohio	Ohio Housing Finance Agency Residential Mortgage Revenue Bonds (Mortgage Backed Securities Program) 2016 Series	Remarketing
PA	Beaver County	Industrial Development Authority Revenue Bond, Duquesne Light Co	Remarketing Takeover
PA	Erie County	Fort LeBoeuf School District PA General Obligation Bonds, Series 2016	Negotiated
PA	Erie County	Erie Sewer Authority, Sewer Revenue Bonds, Series 2016	Negotiated
PA	Erie County	Erie Parking Authority Guaranteed Parking Revenue Bonds, Series 2016	Negotiated
PA	Lackawanna County	Lackawanna County PA General Obligation Bonds, Series A & B 2016	Negotiated
PA	Allegheny	Pine-Richland School District, PA, General Obligation Bonds, Series 2016	Negotiated
PA	Allegheny	Township of Harrison, PA General Obligation Bonds, Series 2106	Negotiated
PA	Allegheny	Bethel Park School District PA General Obligation Bonds, Refunding Series 2016	Negotiated
PA	Washington County	County of Washington, Commonwealth of PA, General Obligation, Series 2016	Negotiated
PA	Lancaster County	Lancaster County Hospital Authority, Univ of PA Health System, Series A & B 2016	Negotiated
PA	Mifflin	Borough of Lewistown, PA General Obligation Bonds, Series 2016	Negotiated
PA	Montgomery County	Norristown Area School District, PA General Obligation Bonds, Series 2016	Negotiated
PA	Montgomery County	Township of Cheltenham, PA General Obligation Bonds, Series 2016	Negotiated
PA	Pennsylvania State	Pennsylvania Housing Finance Agency Single Family Mortgage Revenue 2016-119	Negotiated
PA	Pennsylvania State	The School District of Philadelphia, GO Refunding Series A, B, & C	Negotiated
PA	Luzerne	Northeastern PA Hospital & Educational Authority (Wilkes University) Series 2016A	Negotiated
PA	Pennsylvania State	Pennsylvania Turnpike Commission, Sub Rev Bonds, Series A of 2016, A-1 & A-2	Negotiated
PA	Pennsylvania State	Pennsylvania Turnpike Commission, Sub Rev Ref Bonds, Second Series of 2016A	Negotiated
PA	Pennsylvania State	The Pennsylvania State University, 2016 Series A & B Bonds	Negotiated
PA	Centre County	Centre County Hospital Authority, Hospital Revenue Bonds, Series 2016A & B (Mount Nittany Medical Center Project)	Negotiated
PA	Cumberland County	Cumberland County PA Municipal Authority (Diakon Lutheran Social Ministries)	Negotiated
PA	Dauphin County	Borough of Highspire, PA General Obligation Bonds, Series 2016	Negotiated

<b>State</b>	<b>County</b>	<b>Description</b>	<b>Role</b>
PA	Schuylkill County	City of Pottsville Hospital Authority, Health Center Revenue Bonds, Schuylkill Health System Project, Series 2016	Private Placement
PA	Westmoreland County	Westmoreland County PA Airport Authority, Airport Revenue Bonds, Series 2016	Negotiated
PA	Lebanon County	Township of Union, PA General Obligation Bonds, Series 2016	Negotiated
VA	Arlington County	Arlington County Virginia General Obligation 2016 Series A & B	Negotiated
VA	Fairfax County	Fairfax County, Virginia Sewer Revenue Refunding Bonds Series 2016A	Negotiated