

FORM G-37



Name of Regulated Entity: *PNC Capital Markets LLC*

Report Period: *Third Quarter of 2016*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>See Attachment</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>None</i>		

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
<i>None</i>		

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Signature: electronic

Date: *Mar 23, 2017*

Name (must be officer of regulated entity): *Mary Pumphrey*

Address: *300 Fifth Avenue, Pittsburgh, PA 15222*

Phone: *215-585-1079*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

State	County	Description	Role
AL	Alabama	University of South Alabama, University Facilities Revenue Refunding Bonds, Series 2016	Negotiated
AL	Vestavia County	Vestavia Hills City Board of Education, Special Tax School Warrants, Series 2016	Negotiated
FL	Orlando	Greater Orlando Aviation Authority Priority Subordinated Airport Facilities, Revenue Refunding Bonds, Series 2016 AMT of the City of Orlando Florida	Negotiated
FL	Duval County	City of Jacksonville, Florida Special Revenue Refunding Bonds, Series 2016 A & B	Negotiated
GA	Atlanta	Atlanta Public Safety & Judicial Facilities Authority, Revenue Refunding Bonds (Public Safety Facility Project) Series 2016	Negotiated
IL	Cook County	The County of Cook, Illinois General Obligation Refunding bonds Series 2016A	Negotiated
IL	Illinois State	Illinois Finance Authority Revenue Refunding Bonds, Illinois Wesleyan University, Series 2016	Negotiated
IL	Will County	The County of Will, Illinois General Obligation Bonds (Alternate Revenue Source), Series 2016	Negotiated
IN	Indiana State	Indiana Finance Authority Hospital Revenue Bonds (Parkview Health System Obligated Group)	Remarketing Negotiated
KY	Carroll County	County of Carroll, Kentucky, Pollution Control Revenue Refunding Bonds, 2016 Series A(Kentucky Utilities Company Project)	Negotiated
MO	St Louis	St Louis Municipal Finance Corporation, Recreation Sales Tax Leasehold Revenue Refunding Bonds, (City of St. Louis, Missouri, Lessee) Series 2016	Negotiated
NC	North Carolina	North Carolina Medical Care Commission, Health Care Facilities Revenue Refunding Bonds (Duke University Health System) Series 2016D	Negotiated
NC	Durham County	City of Durham, NC Utility System Revenue Refunding Bonds, Series 2016	Negotiated
NC	Durham County	County of Durham, NC General Obligation Refunding Bonds, Series 2016	Negotiated
NJ	Atlantic County	The Board of Education of the City of Egg Harbor City, Refunding School Bonds	Negotiated
NJ	New Jersey	New Jersey Educational Facilities Authority, Revenue and Refunding Bonds, Stockton University issue, Series 2016 A	Negotiated
NJ	Ocean County	Borough of Lavallette, Ocean County, New Jersey BANs, Series 2016A	Negotiated
NY	New York	New York City Transitional Finance Authority, Future Tax Secured Subordinate Bonds, 2017 Series A	Negotiated
NY	New York	Metropolitan Transportation Authority, Transportation Revenue Bonds, 2016 C-1&2, C-2b	Negotiated
NY	New York	The City of New York General Obligation Bonds, Fiscal 2017 Series 1	Negotiated
NY	New York	New York City Transitional Finance Authority, Future Tax Secured Subordinate Bonds, Fiscal 2017 Series B	Negotiated
OH	Clark County	Clark State Community College District, Ohio General Receipts Refunding Bonds, Series 2016	Negotiated
OH	Hamilton County	County of Hamilton, Sales Tax Refunding Bonds, Series 2016A	Negotiated
OH	State of Ohio	State of Ohio, Treasurer of State, Capital Facilities Lease-Appropriation Bonds, Series 2016A, (Cultural & Sports Facilities Building Fund Projects)	Negotiated
OH	Fairfield County	Lancaster City School District, School Facilities Construction and Improvement Bonds, Series 2016 A General Obligation-Unlimited Tax & B Limited Tax	Negotiated
OH	Summit County	County of Summit, Ohio General Obligation Various Purpose, Refunding and Improvement Bonds, Series 2016	Negotiated
OH	Franklin County	Columbus City School District, Ohio Refunding Bonds, Series 2016 A	Negotiated
PA	Lackawanna	Scranton-Lackawanna Health & Welfare Authority, University of Scranton Revenue Bonds, Series 2016	Negotiated

State	County	Description	Role
PA	Adams County	Gettysburg Municipal Authority, Guaranteed Sewer Revenues, Series 2016	Negotiated
PA	York County	Borough of Hanover, General Obligation Bonds, Series of 2016	Negotiated
PA	Pennsylvania State	Pennsylvania Housing Finance Agency, Single Family Mortgage Revenue Bonds, Series 2016-120	Negotiated
PA	Crawford County	Crawford County Industrial Development Authority College Revenue Bonds, Series 2016, Allegheny College	Negotiated
PA	Allegheny	Mt Lebanon, General Obligation Bonds, Series 2016	Negotiated
PA	Harrisburg	State Public School Building Authority, Commonwealth of Pennsylvania, School Revenue Bonds (The School District of the City Harrisburg Project) Series A & Series B of 2016	Negotiated
PA	Allegheny	County of Allegheny PA, General Obligation Refunding Bonds, Series C-75 & C-76	Negotiated
PA	Adams County	Borough of Gettysburg General Obligation Bonds, Series 2016	Negotiated
PA	Adams County	Borough of Littlestown, General Obligation Bonds, Series of 2016	Negotiated
PA	Bucks County	Centennial School District, General Obligation Bonds, Series A& B of 2016	Negotiated
PA	Beaver County	Borough of Ambridge Municipal Authority, Sewer Revenue Bonds, Series 2016	Negotiated
PA	Allegheny	West Allegheny School District, General Obligation Bonds, Series 2016	Negotiated
PA	Erie County	Millcreek Township Sewer Authority, Guaranteed Sewer Revenue Bonds, Series 2016	Negotiated
PA	Pennsylvania State	Pennsylvania State Higher Educational Facilities Authority (University of Penn Health System) Series 2016C	Negotiated
PA	Allegheny	Township of Collier General Obligation, Series 2016	Negotiated
PA	Columbia	County of Columbia, General Obligation Bonds Series 2016	Negotiated
PA	Allegheny	Allegheny County Sanitary Authority Sewer Revenue Bonds, Refunding Series of 2016	Negotiated
PA	Philadelphia	City of Philadelphia, Gas Works Revenue Refunding Bonds, Fourteenth Series, (1998 General Ordinance)	Negotiated
PA	Allegheny	South Park School District Allegheny County, General Obligation Bonds Series A 2016	Negotiated
PA	Adams County	County of Adams, Commonwealth of Pennsylvania, General Obligation Bonds, Series of 2016	Negotiated
VA	Virginia	Peninsula Ports Authority of Virginia, Coal Terminal Revenue Refunding Bonds (Dominion Terminal Associates Project-DETC issue)	Remarketing Negotiated
WI	Wisconsin State	State of Wisconsin Master Certificates of Participation of 2016, Series A	Negotiated

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