

Name of Regulated Entity: *Therber, Brock & Associates, LLC*

Report Period: *Fourth Quarter of 2016*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>IN</i>	<i>Rensselaer School Corporation Rensselaer/Jasper County</i>	<i>Advice</i>
<i>IN</i>	<i>North Vermillion Community School Corporation Cayuga/Vermillion County</i>	<i>Advice</i>
<i>IN</i>	<i>Lafayette School Corporation Lafayette/Tippeca County</i>	<i>Advice</i>
<i>IN</i>	<i>Rossville Consolidated School Corporation Rossville/Clinton County</i>	<i>Advice</i>
<i>IN</i>	<i>Tippecanoe School Corporation Lafayette/Tippeca County</i>	<i>Advice</i>
<i>IN</i>	<i>Frankton-Lapel School Corporation Anderson/Madison County</i>	<i>Advice</i>
<i>IN</i>	<i>Monroe County Community School Corporation Bloomington/Morristown County</i>	<i>Advice</i>
<i>IN</i>	<i>Flat Rock-Hawcreek School Corporation Hope/Bartholome County</i>	<i>Advice</i>

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IN	<i>Attica Consolidated School Corporation Attica/Fountain County</i>	<i>Advice</i>
IN	<i>Jay School Corporation Portland/Jay County</i>	<i>Advice</i>
IN	<i>Greencastle Community School Corporation Greencastle/Putnam County</i>	<i>Advice</i>
IN	<i>Linton-Stockton School Corporation Linton/Greene County</i>	<i>Advice</i>
IN	<i>Mooreville Consolidated School Corporation Mooreville/Morgan County</i>	<i>Advice</i>
IN	<i>MSD of Shakamak Jasonville/Greene County</i>	<i>Advice</i>
IN	<i>Scott County School District 2 Scottsburg/Scott County</i>	<i>Advice</i>
IN	<i>Seymour Community Schools Seymour/Jackson County</i>	<i>Advice</i>
IN	<i>Clarksville Community Schools Clarksville/Clark County</i>	<i>Advice</i>
IN	<i>Loogootee Community School Corporation Loogootee/Martin County</i>	<i>Advice</i>
IN	<i>Northeast School Corporation Hymera/Sullivan County</i>	<i>Advice</i>
IN	<i>Town of Ingalls Ingalls/Madison County</i>	<i>Advice</i>
IN	<i>Town of Lynn Lynn/Randolph</i>	<i>Advice</i>

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IN	County Town of Kirklin Kirklin/Clinton County	Advice
IN	Town of Cayuga Cayuga/Vermillion County	Advice
IN	Town of Andrews Andrews/Huntington County	Advice
IN	Town of Greentown Greentown/Howar County	Advice
IN	Town of Carbon Carbon/Clay County	Advice
IN	City of Attica Attica/Fountain County	Advice
IN	Daviess County Rural Water Washington/Daviess County	Advice
IN	Romney Regional Sewer District Romney/Tippecanoe County	Advice
IN	Town of Osceola Osceola/St. Joseph County	Advice
IN	Town of Morgantown Morgantown/Mo County	Advice
IN	Richland Township Ellettsville/Monroe County	Advice
IN	West Lakes Regional Sewer District Albion/Noble County	Advice
IN	Little Raccoon RSD Waveland/Montgomery County	Advice
IN	Battleground Cons. Dist. Battleground/Tippecanoe	Advice

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IN	<i>County Town of Princes Lakes Princes Lakes/Johnson County</i>	<i>Advice</i>
IN	<i>City of Brownsburg Brownsburg/Henc County</i>	<i>Advice</i>
IN	<i>Town of Atlanta Atlanta/Hamilton County</i>	<i>Advice</i>
IN	<i>Town of Russiaville Russiaville/Howar County</i>	<i>Advice</i>
IN	<i>Town of Shirley Shirley/Hancock and Henry Counties</i>	<i>Advice</i>
IN	<i>Town of Clay City Clay City/Clay County</i>	<i>Advice</i>
IN	<i>Town of New Whiteland New Whiteland/Johnson County</i>	<i>Advice</i>
IN	<i>Norwood Regional Sewer District Huntington/Huntington County</i>	<i>Advice</i>
IN	<i>City of Jonesboro Jonesboro/Grant County</i>	<i>Advice</i>
IN	<i>Town of Rosedale Rosedale/Parke County</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Feb 28, 2017*

Name (must be officer of regulated entity): *Lonnie Therber*

Address: *11550 N. Meridian St., Carmel, IN 46032*

Phone: *317-637-9572*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)